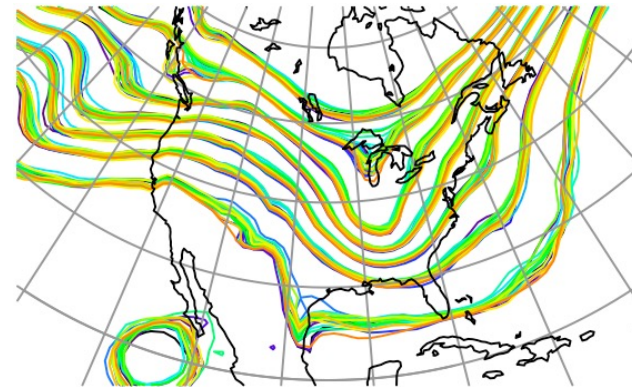


Data
Assimilation
Research
Testbed



Improving CLM5.0 Biomass and Carbon Exchange across the Western US using Data Assimilation (DART)

Brett Raczka, NCAR, Data Assimilation Research Section (DAReS)



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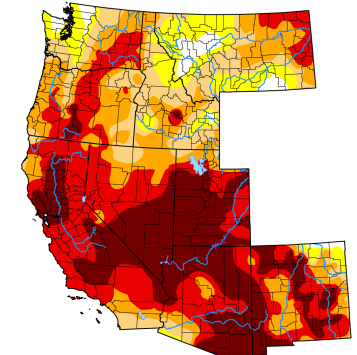
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UCAR | Atmospheric Research

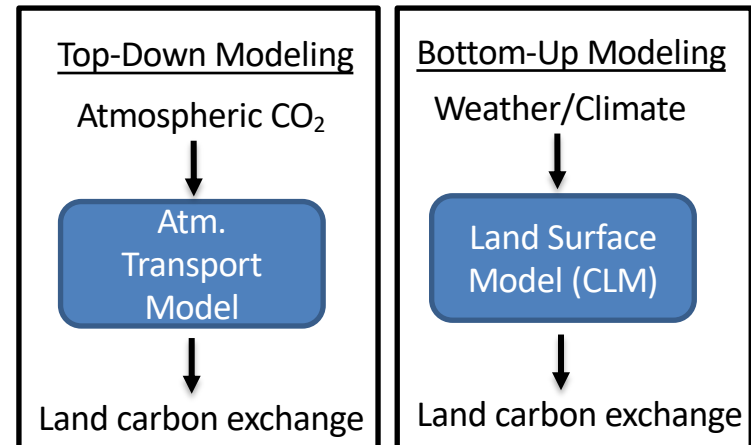
Carbon Monitoring Across Western US



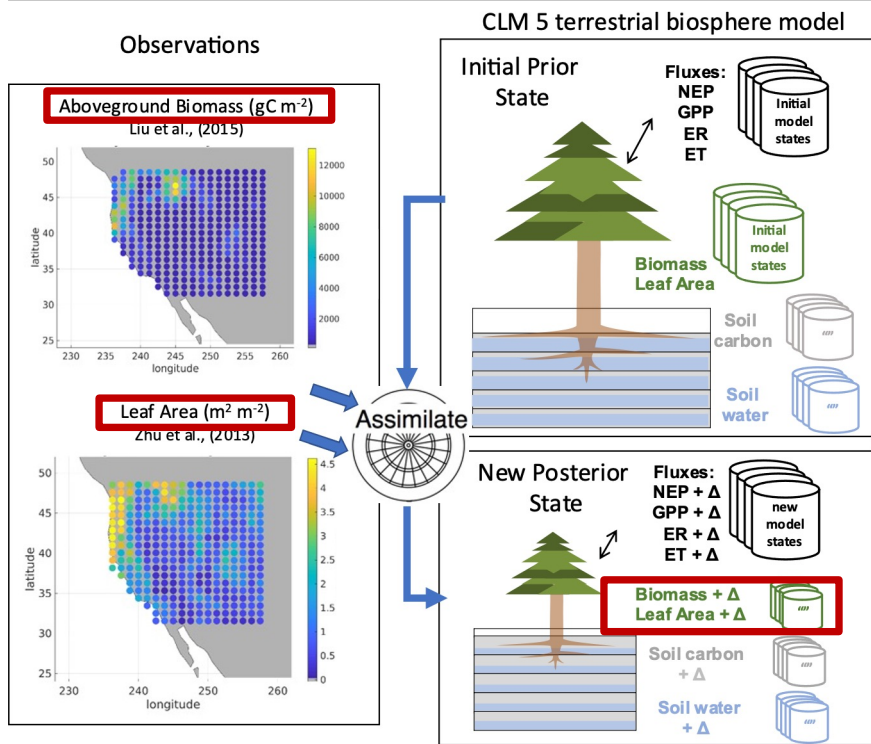
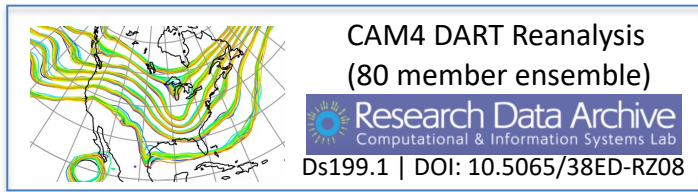
US Drought Monitor,
June 10, 2021



- Vulnerable carbon stocks create drastic change to landscape and ecosystem functioning
- Complex terrain challenges traditional carbon monitoring, flux towers, atmospheric inversions



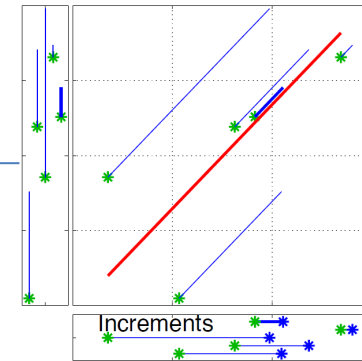
CLM5-DART Overview



L	V PFT1	V PFT3
G	V PFT2	V PFT4
U _T	C Uirr1	C Irr1
	C Uirr2	C Irr2

Applies update to PFTs and columns in restart file

Unobserved Variables



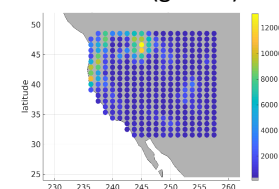
Ensemble estimated Biomass 'observation' (prior state)

Ensemble Adjusted Biomass (posterior state)

'Forward operator'

Live Stem C
Dead Stem C
Leaf C

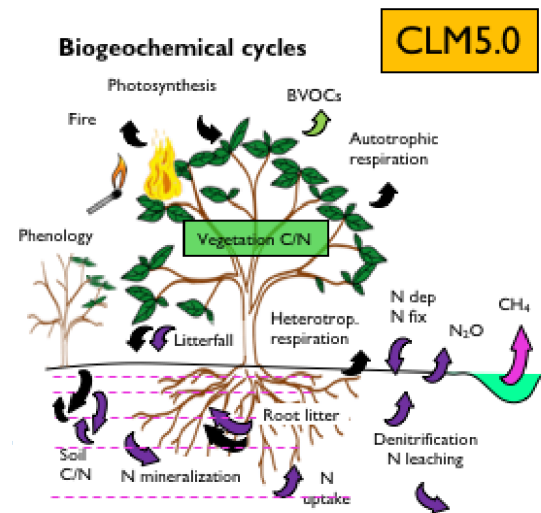
'Observed' Aboveground Biomass ($gC\ m^{-2}$)



CLM5-DART Methods/Terminology

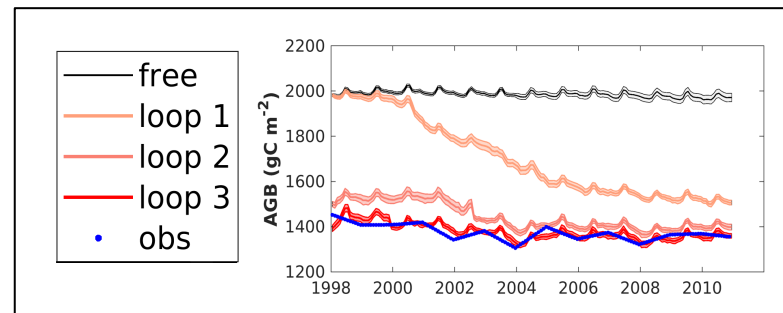
Single Instance Spinup Simulation

- Compset CLM5_BGC_Crop
- 200yr AD spin, 1000yr spin, transient (1850)
- Spatial Resolution (0.95°X1.25°)
- Spinup Meteorological Forcing: GRIDMET (Buotte et al., 2019)



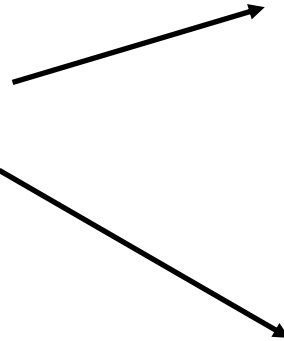
Assimilation Run

- 80 ensemble members (CAM4 Reanalysis)
- Assimilation time window: 1998-2011, 3 cycles (looping)
- Adaptive Inflation



CLM5-DART Methods/Terminology

- Remotely Sensed ‘Observations’
(1.25°x0.95°)



Monthly Aboveground Biomass (AGB)

Global Data Sets of Vegetation Leaf Area Index (LAI)3g and Fraction of Photosynthetically Active Radiation (FPAR)3g Derived from Global Inventory Modeling and Mapping Studies (GIMMS) Normalized Difference Vegetation Index (NDVI3g) for the Period 1981 to 2011

Zhu et al., (2013) *Remote Sensing*

- Observation Rejection Threshold: 3 sigma

Monthly Leaf Area Index (LAI)

Recent reversal in loss of global terrestrial biomass

Liu et al., (2015) [nature climate change](#)

- Spatial Localization:
Horizontal range: ~100 km
- State Space Localization:
Select most important variables for carbon cycling

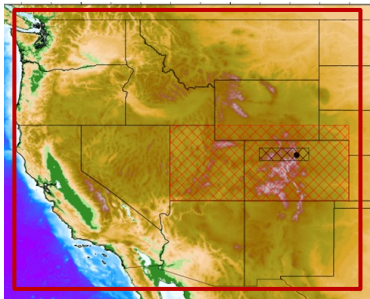
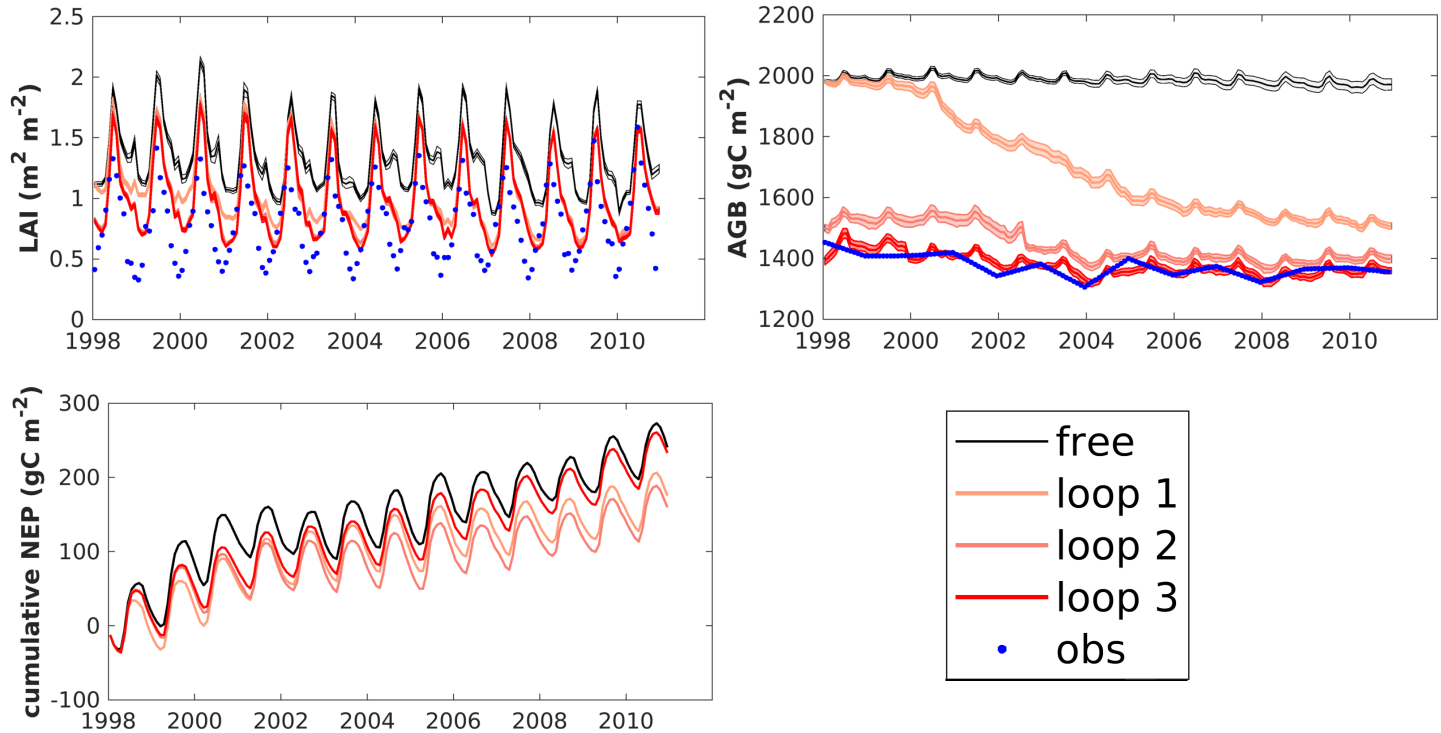
‘Standard’ Adjusted State Variables (Biomass C, N)

Leaf carbon	Leaf nitrogen
Live stem carbon	Fine root nitrogen
Dead stem carbon	Live coarse root nitrogen
Leaf area index	Dead coarse root nitrogen
Fine root carbon	Live stem nitrogen
Live coarse root carbon	Dead stem nitrogen
Dead coarse root carbon	



Observations reduce biomass/leaf area, net carbon flux steady

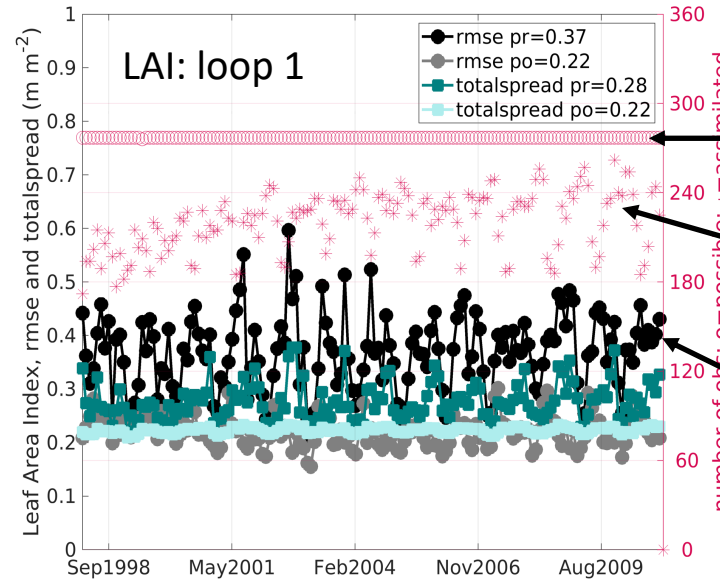
- 31 and 27 % reduction in AGB and LAI respectively



Simulation Name	AGB (kgC m ⁻²)	LAI (m m ⁻²)	GPP (gC m ⁻² month ⁻¹)	ER (gC m ⁻² month ⁻¹)	NEP (gC m ⁻² month ⁻¹)
<i>Free</i>	1.98	1.31	48.18	47.18	1.00
<i>CLM5-DART</i>	1.36	0.96	38.49	37.21	1.28

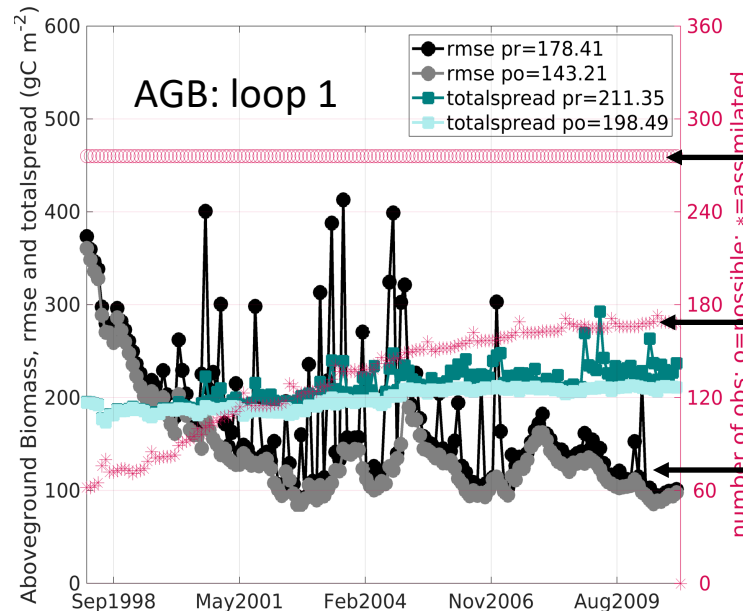
Diagnostics of LAI/AGB observation acceptance and RMSE

LAI: steady acceptance rate (90%) seasonal dependence, RMSE steady



Observations possible
 Observations assimilated
 Prior RMSE

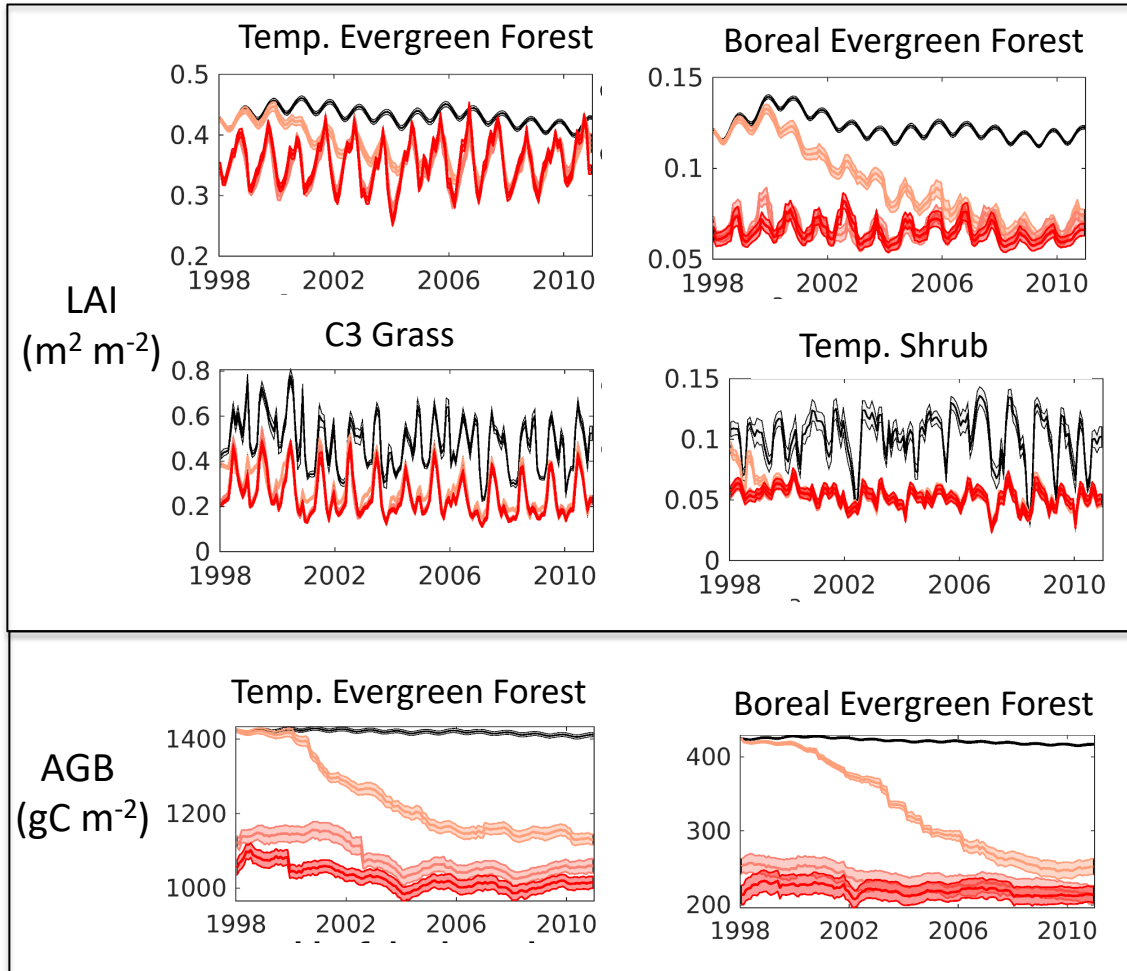
AGB: increasing acceptance rate (75%), decreasing RMSE



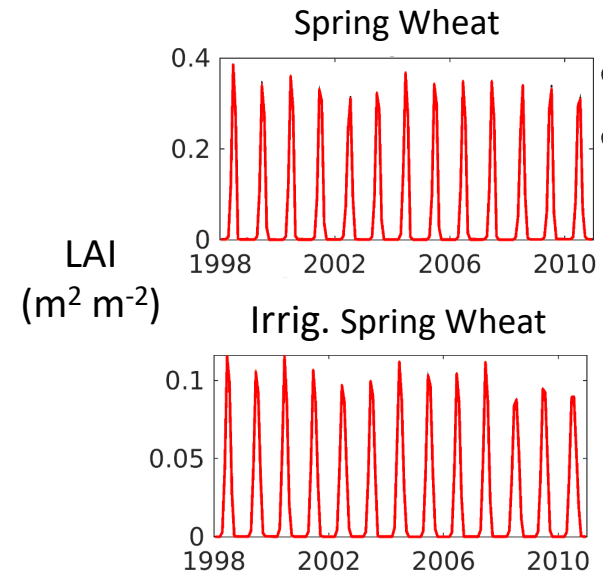
Observations possible
 Observations assimilated
 Prior RMSE



Behavior for dominant PFTs within domain

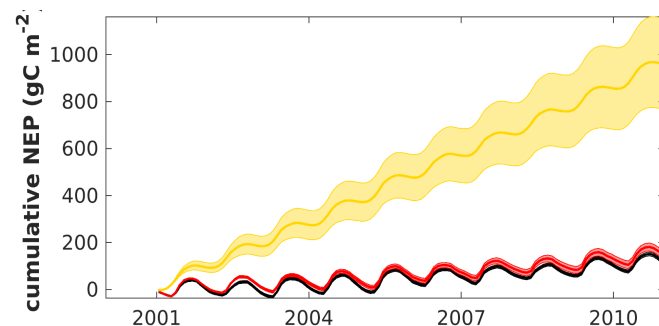
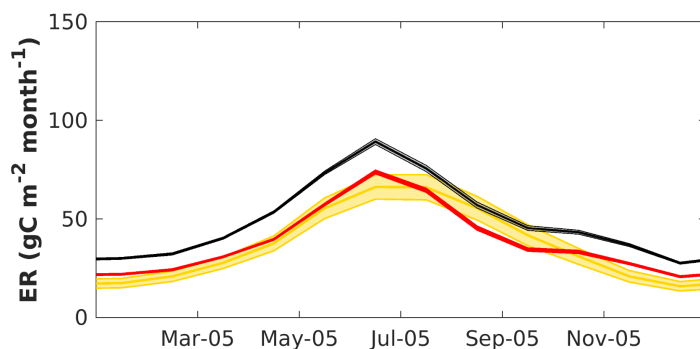
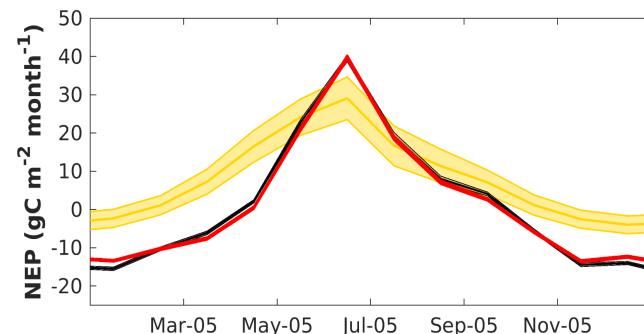
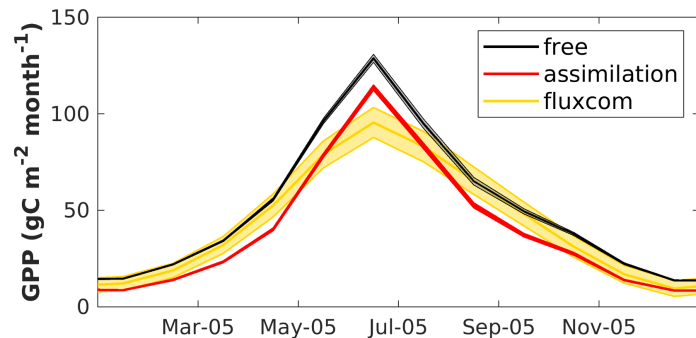


- Crops have much smaller adjustments than natural vegetation



CLM5-DART simulates weak carbon sink compared to FLUXCOM

- CLM5-DART (red) reduces biomass states create offsetting reductions in GPP and ER compared to free run
- FLUXCOM (yellow): Machine learning approach that uses flux tower data, satellite data and meteorology as explanatory variables for carbon cycling data product Jung et al., (2020).

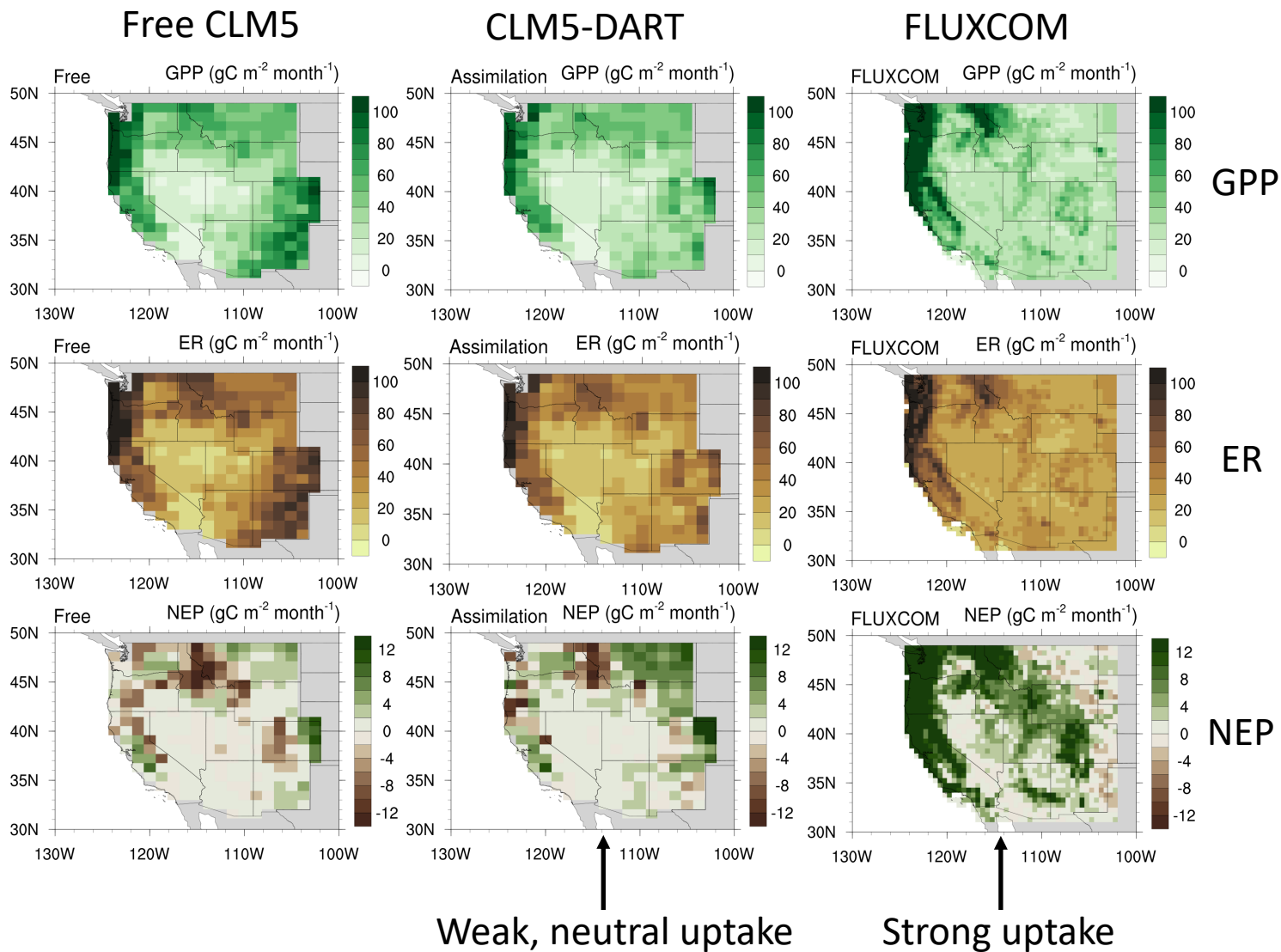


- Difference due to disturbance history?
- Need more adjusted variables in CLM5-DART?



CLM5-DART simulates weak carbon sink compared to FLUXCOM

1998-2011
Average
Fluxes

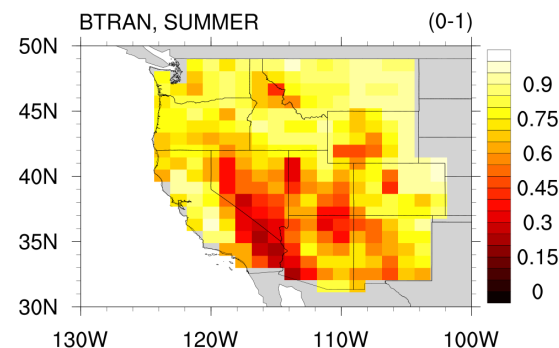
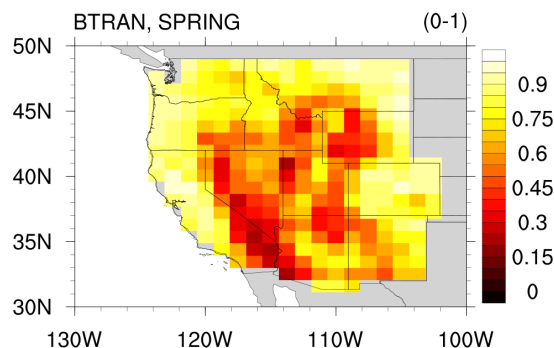


Water limitation shapes carbon uptake pattern

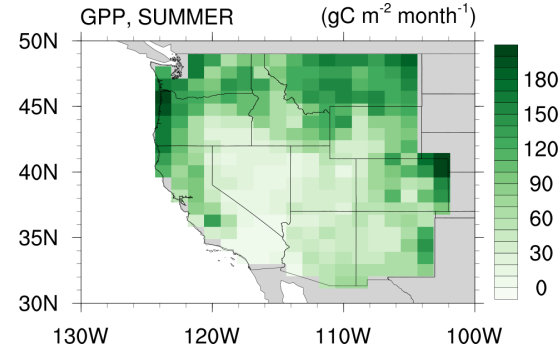
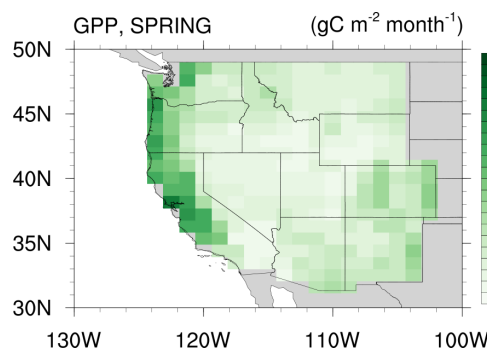
Spring (1998-2011)

Summer (1998-2011)

- Soil moisture limitation and GPP highly correlated (spring: $R=0.64$; summer: $R=0.67$)

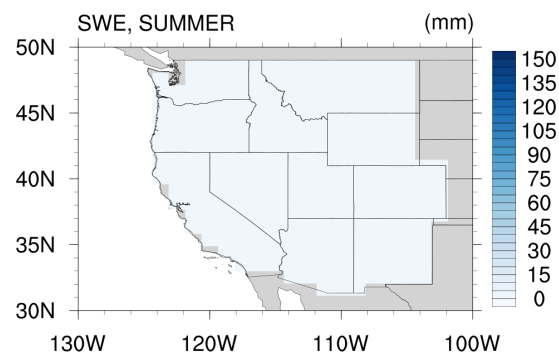
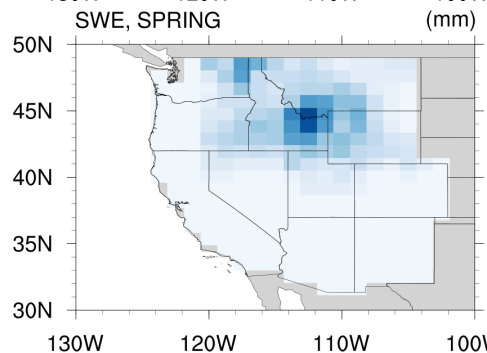


Soil moisture limitation



GPP

- Simulated snow has low bias

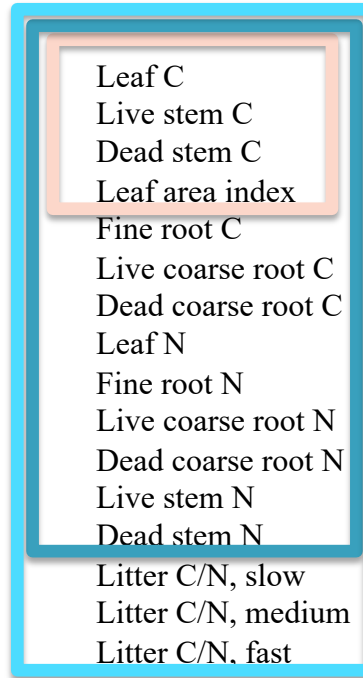
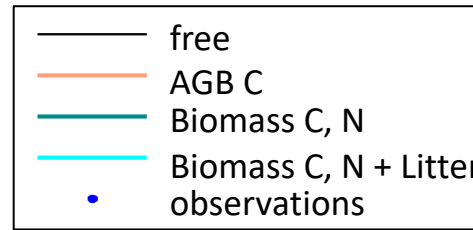
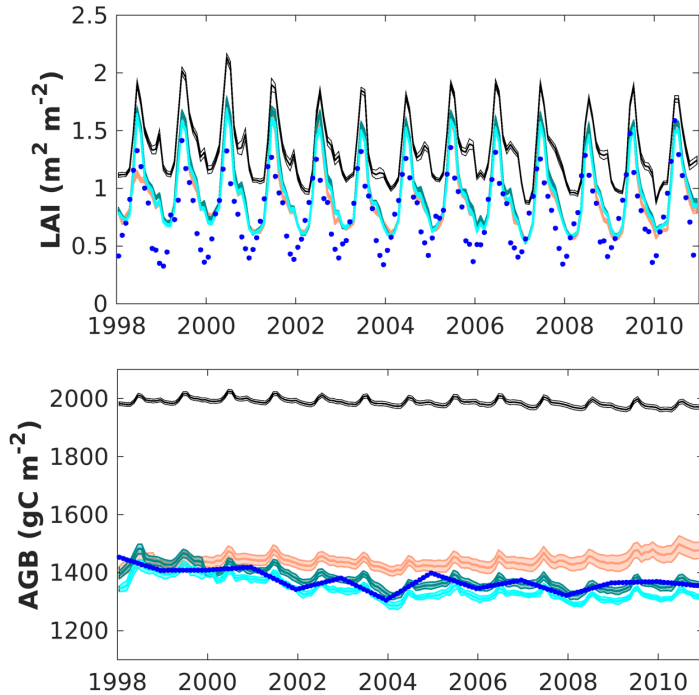


Snow water equivalent

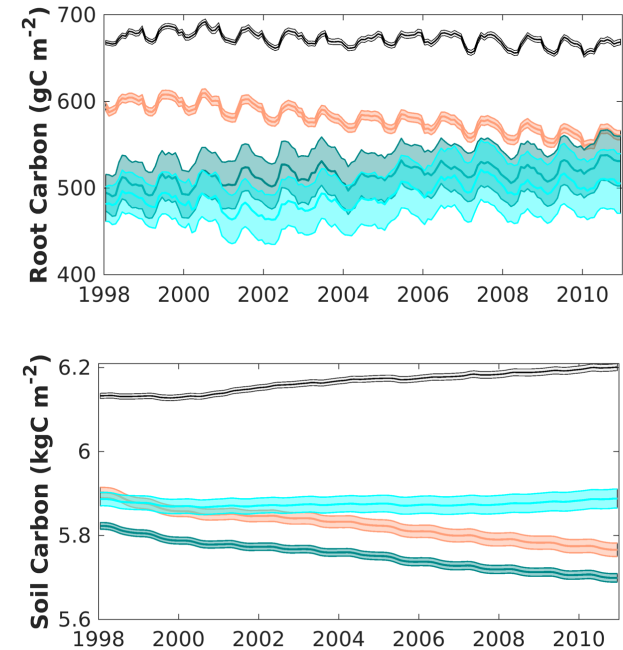


Impact of adjusted variables (loop 3 only)

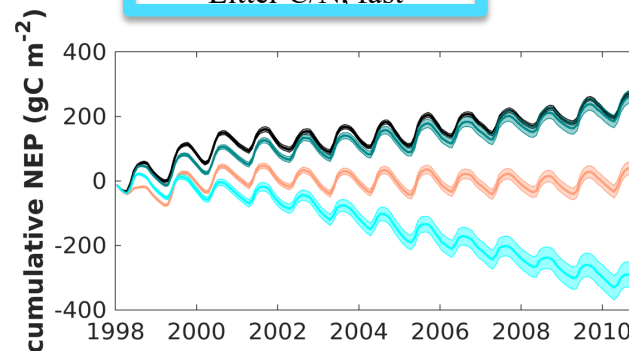
Adjusted variables



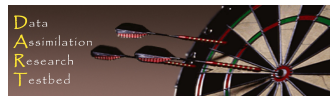
Other variables



- Net land carbon uptake (cumulative NEP) is near neutral for all assimilation runs

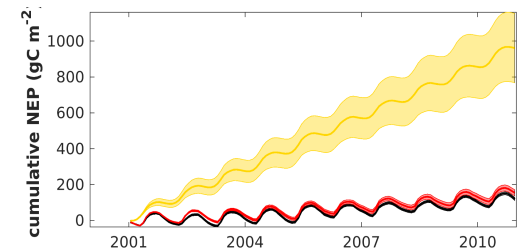
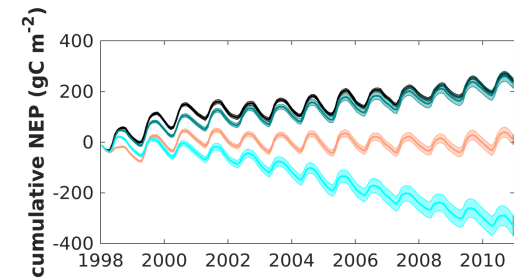
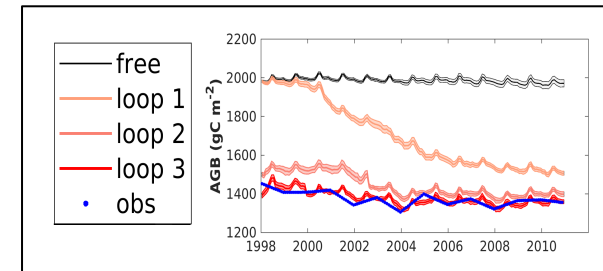


- Would flux behavior change if soil carbon was directly adjusted?



Key Points

- Assimilating observations of biomass and leaf area reduced simulated biomass and projects a weak land carbon sink across the Western US.
- The estimate of carbon uptake was robust across various assimilation setup settings.
- Our estimate of carbon exchange contrasts with an independent FLUXCOM estimate that shows a significant carbon sink in the Western US.
- Water cycle observations should be used to complement biomass observations to improve the spatial pattern of modeled carbon fluxes



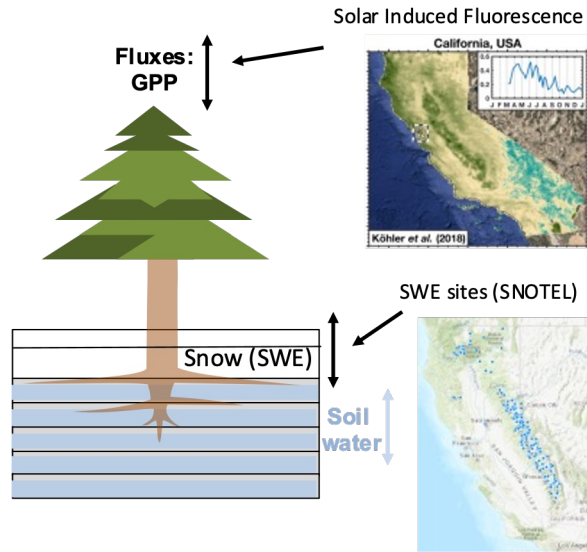
Improving CLM5.0 Biomass and Carbon Exchange across the Western US Using a Data Assimilation System

Brett Raczka, Tim Hoar, Henrique Duarte, Andy Fox, Jeff Anderson, David Bowling John Lin
**Accepted; JAMES

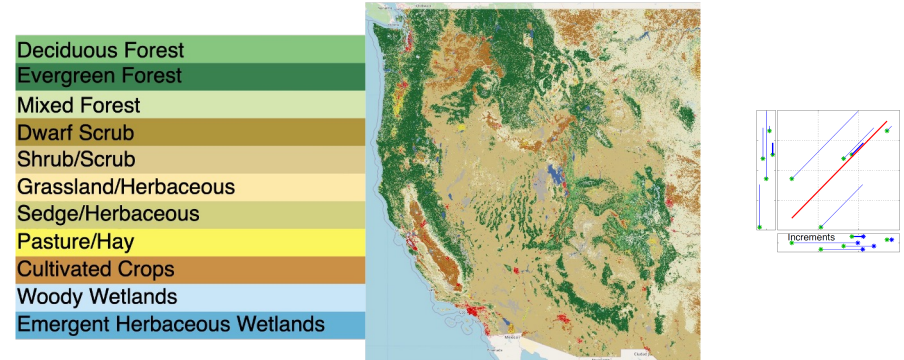


Future Directions

Additional data streams help constrain carbon cycling



Using high res land cover maps for improved forward operators (PFT specific).



Finer Spatial Resolution?

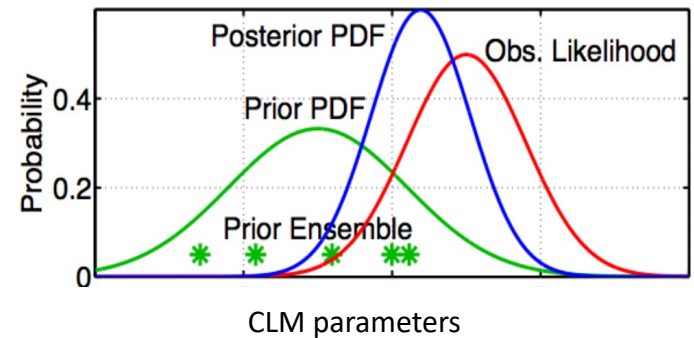
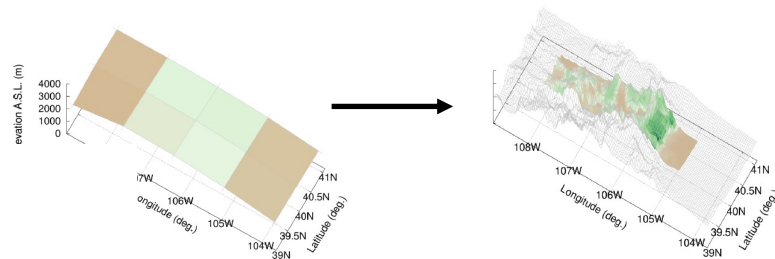
Parameter Estimation

Atmosphere:

CAM4 Reanalysis ($\sim 2^\circ$)
Ds199.1 | DOI: 10.5065/38ED-RZ08

CAM6 Reanalysis ($\sim 1^\circ$)
Ds345.0 | DOI: 10.5065/JG1E-8525

Land surface:



For more information:

CAM *GCOM* *CAM-Chem* *FESOM* *ROMS*
GITM *CABLE* *WRF-Hydro* *WACCM* *WRF*

CLM

Data
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Research
Testbed



POP

AM2

BGRID

SQG

<https://dart.ucar.edu>

COAMPS

NOAH

<https://docs.dart.ucar.edu>

NCOMMAS

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MITgcm_ocean

WRF-Chem

COAMPS_nest

NAAPS

WACCM-X