

# Challenges for Robust Ocean Data Assimilation



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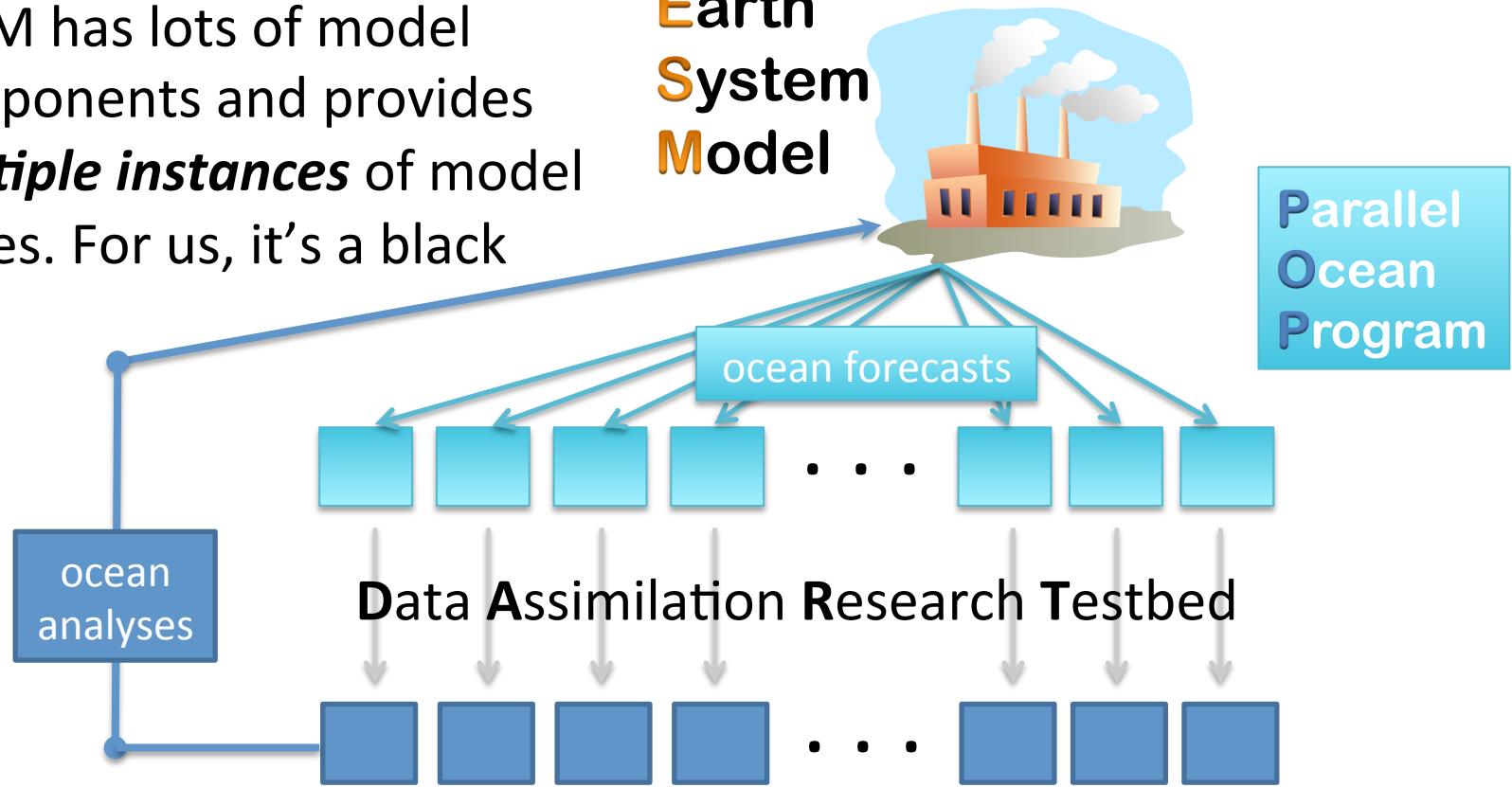
# Outline

1. Overview of DART and CESM/POP (1 slide)
2. Description of initialization problem
3. Description of boundary currents problem
4. Solutions/Directions/Delusions ...

# Overview

CESM has lots of model components and provides ***multiple instances*** of model states. For us, it's a black box.

Community  
Earth  
System  
Model



Given this ***ensemble*** and observations, DART determines increments for the model states, the model states get updated, and the ensemble is fed back to CESM to be advanced to the next desired time.

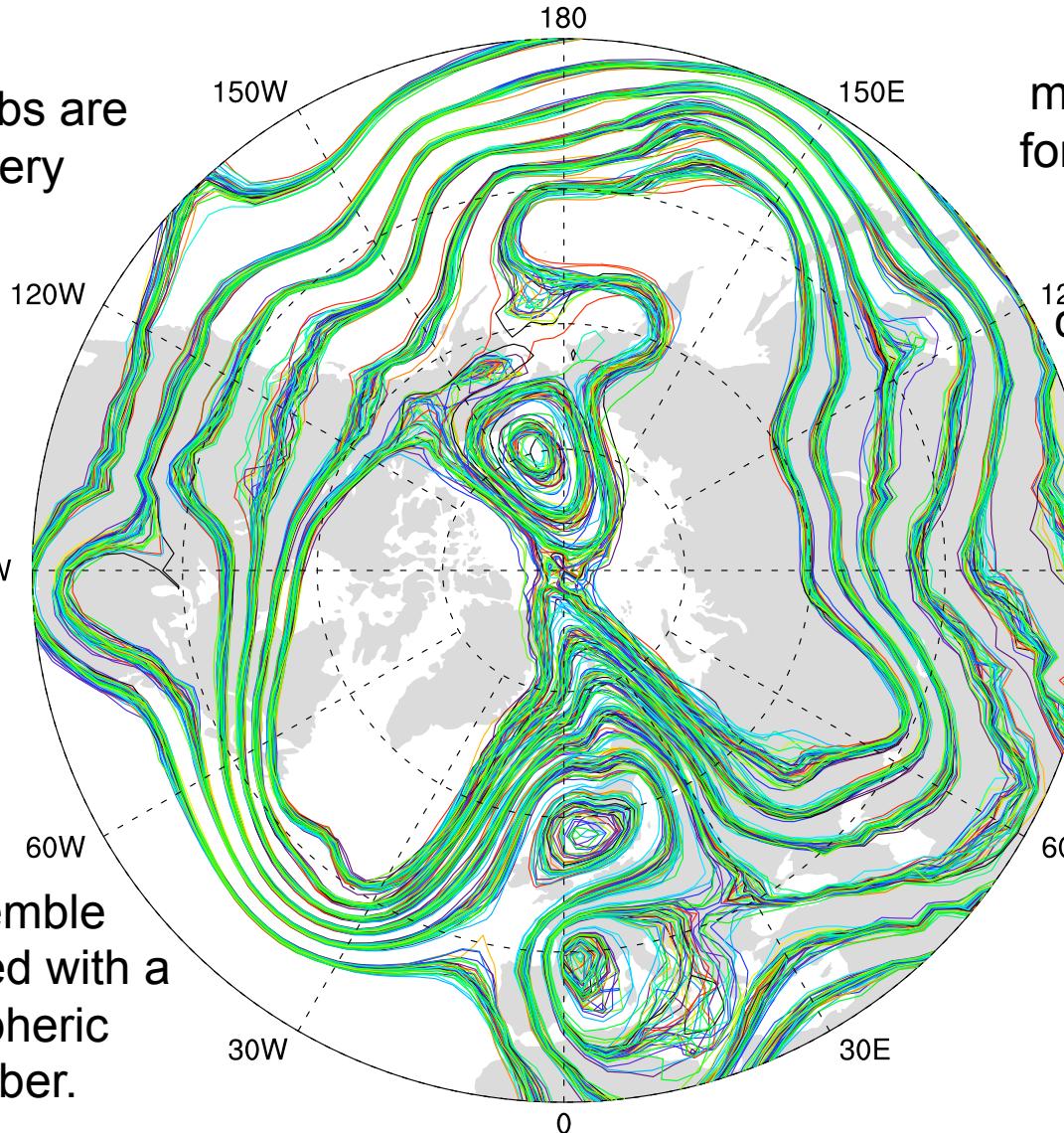


# Atmospheric Reanalysis

O(1 million)  
atmospheric obs are  
assimilated every  
day.

500 hPa GPH  
Feb 17 2003

Each POP ensemble  
member is forced with a  
different atmospheric  
reanalysis member.



Assimilation uses 80  
members of 2° FV CAM  
forced by a single ocean  
(Hadley + NCEP-OI2)  
and produces a very  
competitive reanalysis.

1998-2010  
4x daily  
is available.

Generates additional  
ocean spread.

# Idealized healthy assimilation diagnostic.

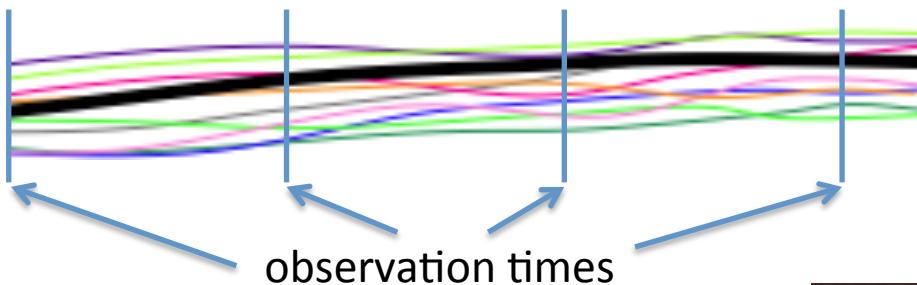
Without Assimilation: each line represents a model trajectory. Frequently, the ensemble spread simply grows.

model time

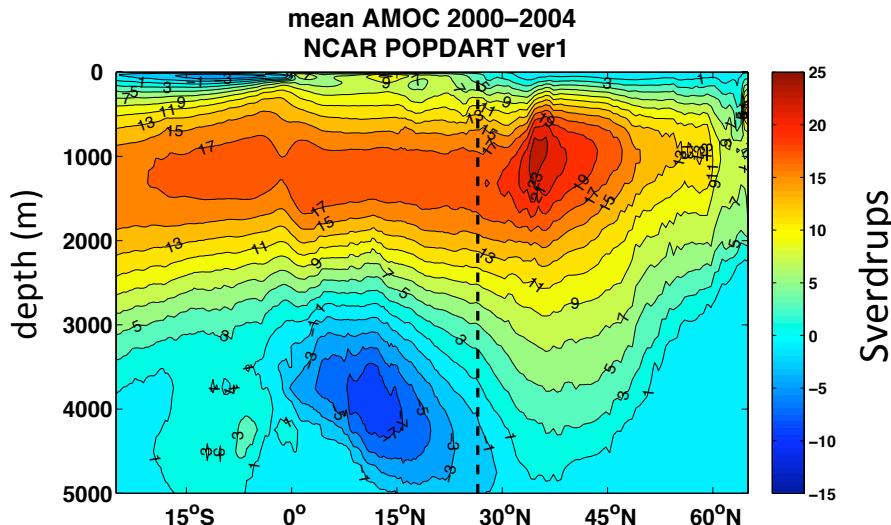
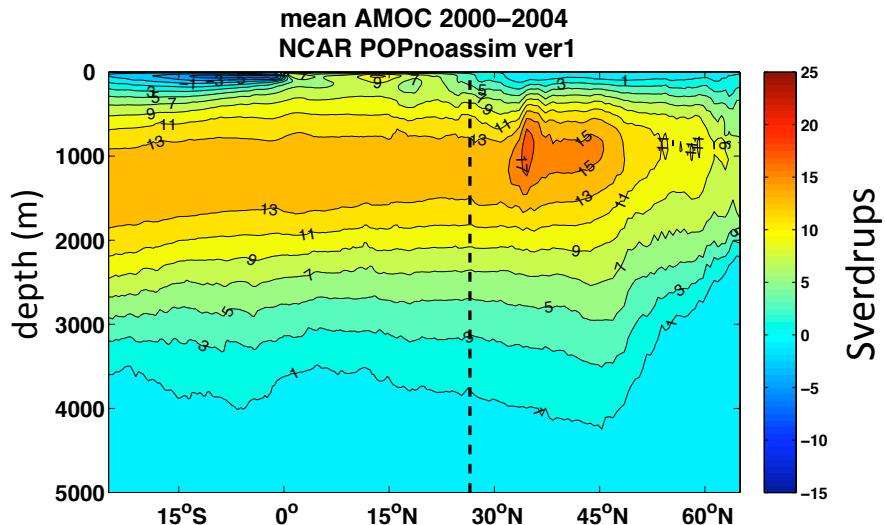


With Assimilation: ensemble spread ultimately remains stable and small enough to be informative, but not so small that it collapses away from the truth.

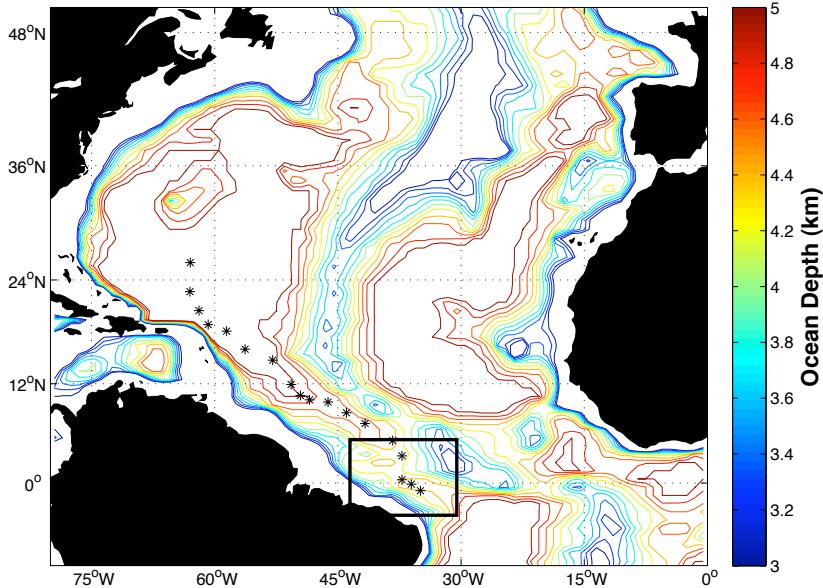
**Problem: Getting a proper initial ensemble is an area of active research.**



# Initialization Issue

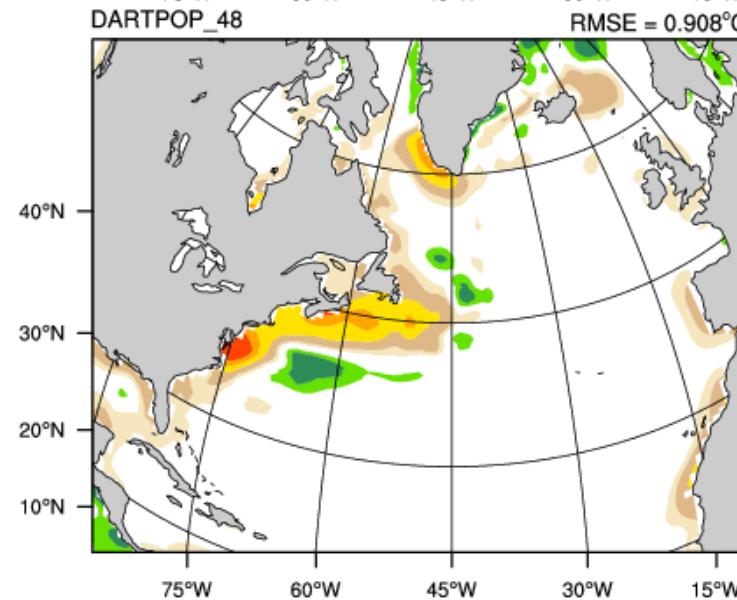
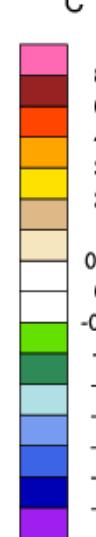
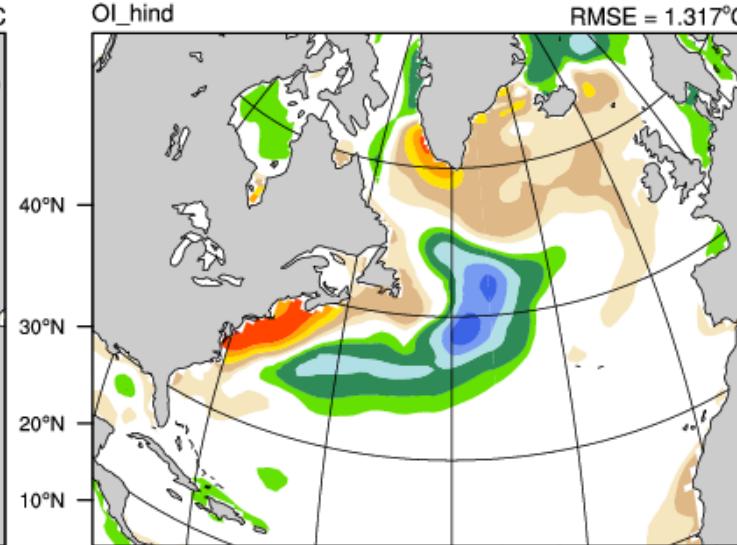
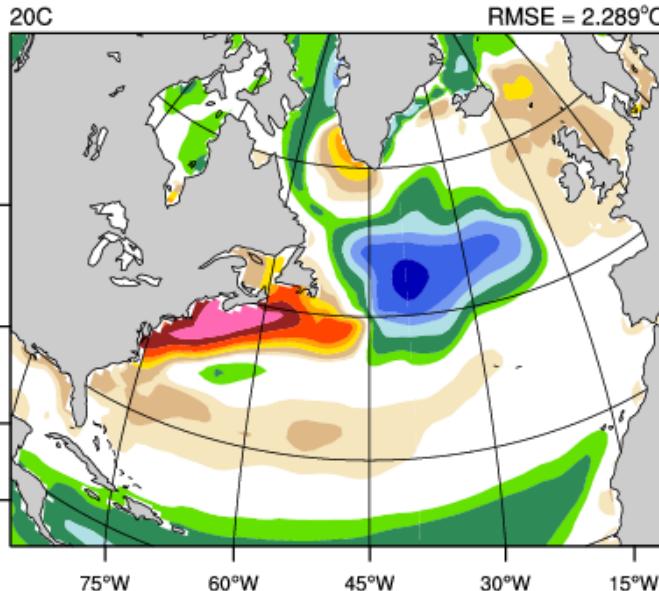


We used 48 January 1<sup>st</sup> POP ocean states from several different spinup runs with surprising results.



# Physical Space: 1998/1999 SST Anomaly from HadOI-SST

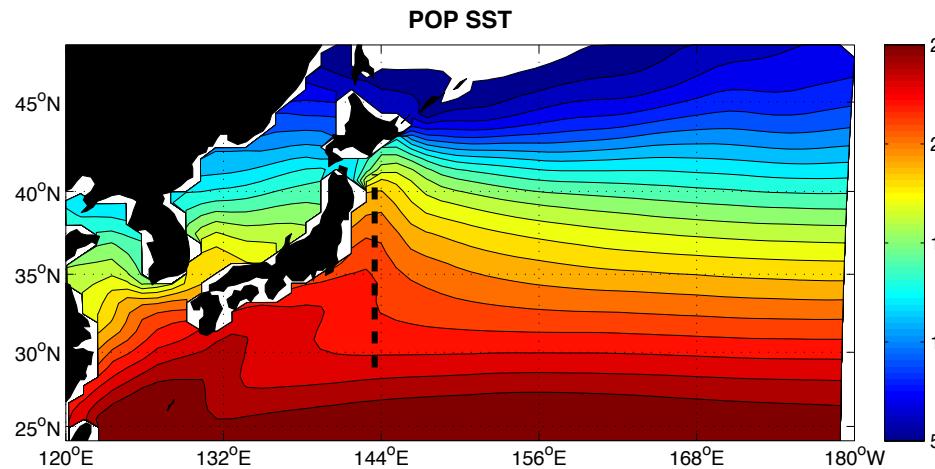
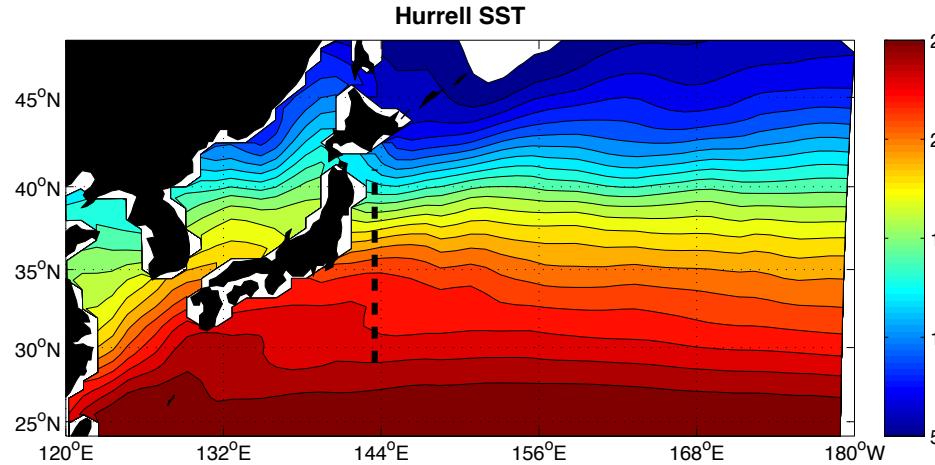
Coupled Free Run



Ensemble Assimilation  
48 POP oceans  
Forced by 48 CAM reanalyses

# Challenges where ocean model is unable, or unwilling, to simulate reality.

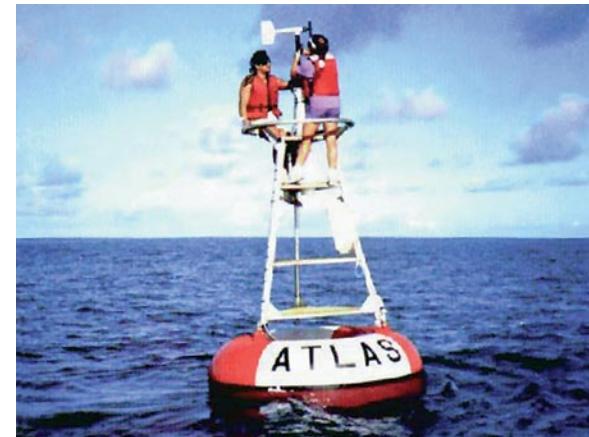
Example: cross section along Kuroshio; model separates too far north.



# World Ocean Database T,S observation counts

These counts are for 1998 & 1999 and are representative.

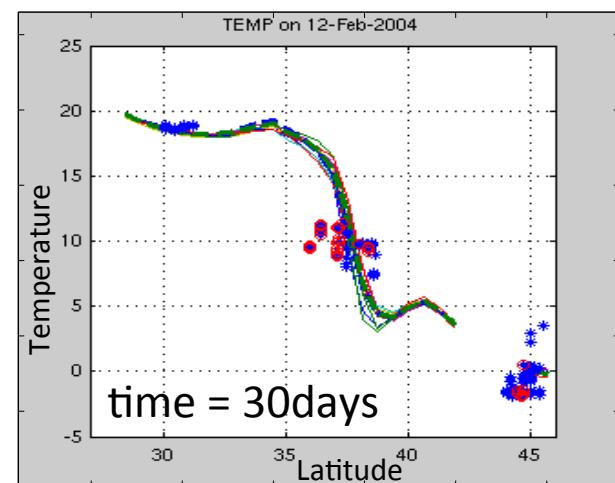
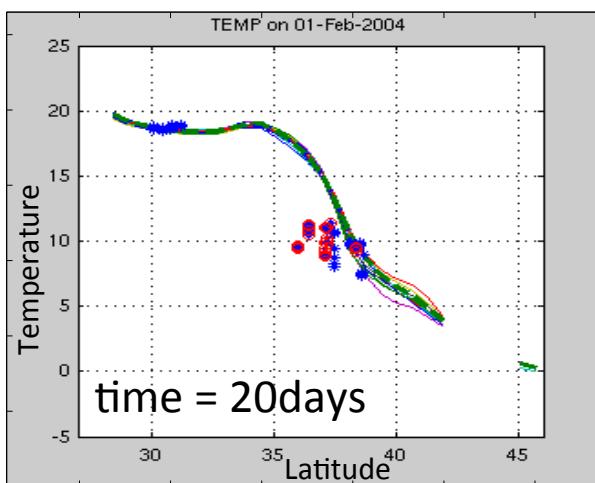
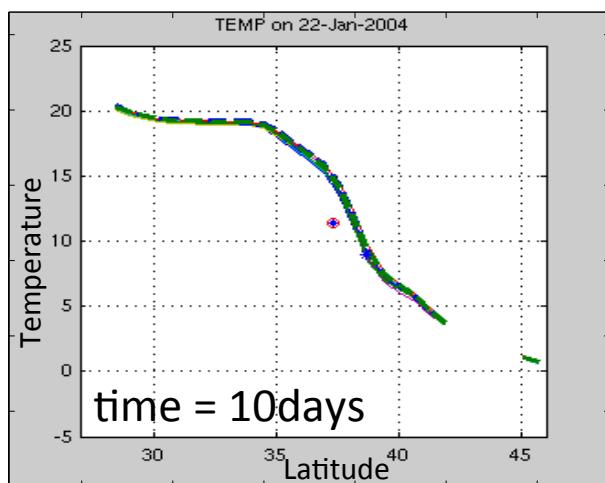
FLOAT_SALINITY	68200
FLOAT_TEMPERATURE	395032
DRIFTER_TEMPERATURE	33963
MOORING_SALINITY	27476
MOORING_TEMPERATURE	623967
BOTTLE_SALINITY	79855
BOTTLE_TEMPERATURE	81488
CTD_SALINITY	328812
CTD_TEMPERATURE	368715
STD_SALINITY	674
STD_TEMPERATURE	677
XCTD_SALINITY	3328
XCTD_TEMPERATURE	5790
MBT_TEMPERATURE	58206
XBT_TEMPERATURE	1093330
APB_TEMPERATURE	580111



- temperature observation error standard deviation == 0.5 K.
- salinity observation error standard deviation == 0.5 msu.

# Challenges in correcting position of Kuroshio.

60-day assimilation starting from model climatology on 1 January 2004.



Initially warm water goes too far north.

Many observations are rejected (red), but others (blue) move temperature gradient south.

Adaptive inflation increases ensemble spread as assimilation struggles to push model towards obs.

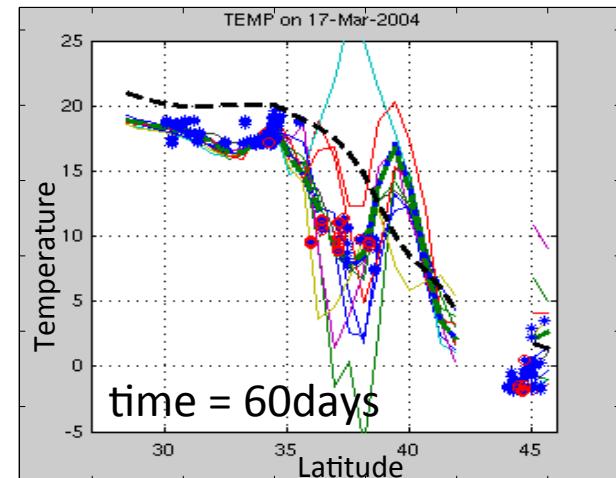
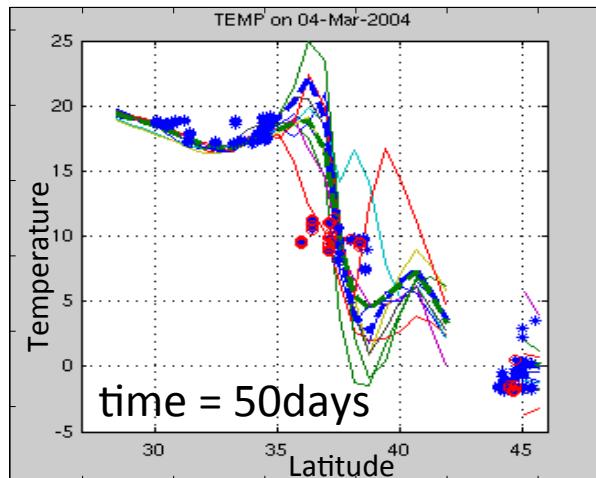
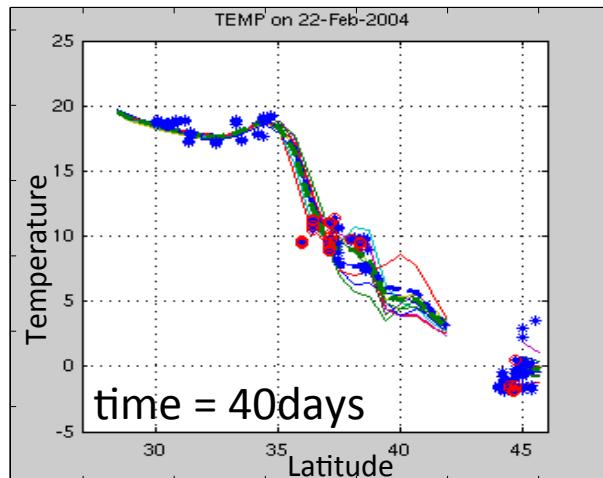
# Challenges in correcting position of Kuroshio.

60-day assimilation starting from model climatology on 1 January 2004.

Green dashed line is posterior at previous time,  
Blue dashed line is prior at current time,  
Ensembles are thin lines.

Observations keep pulling the warm water to the south;  
Model forecasts continue to quickly move warm water  
further north. Inflation continues to increase spread.

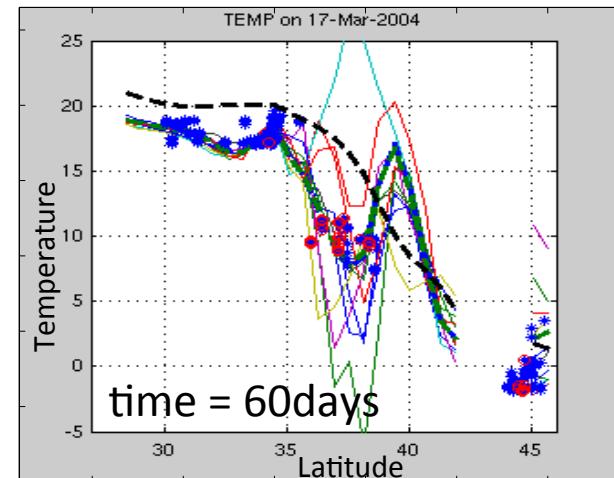
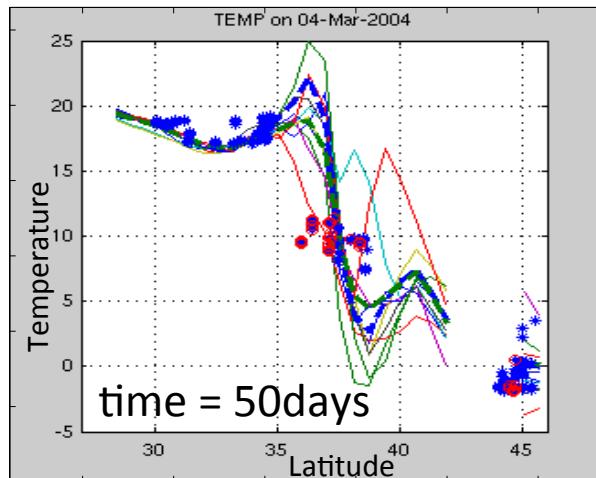
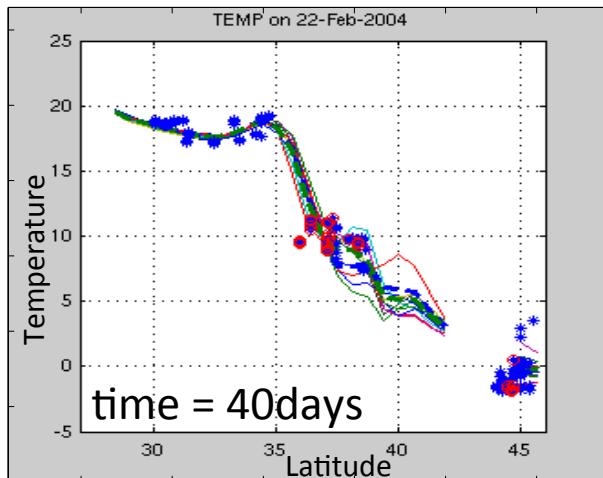
Model forecasts finally fail due  
to numerical issues. Black  
dashes show original model  
state from 10 January.



# Challenges in correcting position of Kuroshio.

60-day assimilation starting from model climatology on 1 January 2004.

- Assimilation cannot force model to fit observations.
- Use of adaptive inflation leads to eventual model failure.
- Reduced adaptive inflation can lead to compromise between observations and model.
- Representativeness errors are not all equal .... Could we adaptively change the error variance based on the model behavior? The same problem happens in the atmosphere – subgrid-scale winds in tornados, hurricanes ...



## Summary

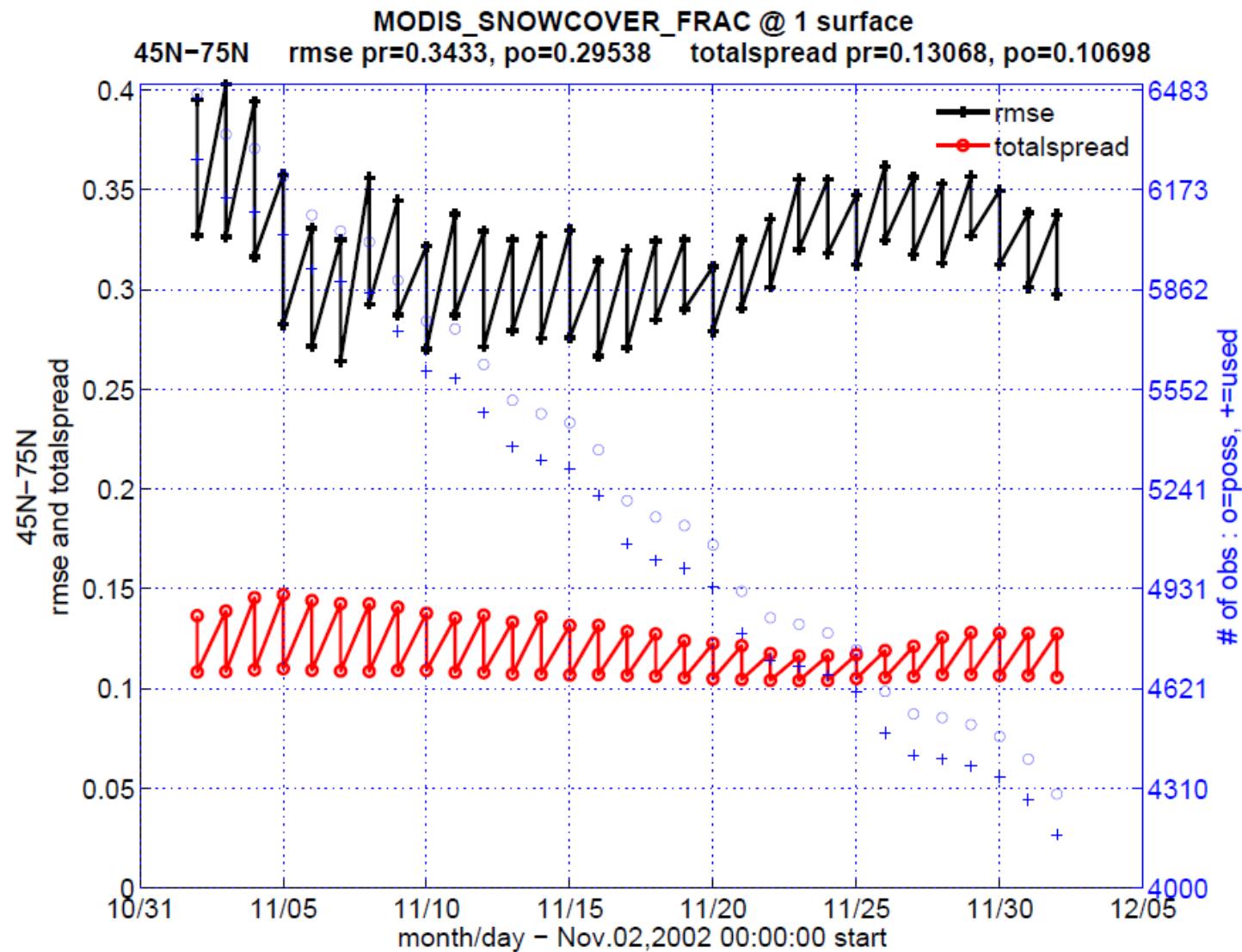
- Properly specifying an initial ensemble is not automatic.
- Forcing each ocean instance with a unique atmosphere helps maintain ensemble spread.
- The observation error for ensemble DA is comprised of instrument error and representativeness.
- The model specification (resolution, etc.) may not be able to exploit the information in the observations.

# For more information:



[www.image.ucar.edu/DARes/DART](http://www.image.ucar.edu/DARes/DART)

dart@ucar.edu



## slide held in reserve

MATLAB 7.10.0 (R2010a)

Current Folder: /Users/hoar/Documents/DART/models/cam/work

Workspace

```

984 0.8500 51.2760 55.5110 43.1000 42.8470 0 179432 7.3046e+05 994
985 0.8500 51.2760 579350 22.1000 113.1113 0 179432 7.3046e+05 995
986 2.6700 47.6200 367930 22.1000 28.0624 0 179531 7.3046e+05 996
987 7.1000 47.2700 21770 22.6000 14.5802 0 180154 7.3046e+05 987
988 24.0000 47.2700 21770 22.6000 14.5802 0 180154 7.3046e+05 988
989 35.9200 53.4100 26320 64.4000 60.9271 0 180154 7.3046e+05 989
990 149.1200 -35.5100 17870 20.6000 12.7247 0 181090 7.3046e+05 990
991 21.0000 53.4100 23740 35.9000 23.0123 0 178453 7.3046e+05 991
992 1.000000 44.3160 30.30 69.6394 0 178453 7.3046e+05 992
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994 1.000000 44.3160 30.30 69.6394 0 178453 7.3046e+05 994
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9999 1.000000 44.3160 30.30 69.6394 0 178453 7.3046e+05 9999

```

Command Window

```

>> link(obsName, ObsTypeString, ObsCopyString, CopyString, QString, region)
prior ensemble member 3'. DART quality control', region)

Name = 'POP11/obs_epoch.B11.nc';
ObsTypeString = 'NCEP BUFR observation';
ObsCopyString = 'prior ensemble mean';
CopyString = 'prior ensemble mean';
QString = 'DART quality control';
region = [-90 360 -90 90 -Inf Inf];
priorQC = [0 360 -90 90 -Inf Inf];

link(obsName, ObsTypeString, ObsCopyString, CopyString, QCString, region)

N = 385 RAIDSONDE_SURFACE_ALTIMETER (type 6) tween levels 0.00 and 4586.00
N = 11929 LAND_SFC_ALTIMETER (type 10) tween levels -22.00 and 4701.00
N = 218400 RAIDSONDE_U_WIND_COMPONENT (type 12) tween levels 360.00 and 163500.00
N = 218400 RAIDSONDE_V_WIND_COMPONENT (type 13) tween levels 360.00 and 163500.00
N = 18478 RAIDSONDE_TEMPERATURE (type 15) tween levels 188.00 and 183500.00
N = 18478 RAIDSONDE_SPECIFIC_HUMIDITY (type 16) tween levels 188.00 and 183500.00
N = 3768 AIRCRAFT_U_WIND_COMPONENT (type 19) tween levels 8699.00 and 34210.00
N = 3768 AIRCRAFT_V_WIND_COMPONENT (type 20) tween levels 8699.00 and 34210.00
N = 4479 AIRCRAFT_TEMPERATURE (type 21) tween levels 18130.00 and 18130.00
N = 4479 AIRCRAFT_SPECIFIC_HUMIDITY (type 22) tween levels 18130.00 and 18130.00
N = 4464 ACARS_TEMPERATURE (type 23) tween levels 5530.00 and 18130.00
N = 1987 MARINE_SFC_U_WIND_COMPONENT (type 31) tween levels 0.00 and 195.00
N = 1987 MARINE_SFC_V_WIND_COMPONENT (type 32) tween levels 0.00 and 195.00
N = 2513 MARINE_SFC_TEMPERATURE (type 33) tween levels 0.00 and 192.00
N = 2513 MARINE_SFC_SPECIFIC_HUMIDITY (type 34) tween levels 0.00 and 192.00
N = 1117 MARINE_SFC_WIND_COMPONENT (type 35) tween levels 0.00 and 92000.00
N = 18673 SAT_V_WIND_COMPONENT (type 40) tween levels 13700.00 and 92500.00
Data assimilated is QC copy 2
DART quality control is QC copy 2
replacing copies with [1 < QC flag < 5] with NaN
QC flags for observations:
(DART quality control == 0) 3622 obs [atmosphere]
(DART quality control == 1) 0 obs [forward operator failed]
(DART quality control == 2) 0 obs [forward operator succeeded]
(DART quality control == 5) 31 obs [prior QC rejected]
(DART quality control == 7) 111 obs [outlier rejected]

```

