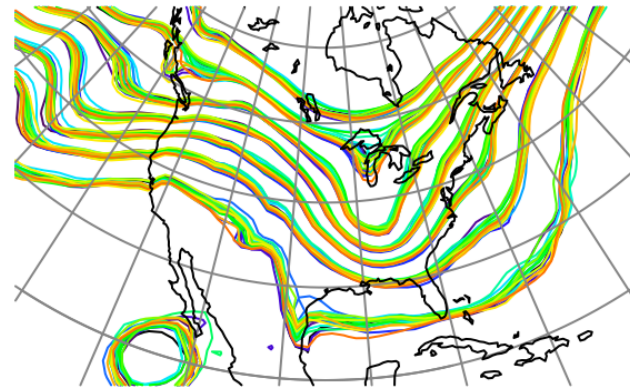


Data  
Assimilation  
Research  
Testbed



# Ensemble Verification: Part II

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UCAR | Atmospheric Research

# Ensemble Verification: definition?

The atmospheric science literature is rich with ways to quantify the accuracy of a forecast. I am not going to discuss any of these. Whole conferences could be organized around this topic.

All too often the poster/presentation states “I pushed the EnKF button and here are the results.” with little to no regard as to whether or not the assimilation effectively used the information in the observations or whether the ensemble was useful.

I am going to focus on **metrics that inform about the effectiveness of an ensemble forecast assimilation system.**

# The Big Questions:

1. Are you looking at the prior (Good!) or the posterior (nearly useless for assimilation assessment – think ‘direct replacement’)?
2. Do you have an appropriate ensemble?
  - Underdispersed ... filter divergence
  - Overdispersed ... uninformative
  - Biased ...
3. Are your metrics skewed by observation rejection?
4. Where and why are observations being rejected?

# Introduction to DART

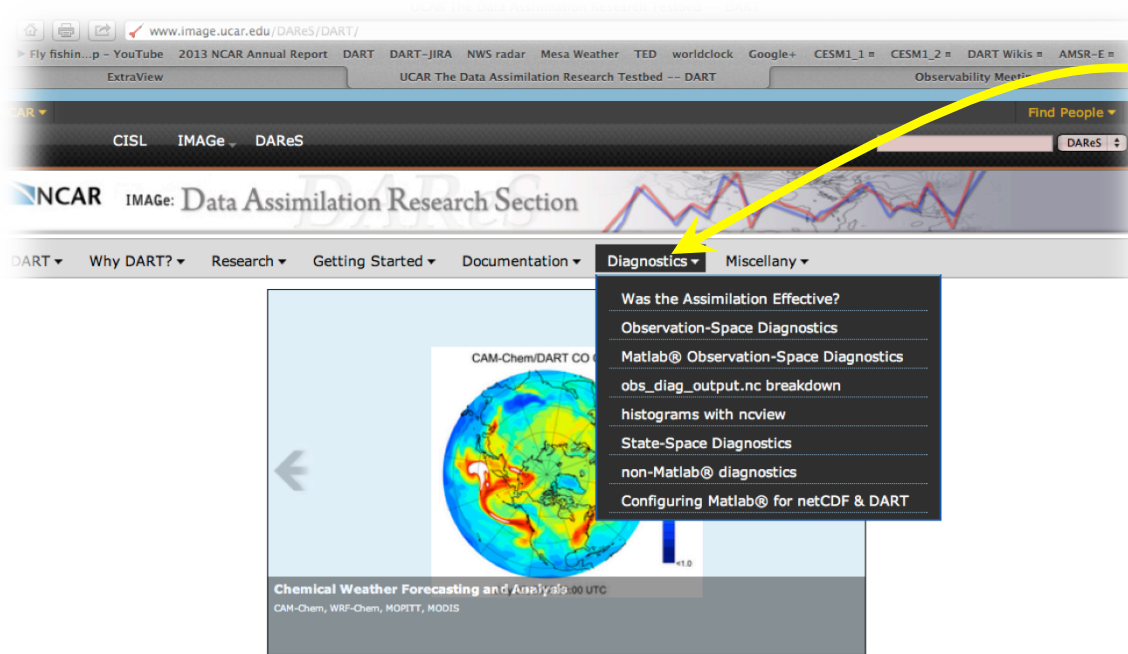
DART is the Data Assimilation Research Testbed, an open source, freely available, ensemble data assimilation system that works with many geophysical and dynamical models and many types of observations.

DART is Fortran-based, scales well into the thousands of processors, has tutorials and documentation, and a small support staff.

We also have tools to evaluate the performance of an assimilation system– as opposed to a forecast – I'm going to use those tools to demonstrate what we believe to be important considerations.

# DART "home page":

<http://www.image.ucar.edu/DAReS/DART>



## Diagnostics

- Was Assimilation Effective?
- Observation-Space Diagnostics
- ...
- Histograms
- State-Space Diagnostics
- ...

Welcome to the Data Assimilation Research Testbed - DART

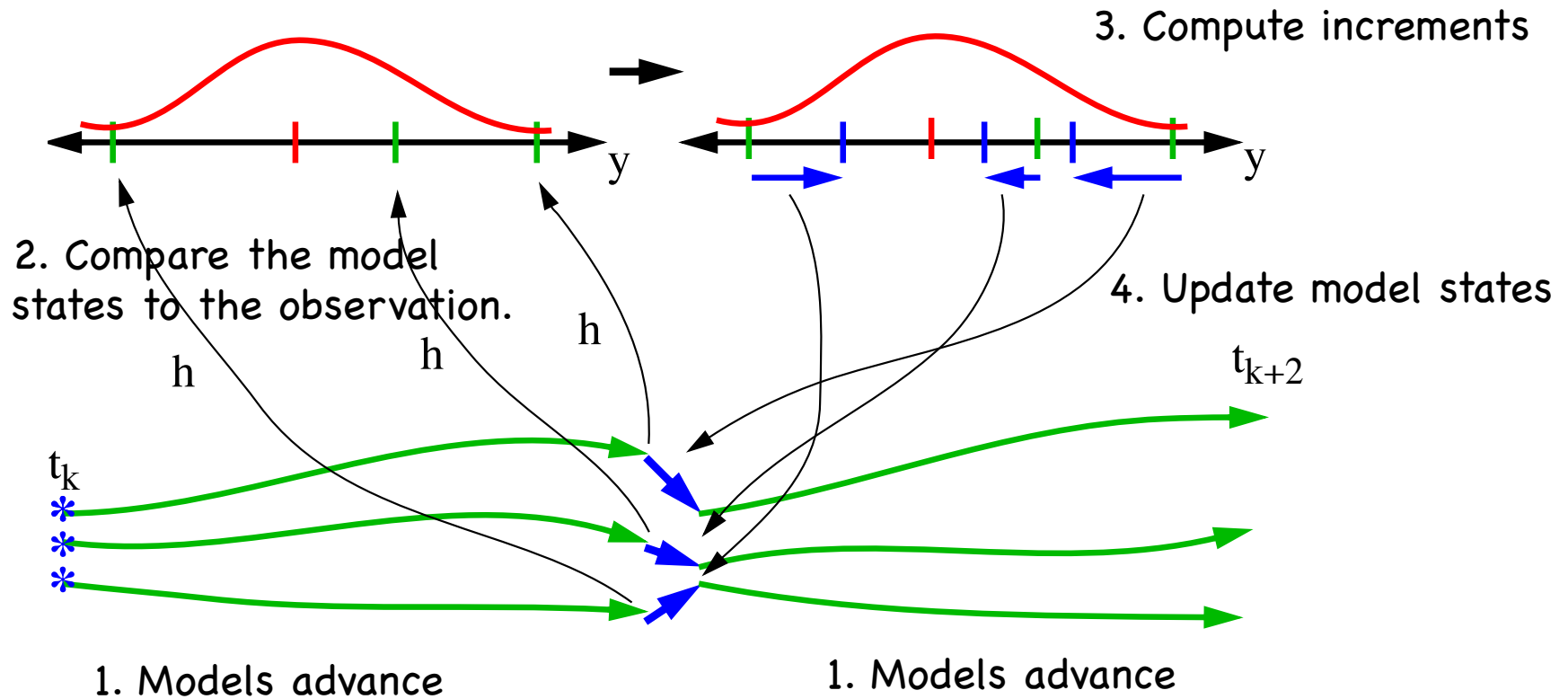
DART is a community facility for ensemble DA developed and maintained by the Data Assimilation Research Section (DAReS) at the

Overview article of DART:

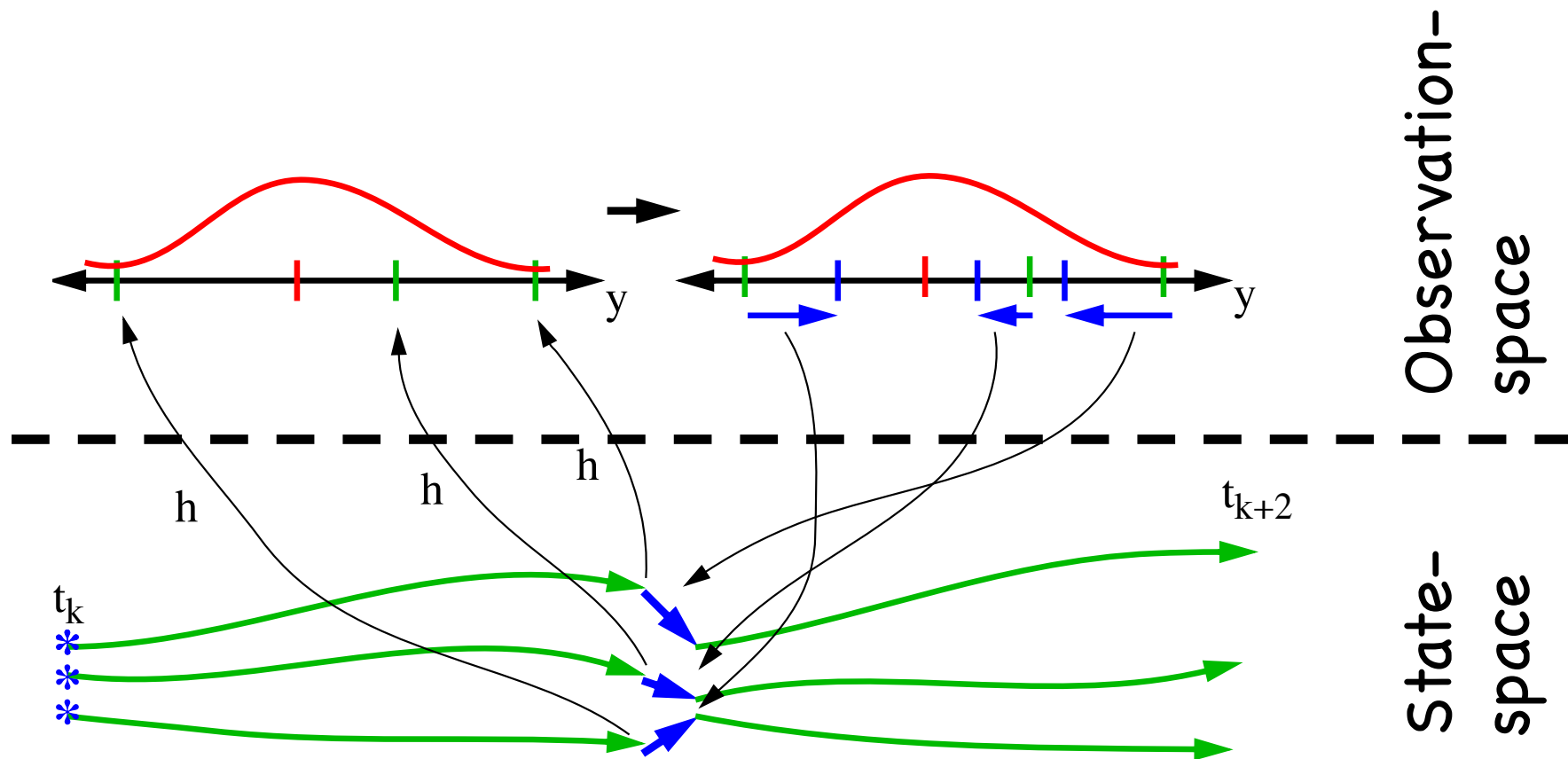
Anderson, Jeffrey, T. Hoar, K. Raeder, H. Liu, N. Collins, R. Torn, A. Arellano, 2009:  
The Data Assimilation Research Testbed: A Community Facility.

*Bull. Amer. Meteor. Soc.*, **90**, 1283–1296. [doi:10.1175/2009BAMS2618.1](https://doi.org/10.1175/2009BAMS2618.1)

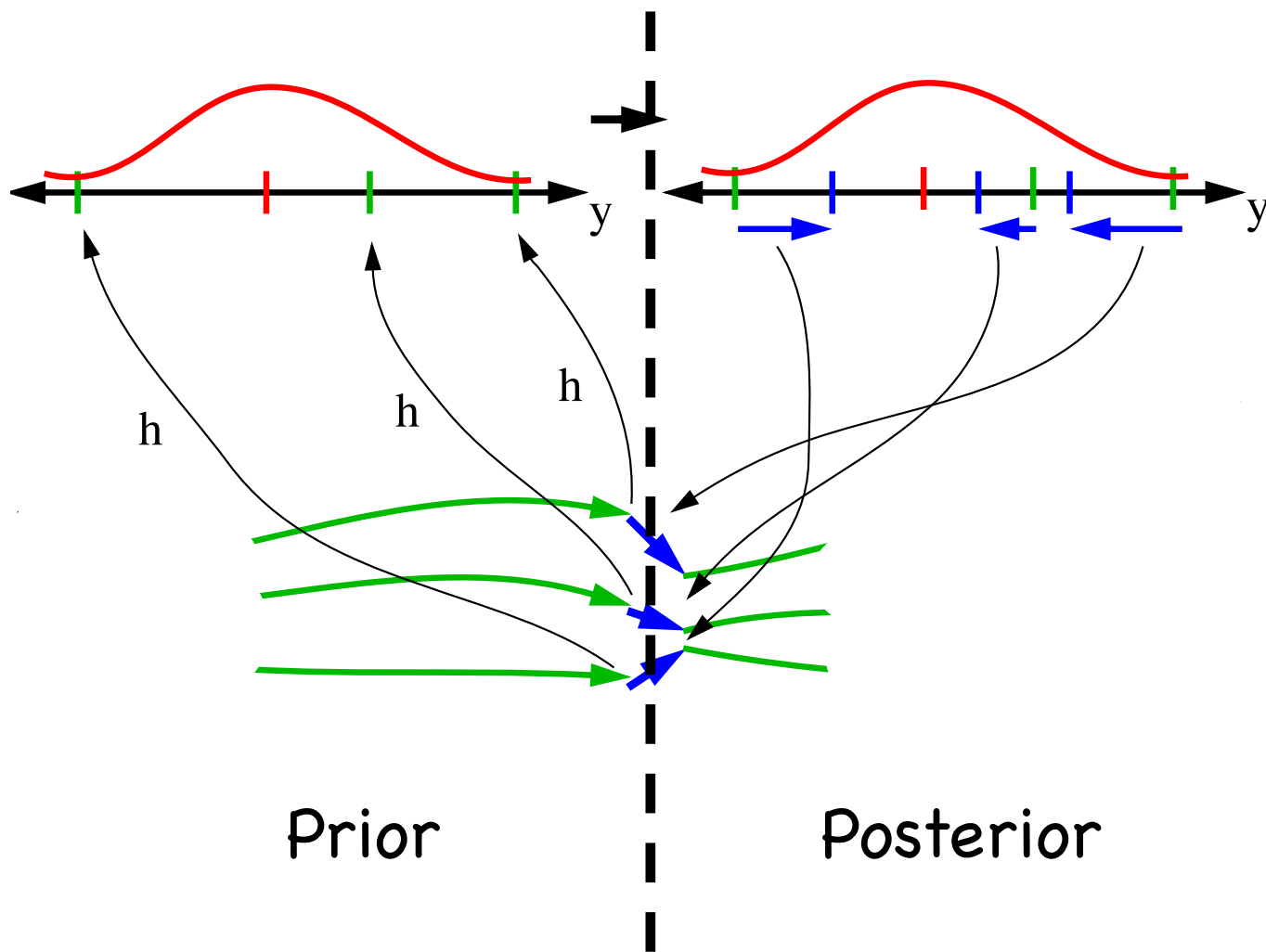
# Schematic for any ensemble system:



# Intro to DART-centric view and tools

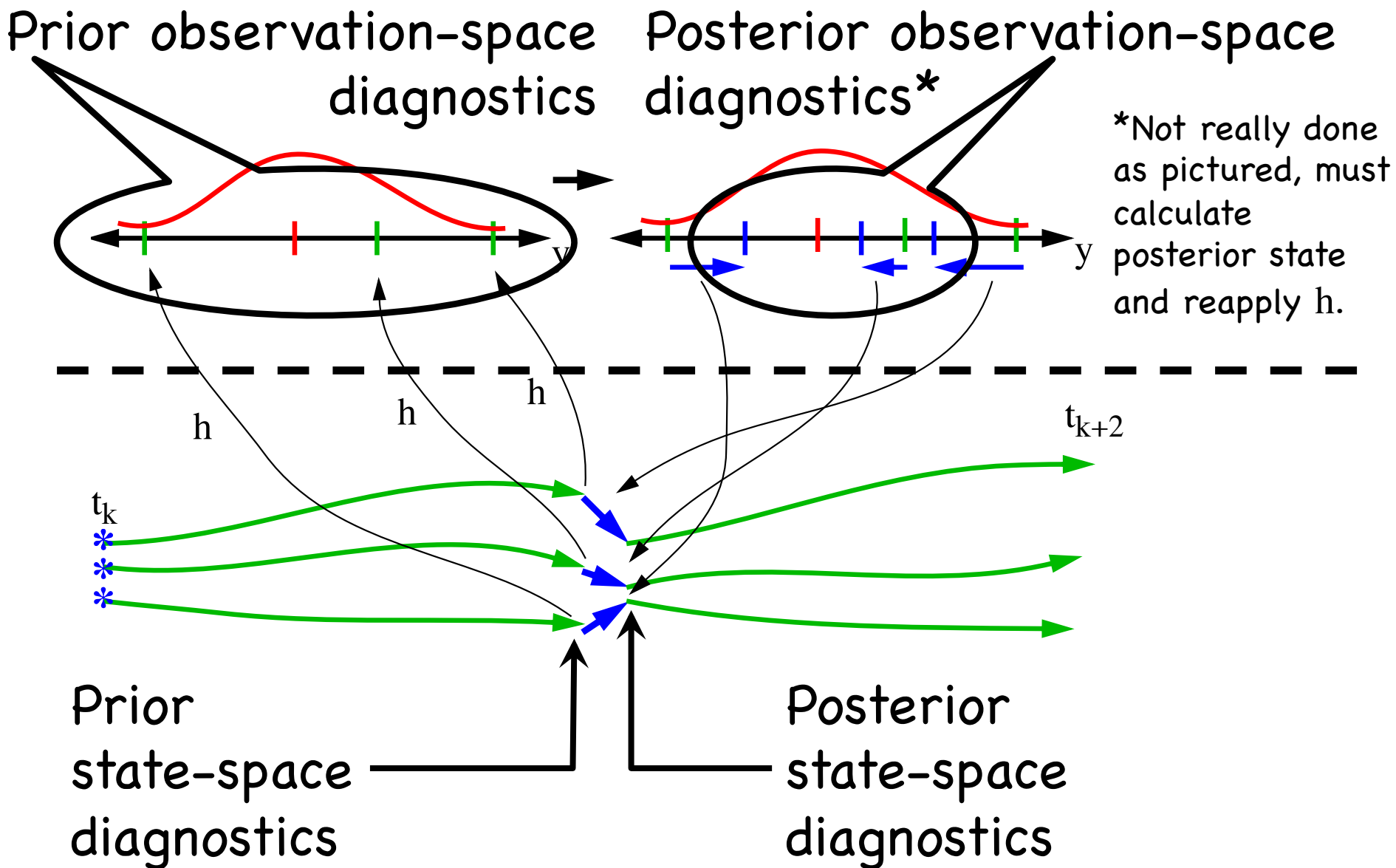


# Intro to DART-centric view and tools

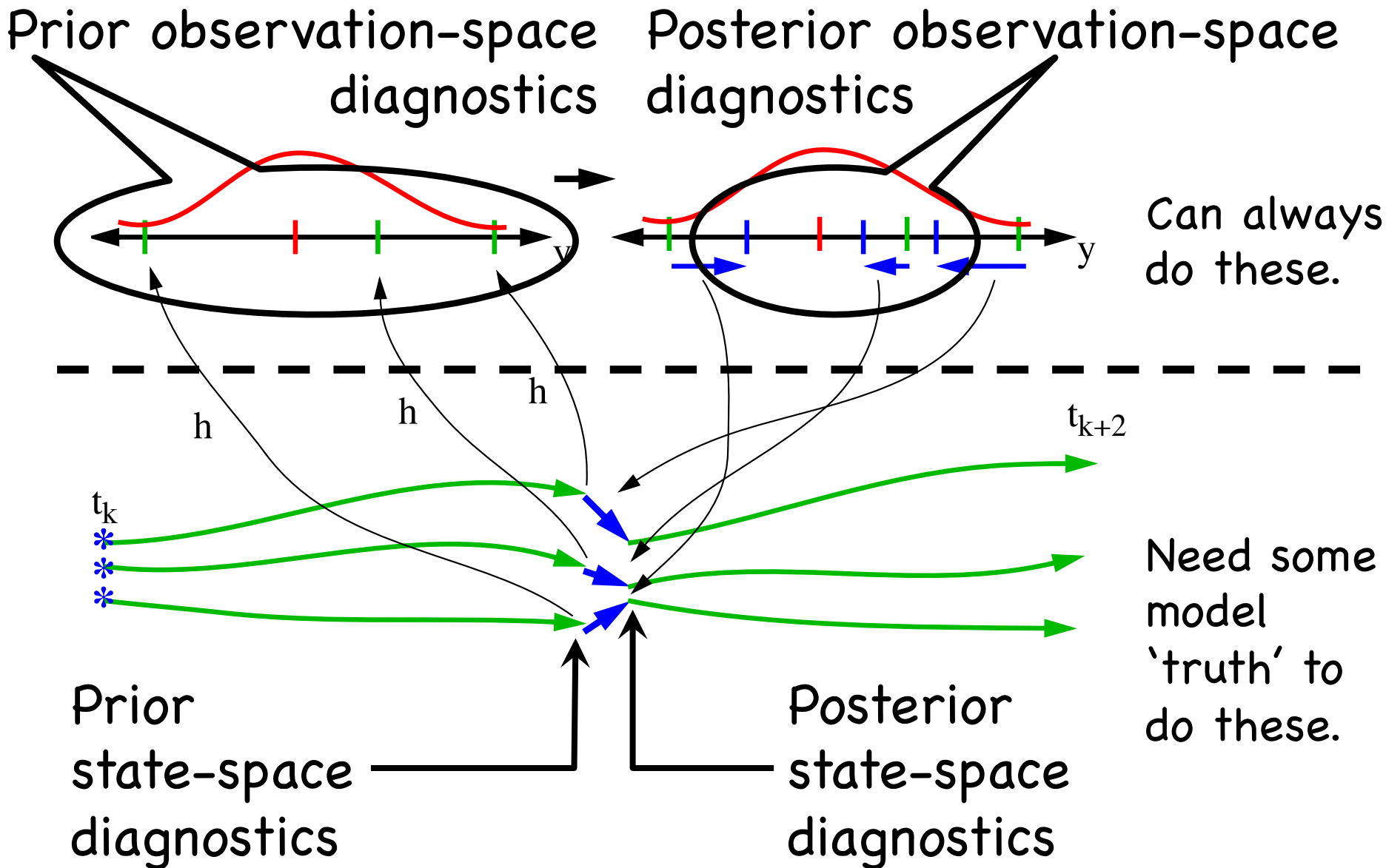




# Intro to DART-centric view and tools

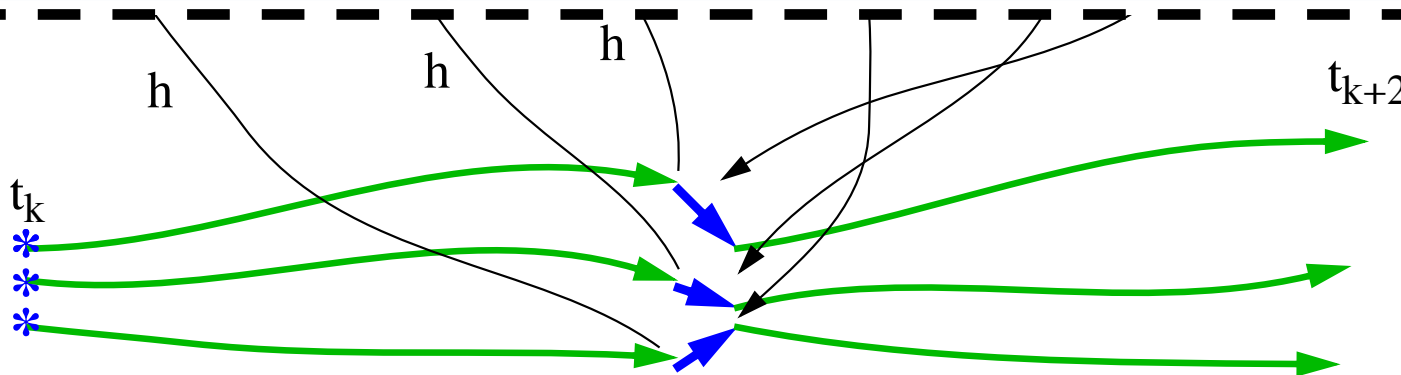


# Intro to DART-centric view and tools



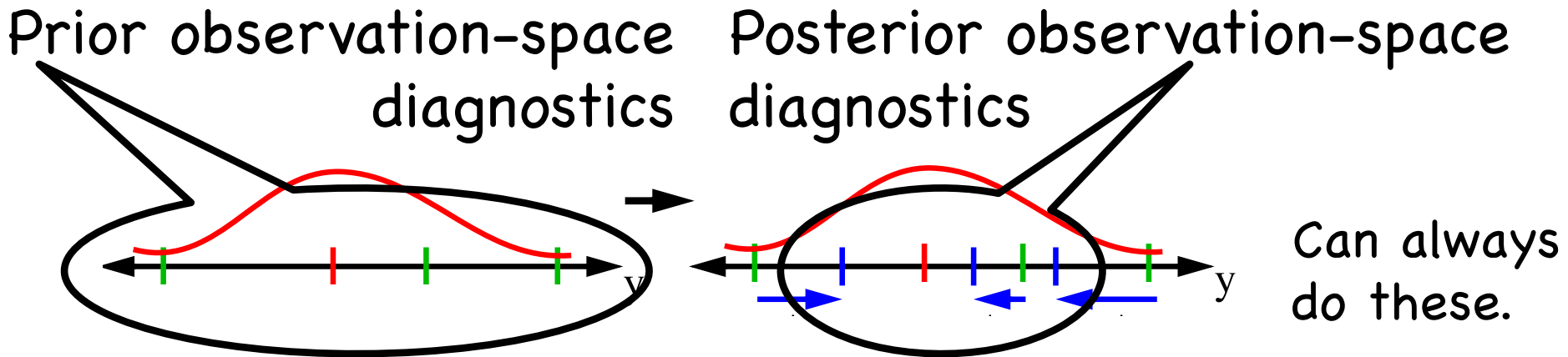
# Some DART tools for STATE-space diagnostics:

routine	purpose
plot_bins.m	Plots the rank histograms (usually at a location)
plot_correl.m	plot the spatial correlation of the ensemble against a single location
plot_ens_time_series.m	Plots the evolution of the ensemble (1 location, 1 variable)
plot_total_error.m	Plots the RMSE of all variables, all locations* (if Truth is available)
plot_ens_err_spread.m	Plots the evolution of the ensemble error and spread



Some of these aggregate over a region,  
some calculate metrics for each level,  
some only make sense if you know the Truth ...

# Some DART tools for OBSERVATION-space diagnostics:



routine	purpose
obs_diag.f90	Calculates diagnostics from observations, writes out a netCDF file.
plot_evolution.m	plot the time-evolution of the diagnostic
plot_profile.m	plot a time-averaged vertical profile
plot_rank_histogram.m	plot rank histograms (can also be done with ncview)
obs_seq_to_netcdf.f90	creates a netCDF file of the observations
link_obs.m	Creates graphics to explore locations/values/QC etc.

# an example of plot\_evolution

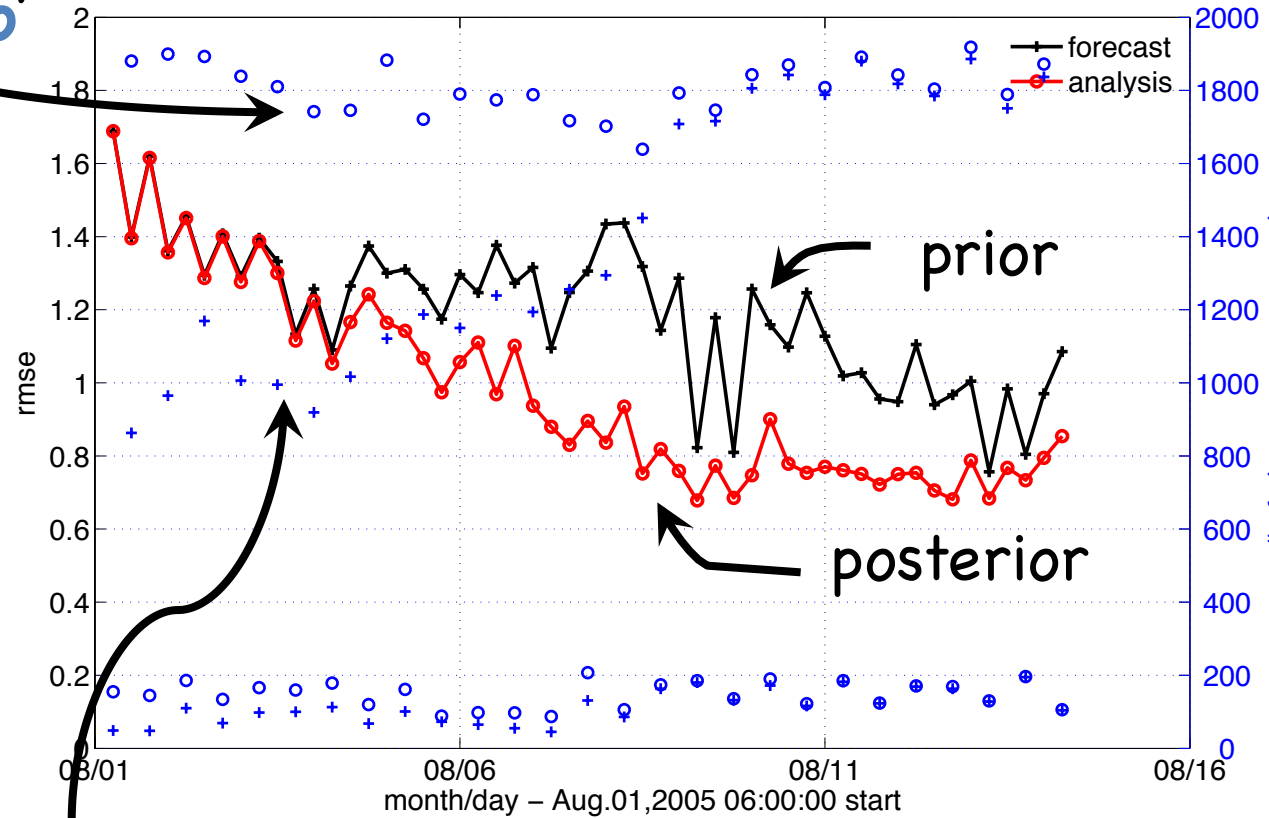
region

Simple mean

# of observations possible - 'o'

Northern Hemisphere (20-80)  
 RADIOSONDE\_TEMPERATURE @ 500 hPa  
 forecast: mean=1.1971 analysis: mean=0.98162

Can plot:  
 RMSE  
 Bias  
 Spread  
 Totalspread  
 Ens mean  
 QC value

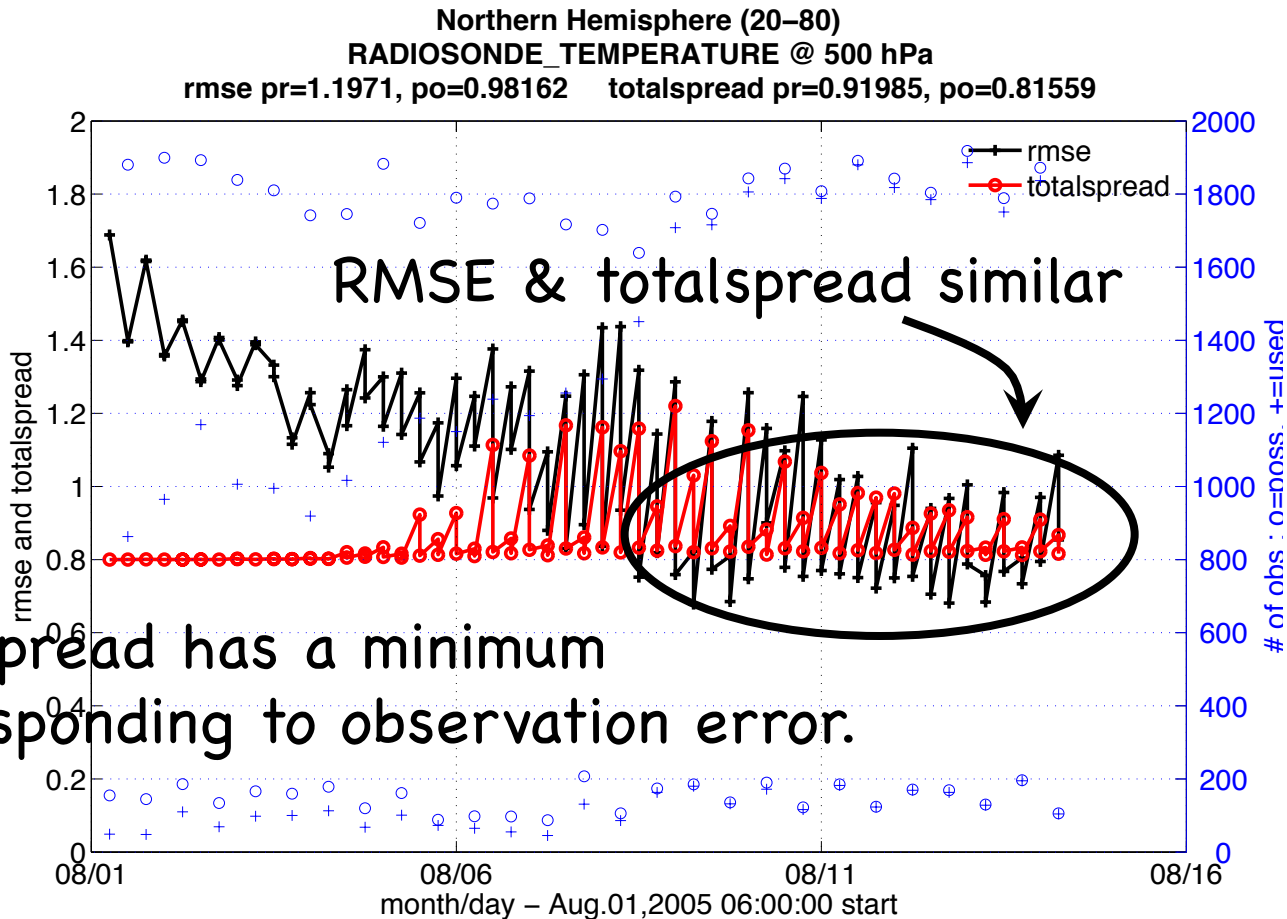


scale for # of observations

# of observations used - '+'

This experiment started from nearly identical conditions and the model dynamics caused it to diverge over time.

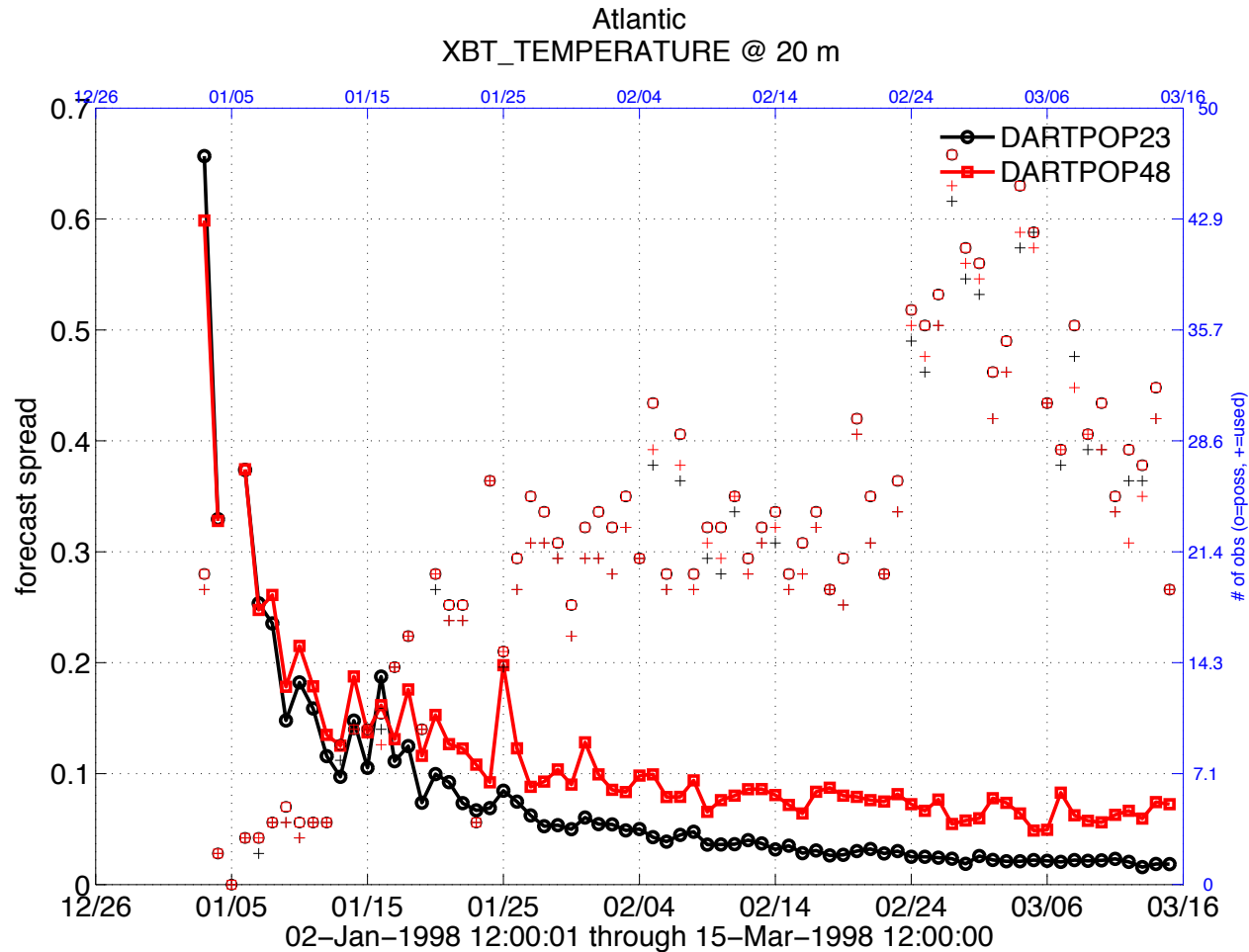
# evolution of two quantities



Totalspread has a minimum corresponding to observation error.

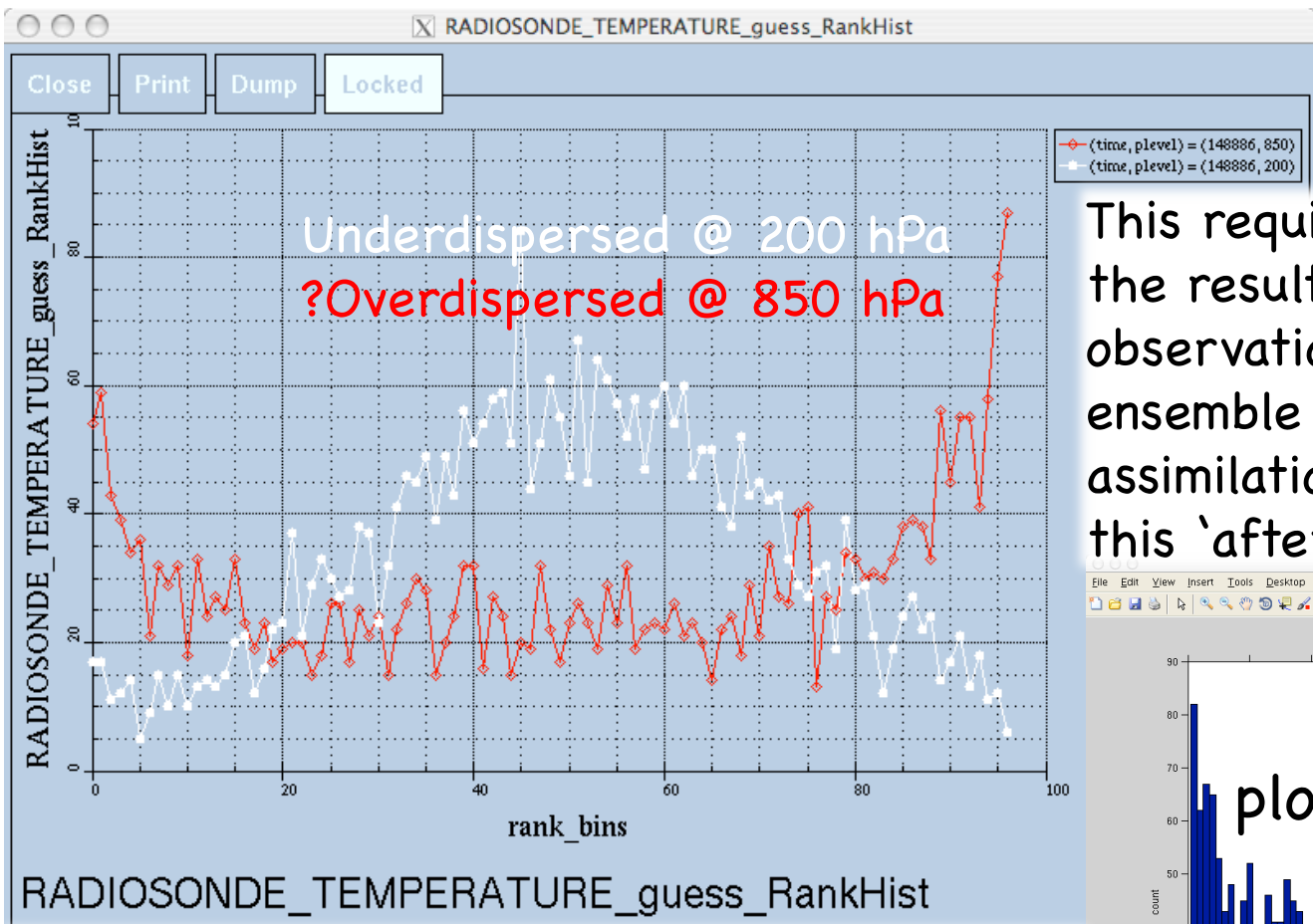
Prior and posterior plotted for each timestep ... sawtooth.

# compare multiple experiments

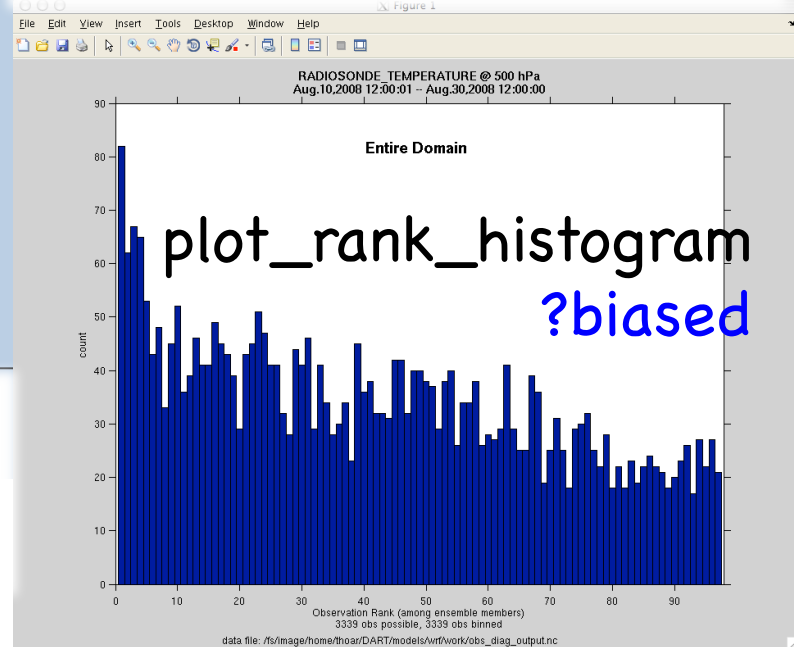


DART also has the ability to calculate the metrics for 'trusted' observations, i.e. even when the observation is rejected.

# observation-space rank histograms



This requires the user to save the results of the forward observation operator for all ensemble members during the assimilation. Cannot calculate this 'after the fact'.



ncview can plot rank histograms right from obs\_diag.f90 output. Can plot several levels on same axis.

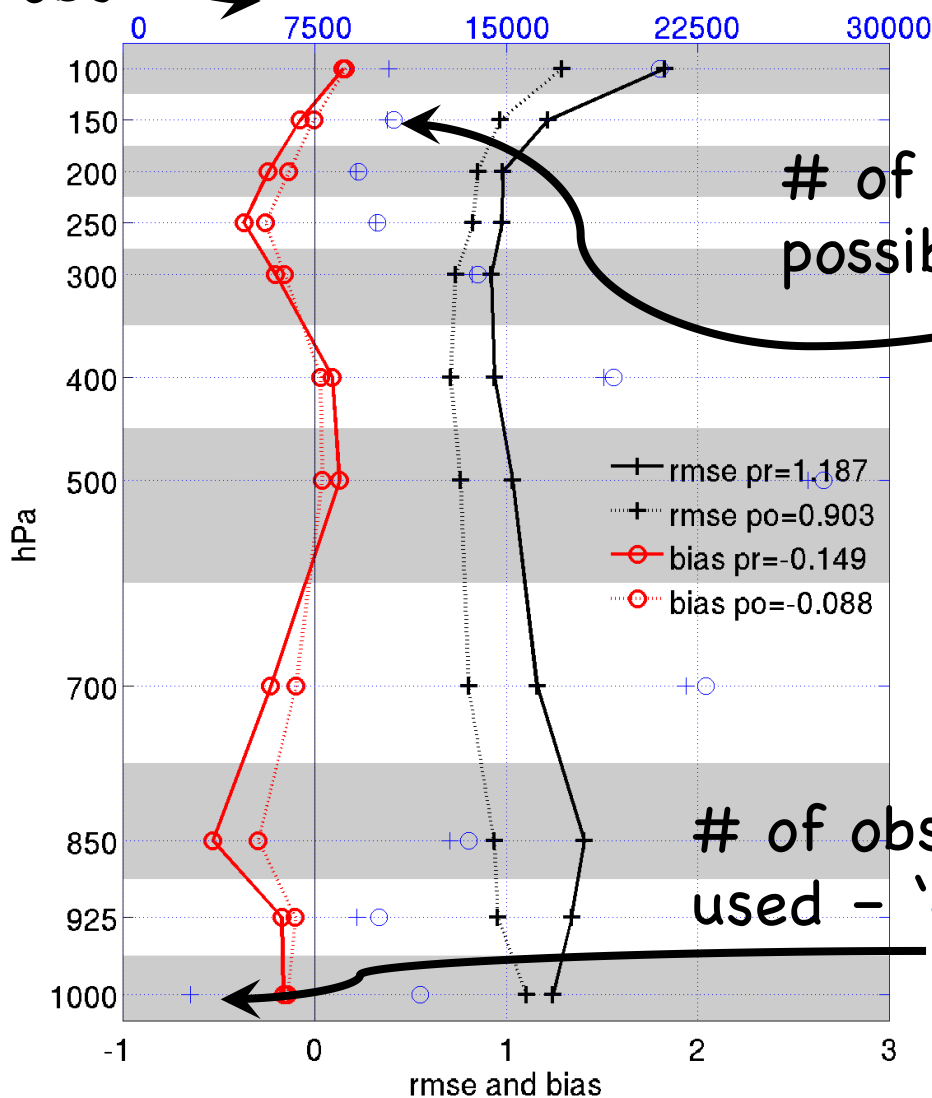


# an example of plot\_vertical

scale for #  
of obs

RADIOSONDE\_TEMPERATURE  
Tropics

# of obs (dashed) o=pos, +=used



Shaded areas correspond  
to vertical aggregation.

# of observations  
possible - 'o'

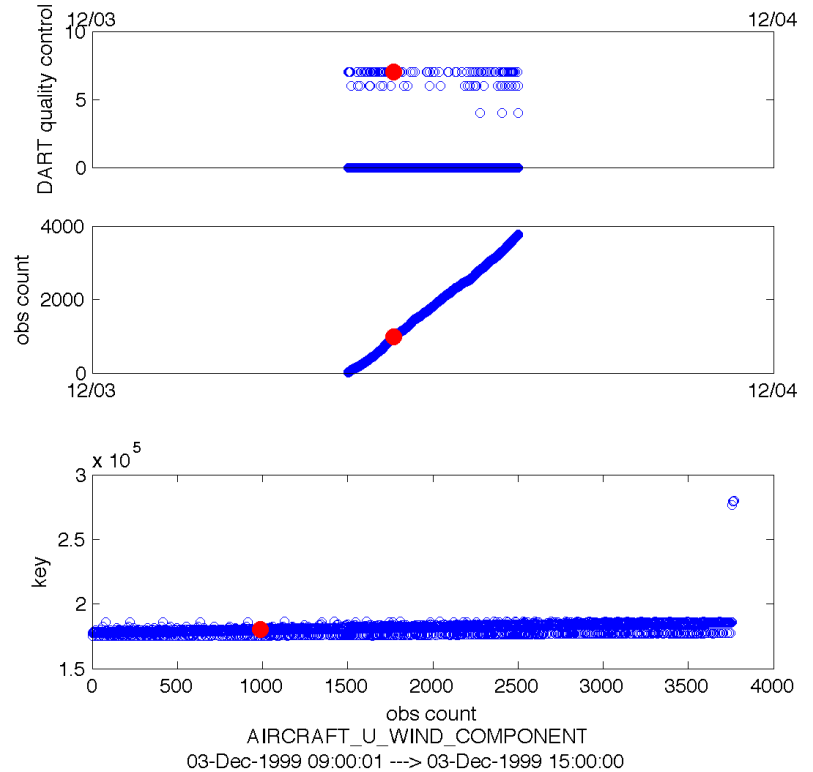
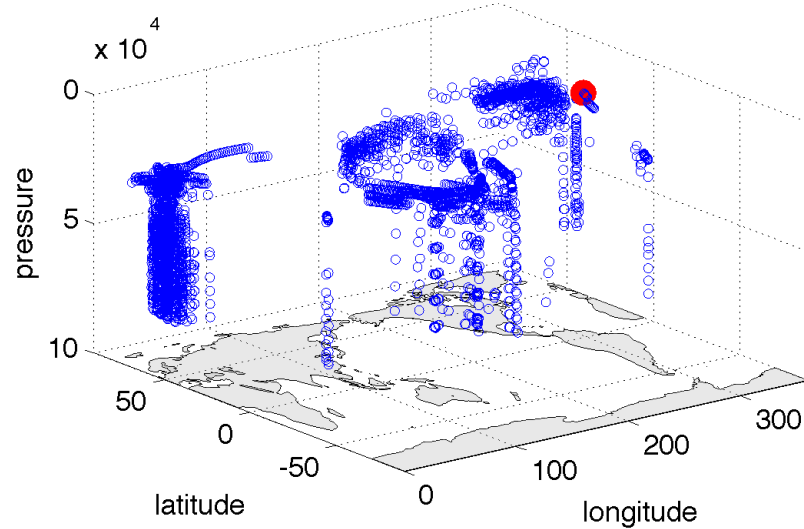
Important not to consider  
the 'spin up' period.

Priors are solid lines,  
posteriors are dashed.

# of observations  
used - '+'

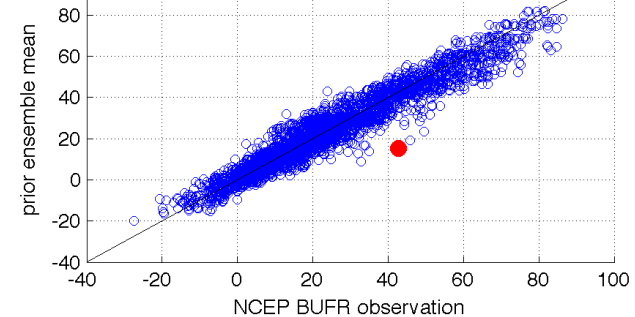
# an example of link\_obs

AIRCRAFT\_U\_WIND\_COMPONENT  
03-Dec-1999 09:00:01 ---> 03-Dec-1999 15:00:00



AIRCRAFT\_U\_WIND\_COMPONENT

03-Dec-1999 09:00:01 ---> 03-Dec-1999 15:00:00

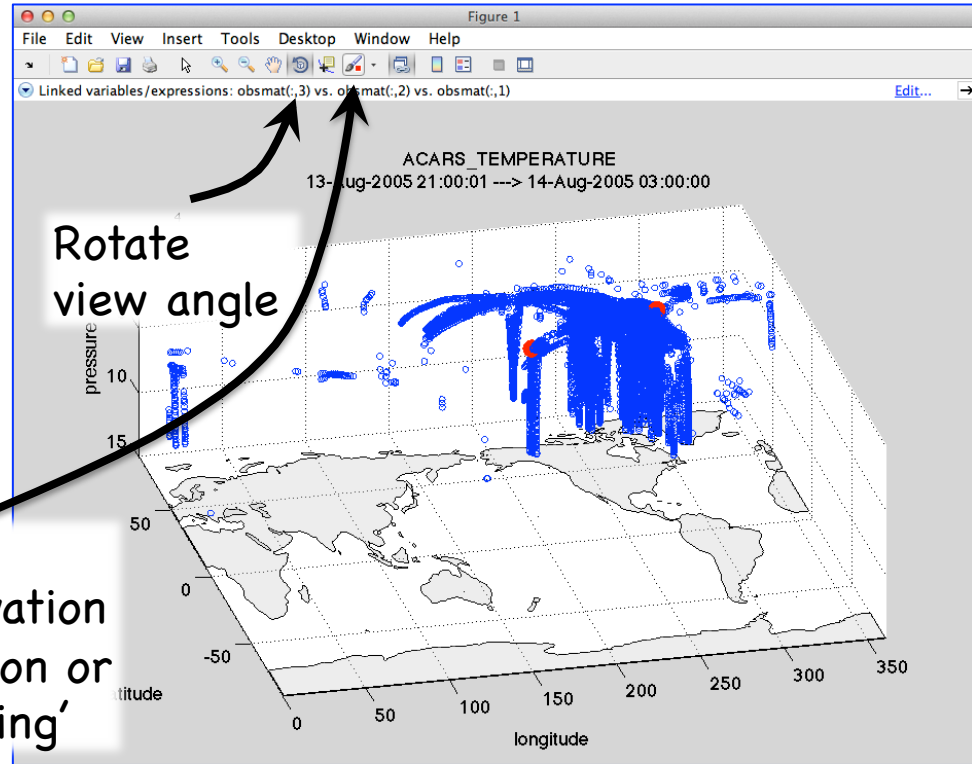
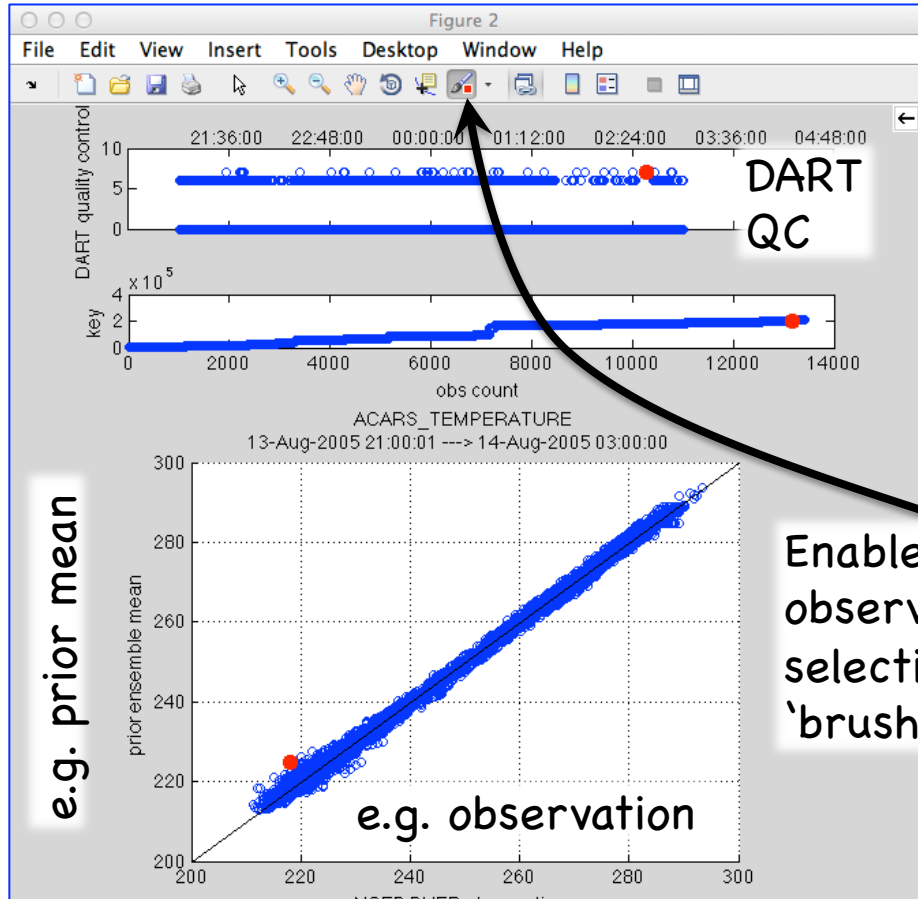


MATLAB 7.10.0 (R2010a)

```

File Edit View Graphics Debug Desktop Window Help
Current Folder: /Users/thoar/Documents/DART/models/cam/work
Workspace: plotobsman
Command Window:
link_obs('obs_epoch_011.nc', 'prior_ensemble_member_3', 'DART quality control', region)
>> name = 'POP11/obs_epoch_011.nc';
>> ObsTypeString = 'AIRCRAFT_U_WIND_COMPONENT';
>> ObsCopyString = 'NCEP BUFR observation';
>> CopyString = 'prior ensemble mean';
>> QCString = 'DART quality control';
>> region = [0 368 -90 90 -Inf Inf];
global obsmat;
link_obs(name, ObsTypeString, ObsCopyString, QCString, region)
N = 305 RADIOSONDE_SURFACE_ALTITUDE (type 8) tween levels 0.00 and 4500.00
N = 3245 MARINE_SFC_ALTITUDE (type 9) tween levels 0.00 and 335.00
N = 11929 LAND_SFC_ALTITUDE (type 18) tween levels -22.00 and 4701.00
N = 21048 RADIOSONDE_U_WIND_COMPONENT (type 12) tween levels 368.00 and 103500.00
N = 21048 RADIOSONDE_V_WIND_COMPONENT (type 13) tween levels 368.00 and 103500.00
N = 18470 RADIOSONDE_TEMPERATURE (type 15) tween levels 300.00 and 103500.00
N = 4744 RADIOSONDE_SPECIFIC_HUMIDITY (type 16) tween levels 3000.00 and 103500.00
N = 3768 AIRCRAFT_U_WIND_COMPONENT (type 19) tween levels 0.00 and 94210.00
N = 3768 AIRCRAFT_V_WIND_COMPONENT (type 20) tween levels 0.00 and 94210.00
N = 3742 AIRCRAFT_TEMPERATURE (type 21) tween levels 0.00 and 38820.00
N = 4479 ACARS_U_WIND_COMPONENT (type 25) tween levels 5530.00 and 103130.00
N = 4479 ACARS_V_WIND_COMPONENT (type 26) tween levels 5530.00 and 103130.00
N = 4464 ACARS_TEMPERATURE (type 27) tween levels 5530.00 and 103130.00
N = 1307 MARINE_SFC_U_WIND_COMPONENT (type 31) tween levels 0.00 and 135.00
N = 1307 MARINE_SFC_V_WIND_COMPONENT (type 32) tween levels 0.00 and 135.00
N = 2513 MARINE_SFC_TEMPERATURE (type 33) tween levels 0.00 and 132.00
N = 117 MARINE_SFC_SPECIFIC_HUMIDITY (type 34) tween levels 0.00 and 132.00
N = 18673 SAT_U_WIND_COMPONENT (type 43) tween levels 13700.00 and 92500.00
N = 18673 SAT_V_WIND_COMPONENT (type 44) tween levels 13700.00 and 92500.00
DART quality control is QC copy 2
replacing copies with [1 + QC flag + 5] with NaN
QC summary follows:
(DART quality control == 0) 3622 obs [assimilated]
(DART quality control == 4) 4 obs [prior forward operator failed]
(DART quality control == 6) 31 obs [prior QC rejected]
(DART quality control == 7) 111 obs [outlier rejected]
    
```

# an example of link\_obs



Enable observation selection or 'brushing'

e.g. prior mean

```

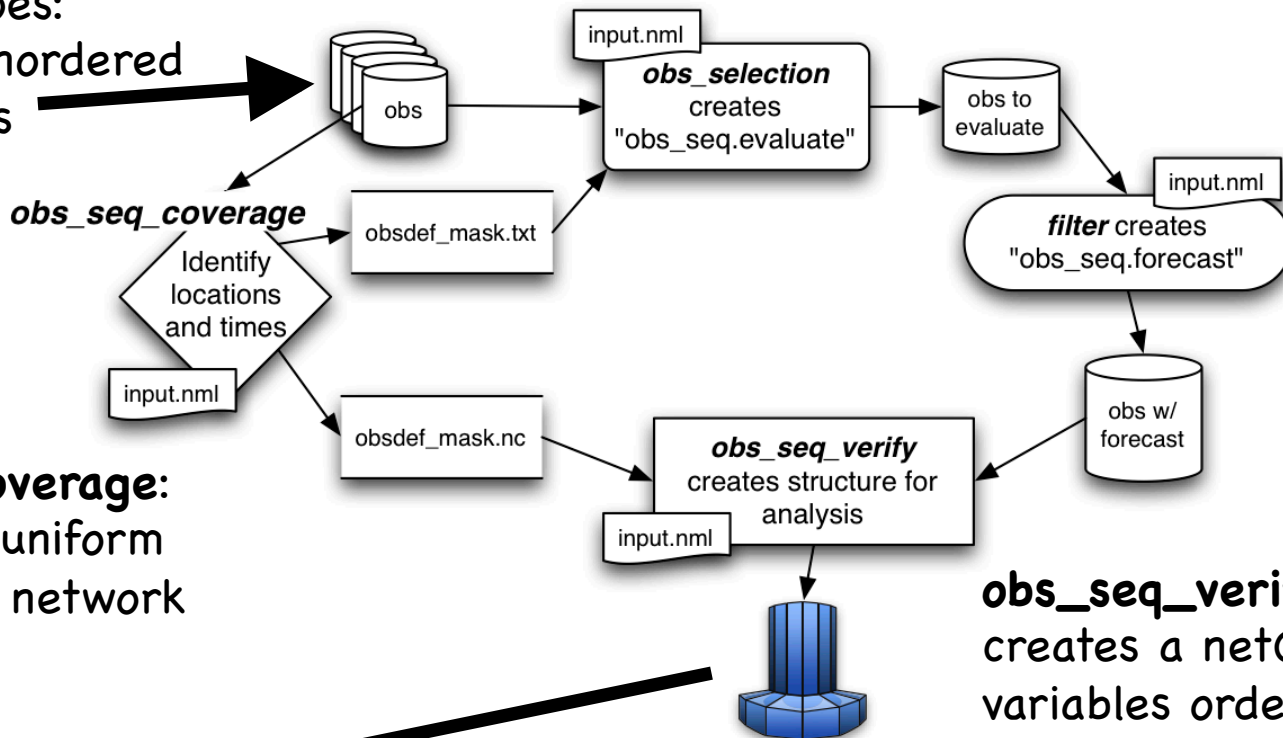
N = 27545  RADIOSONDE_U_WIND_COMPONENT (type 10) tween levels 320.00 and 102700.00
N = 27545  RADIOSONDE_V_WIND_COMPONENT (type 11) tween levels 320.00 and 102700.00
N = 22580  RADIOSONDE_TEMPERATURE (type 14) tween levels 360.00 and 102700.00
N = 11939  RADIOSONDE_SPECIFIC_HUMIDITY (type 15) tween levels 30000.00 and 102700.00
N = 6809   AIRCRAFT_U_WIND_COMPONENT (type 21) tween levels 17870.00 and 89150.00
N = 6809   AIRCRAFT_V_WIND_COMPONENT (type 22) tween levels 17870.00 and 89150.00
N = 4562   AIRCRAFT_TEMPERATURE (type 23) tween levels 17870.00 and 81200.00
N = 13465  ACARS_U_WIND_COMPONENT (type 25) tween levels 17870.00 and 101800.00
N = 13465  ACARS_V_WIND_COMPONENT (type 26) tween levels 17870.00 and 101800.00
N = 13409  ACARS_TEMPERATURE (type 27) tween levels 17870.00 and 101800.00
N = 2730   MARINE_SFC_U_WIND_COMPONENT (type 29) tween levels 0.00 and 195.00
N = 2730   MARINE_SFC_V_WIND_COMPONENT (type 30) tween levels 0.00 and 195.00
N = 2972   MARINE_SFC_TEMPERATURE (type 31) tween levels 0.00 and 195.00
N = 1315   MARINE_SFC_SPECIFIC_HUMIDITY (type 32) tween levels 0.00 and 192.00
N = 17813  SAT_U_WIND_COMPONENT (type 39) tween levels 13340.00 and 99280.00
N = 17813  SAT_V_WIND_COMPONENT (type 40) tween levels 13340.00 and 99280.00

DART quality control is QC copy 2
QC summary follows:
replacing copies with [1 < QC flag < 5] with NaN
(DART quality control == 0) 10747 obs [assimilated]
(DART quality control == 6) 2623 obs [prior QC rejected]
(DART quality control == 7) 39 obs [outlier rejected]
    
```

obsmat	1	2	3	4	5	6	7	8	9	1
13174	320	54	25000	222.1600	223.7053	0	204376	7.3254e+05	13174	
13175	211.6700	61.2700	42850	253.8600	252.6254	0	204379	7.3254e+05	13175	
13176	234.5200	54.0600	22730	219.1600	218.6082	0	204382	7.3254e+05	13176	
13177	205.9500	22.5300	22730	227.3600	225.8189	0	204385	7.3254e+05	13177	
13178	186.7100	57.4400	26190	224.1600	224.9040	0	204388	7.3254e+05	13178	
13179	211.8000	61.2700	41380	251.9600	250.5536	0	204452	7.3254e+05	13179	
13180	259.9700	25.1700	53590	271.3600	271.4563	0	204455	7.3254e+05	13180	
13181	298.3900	59.7300	23840	218.1600	224.6808	7	204458	7.3254e+05	13181	
13182	231.8100	58.4200	20650	214.8600	214.1854	0	204461	7.3254e+05	13182	
13183	210.5900	61.0300	73270	282.2600	281.8255	0	204464	7.3254e+05	13183	
13184	234.0900	36.7500	24990	224.8600	225.0371	0	204467	7.3254e+05	13184	
13185	176.6200	54.6500	28740	235.0600	234.3654	0	204470	7.3254e+05	13185	
13186	198.1800	19.6200	30090	242.3600	241.1061	0	204473	7.3254e+05	13186	
13187	238.8800	59.2600	22730	217.6600	216.6183	0	204476	7.3254e+05	13187	
13188	320.0800	53.0100	23840	222.1600	221.6454	0	204542	7.3254e+05	13188	
13189	278.1600	13.9100	21660	224.1600	223.2794	0	204545	7.3254e+05	13189	
13190	259.9600	25.2600	56760	273.8600	273.8386	0	204548	7.3254e+05	13190	
13191	101.1900	68.2400	24070	231.1600	231.3765	0	204551	7.3254e+05	13191	

# Observation processing for forecast metrics:

Anything goes:  
unsorted, unordered  
observations



**obs\_seq\_coverage**



obsdef\_mask.txt

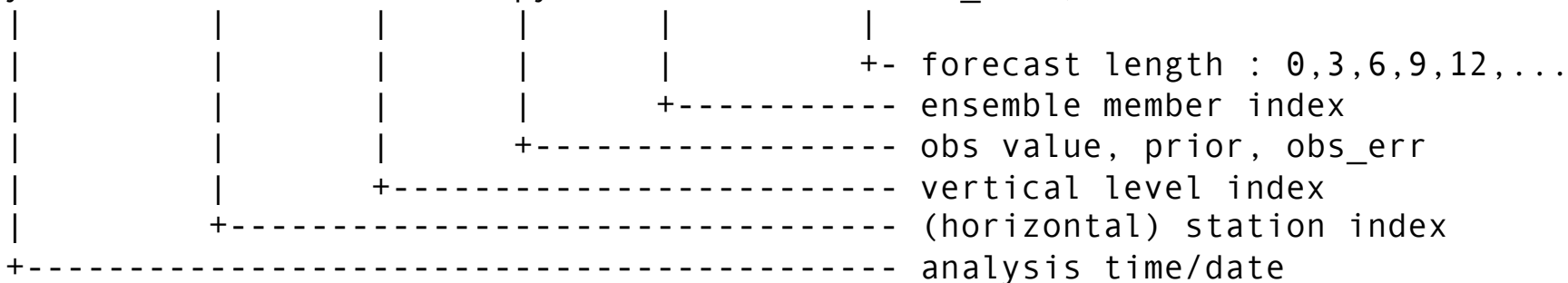
obsdef\_mask.nc

**obs\_seq\_coverage:**  
determines uniform  
observation network

**obs\_seq\_verify:**  
creates a netCDF file with  
variables ordered to make  
analysis easy

**VariableX:**

(analysisT, station, level, copy, ensemble, forecast\_lead)



# For more information:

CAM

GITM

ROMS

wrfHydro

WACCM

WRF

CLM

**D**ata  
**A**ssimilation  
**R**esearch  
**T**estbed



POP

AM2

BGRID

COAMPS

[www.image.ucar.edu/DARes/DART](http://www.image.ucar.edu/DARes/DART)

NOAH

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MPAS\_ATM

SQG

NAAPS

MPAS\_OCN

TIEGCM

COAMPS\_nest

PBL\_1d

CABLE

NCOMMAS

PE2LYR