

*Towards a new interpretation of  
upper-ocean dynamics  
using Surface Quasi-Geostrophy*

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# Upper oceanic layers at mesoscale

## Classical paradigm

- QG turbulence driven by **interior potential vorticity**
- Kinetic Energy in  $k^{-3}$  at mesoscales (Charney, 1971)
- The altimeter sees **1st baroclinic mode** (Stammer, 1997)
- Transfer of surface (baroclinic) KE towards **small scales**

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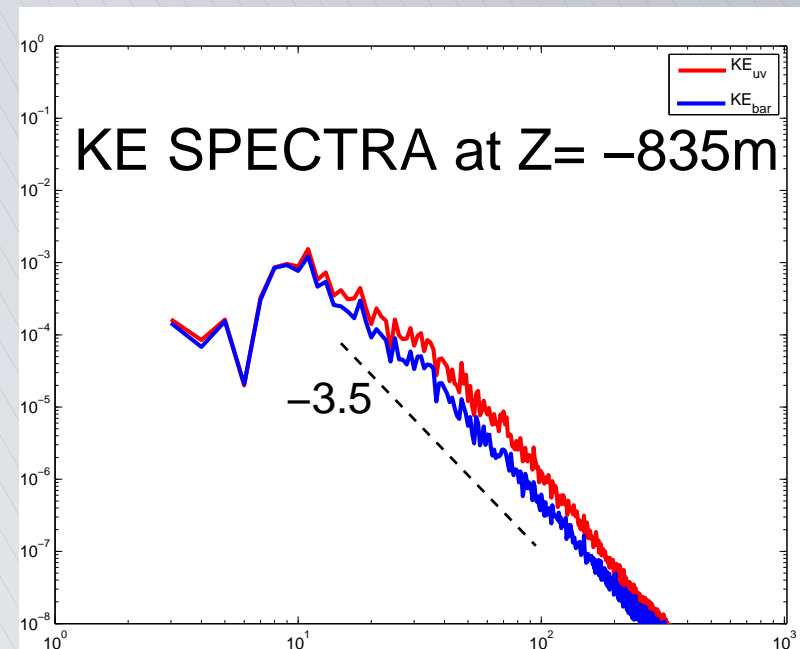
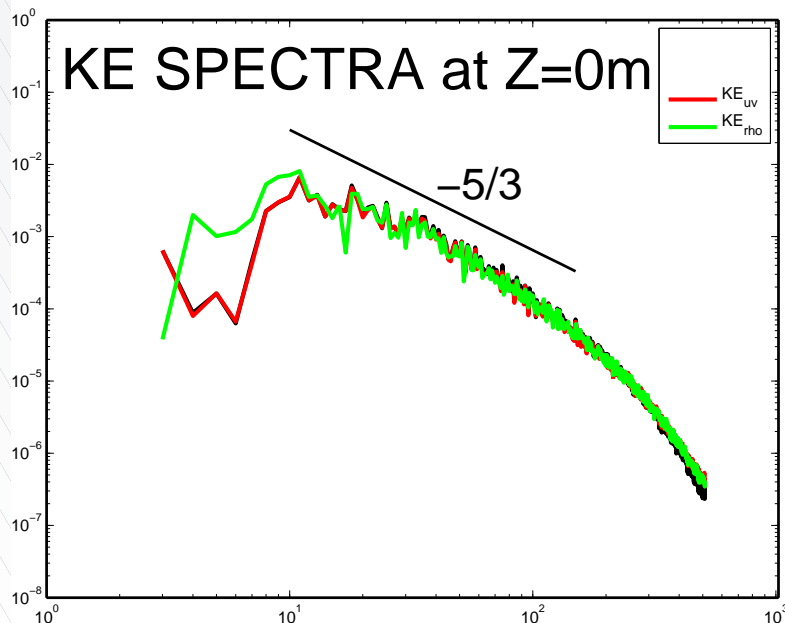
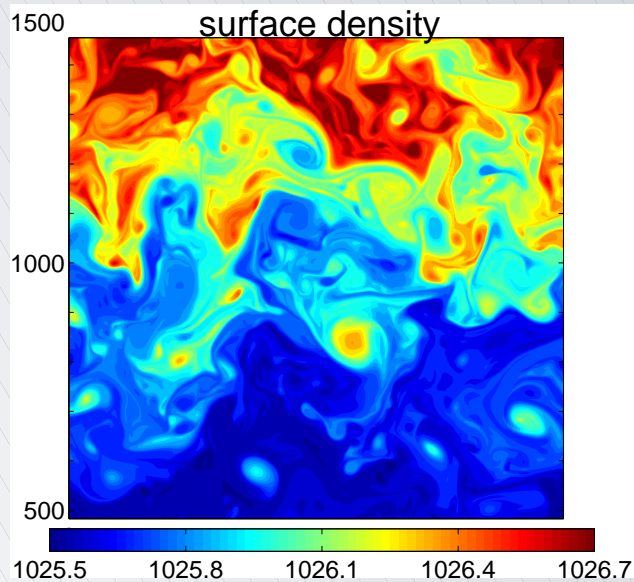
## In contradiction with recent results for ocean surface

- Kinetic energy spectra in  $k^{-5/3}$  (Le Traon et al. 2008)
- Transfer of surface Kinetic Energy towards **large scales**  
(Scott et Wang 2005)

⇒ **need to better understand surface dynamics**

# *PE simulations at very high resolution*

Stratified turbulence  
with baroclinic  
unstable front  
Earth Simulator (Japan)  
(Klein et al. 2008)



# Surface ocean dynamics

## Towards a new interpretation

- Dynamics driven by **surface density** and not by **interior potential vorticity**
  - The altimeter sees a surface-intensified mode (Lapeyre 2007, submitted)
  - **Surface Quasi-Geostrophic model**
    - KE spectra in  $k^{-5/3}$  (Held et al. 1995)
    - Same spectra for surface KE and density
    - Inverse transfer of surface KE (Capet et al. 2008 )
- ⇒ **consistent with surface observations**

# Potential vorticity inversion

QG PV inversion  $\equiv$  invert an **elliptic equation** :

$$\underbrace{\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2}}_{\text{relative vorticity}} + \underbrace{\frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right)}_{\text{vortex stretching}} = PV$$

with **surface boundary condition**

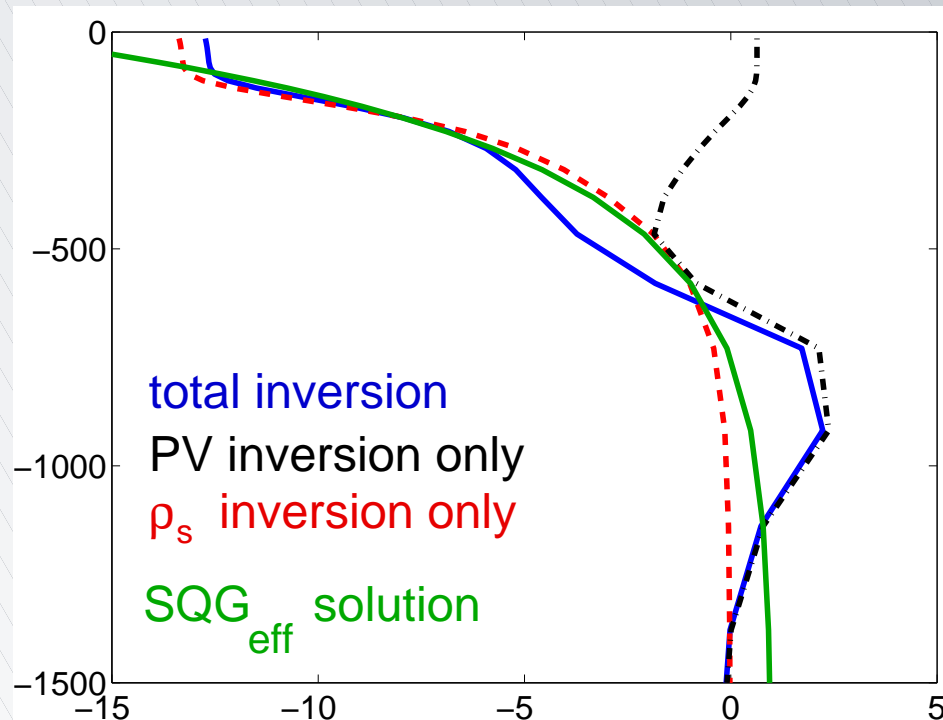
$$f_0 \left. \frac{\partial \psi}{\partial z} \right|_{z=0} = b|_{z=0} \quad b = -\frac{g\rho}{\rho_0}$$

**Important remark:**

$b|_{z=0}$  **plays the same role as interior PV!**

# Surface vs interior decomposition

$$\text{total inversion} = \text{inversion (PV)} + \text{inversion } (b|_{z=0})$$



vertical distribution of  $\hat{\psi}$   
for an horizontal mode  
 $k = 2\pi/80 \text{ km}^{-1}$   
using data from  
realistic simulation  
(POP model)

⇒ **Effective SQG solution** with constant  $N^2$   
may represent upper layer dynamics

# Surface QG model

Solution with constant  $N^2$  using surface density

$$\hat{\psi}(\mathbf{k}, z) = \frac{1}{N} \frac{\hat{\mathbf{b}}_s(\mathbf{k})}{|\mathbf{k}|} \exp\left(\frac{N}{f_0} |\mathbf{k}| z\right)$$

- link between SSH and SST in Fourier space:

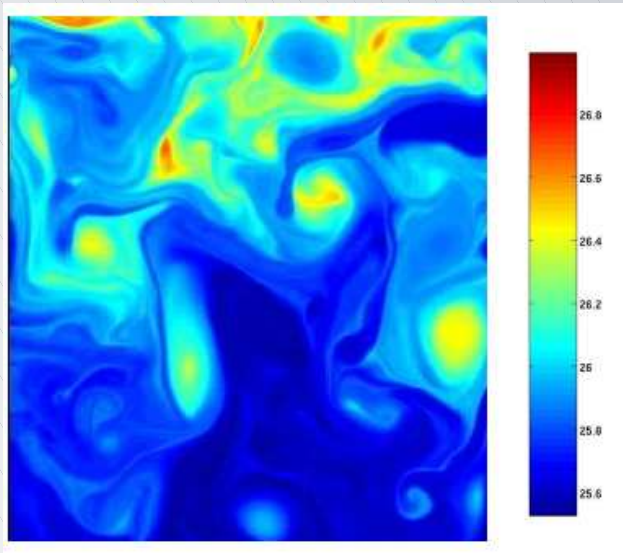
$$\text{SSH} \propto \mathbf{k}^{-1} \text{SST}$$

- same spectra for surface KE and SST
- Reconstruction of upper-layer dynamics using surface density only

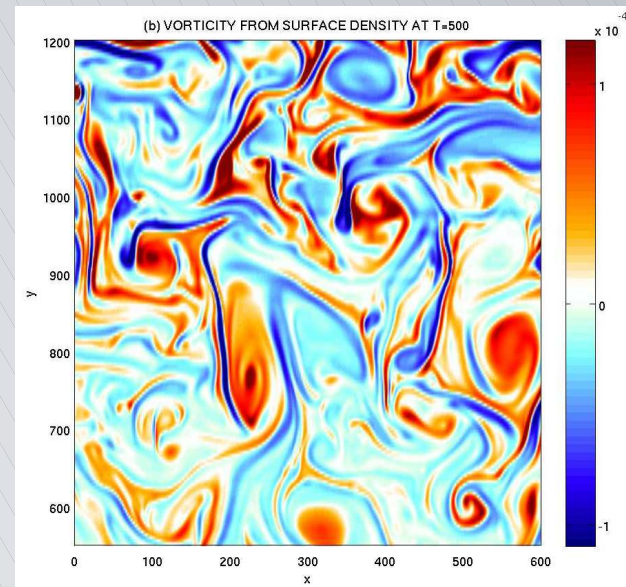
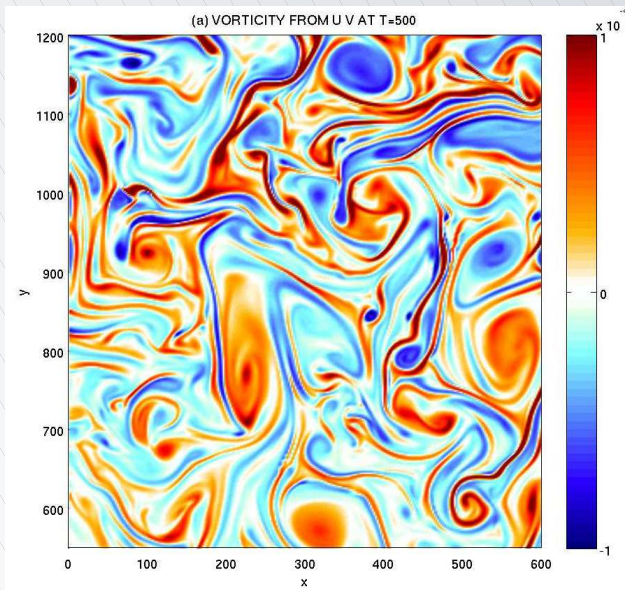
⇒ Test of the SQG solution in different models



# surface density



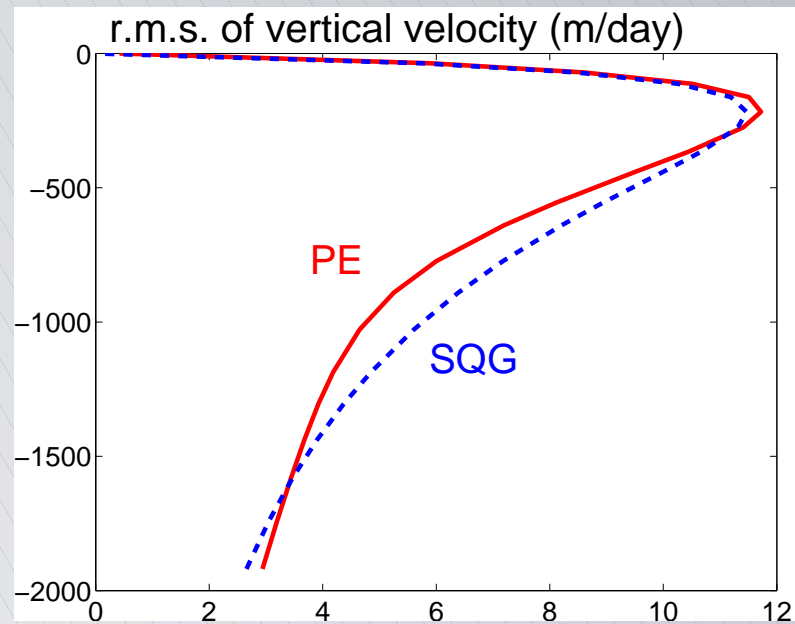
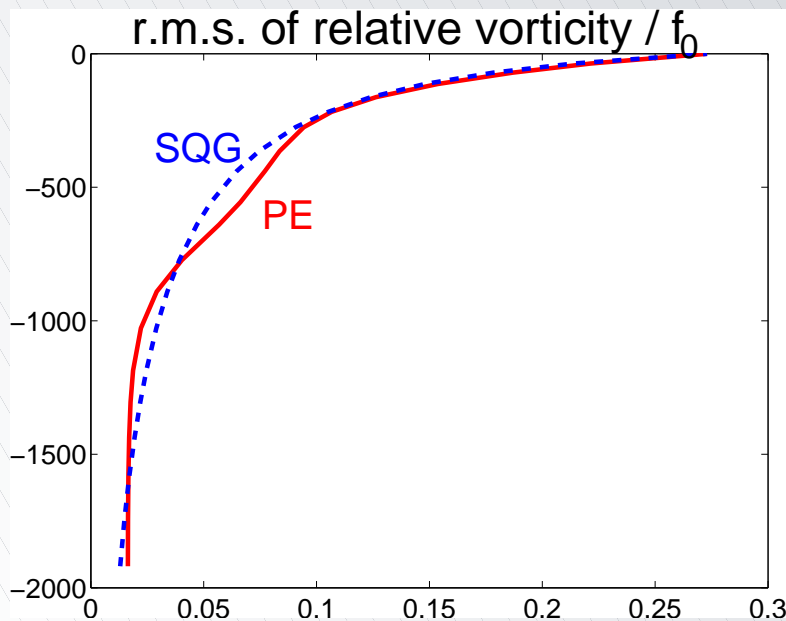
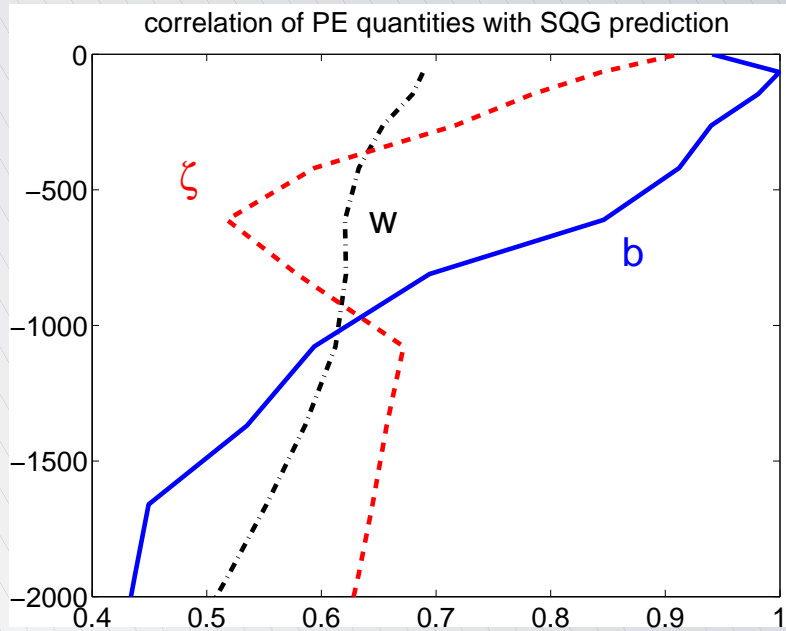
Reconstruction  
of vorticity field  
at the surface  
(Earth Simulator  
simulations)



relative vorticity ( $s^{-1}$ )

SQG prediction

Reconstruction  
relatively accurate  
down to 500 m

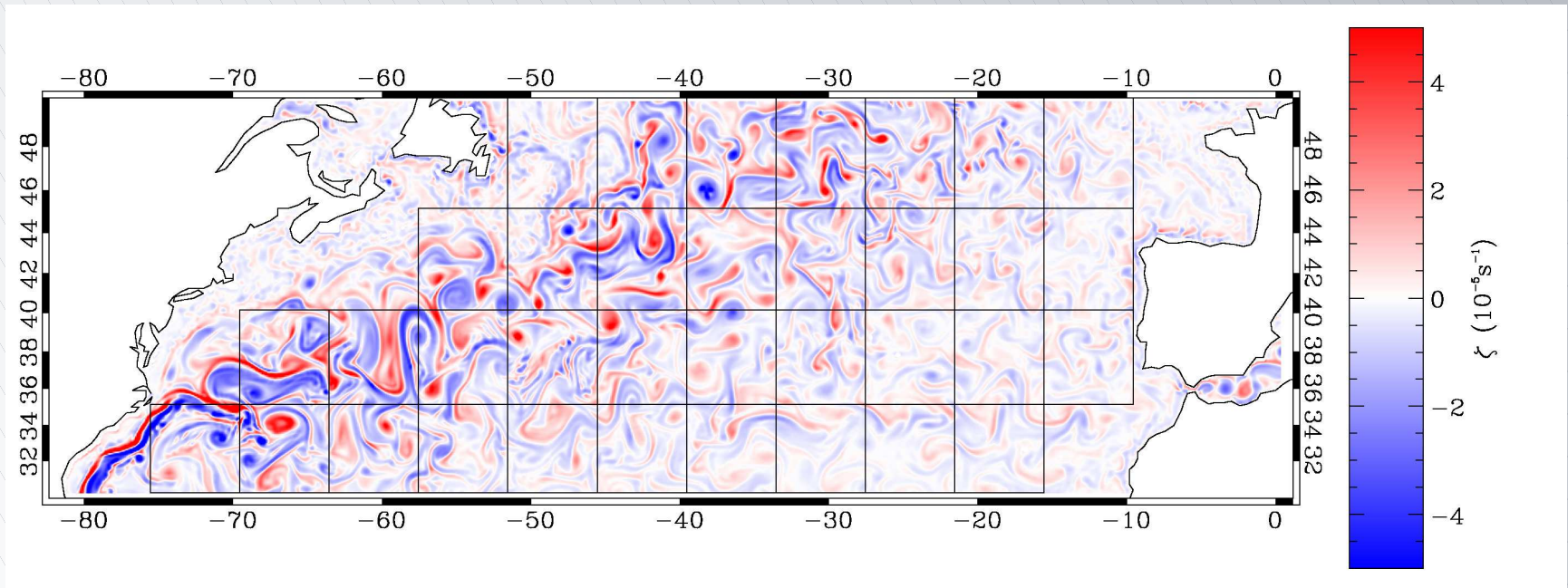


# Realistic simulation of the North Atlantic

(Isern-Fontanet et al. 2007, submitted)

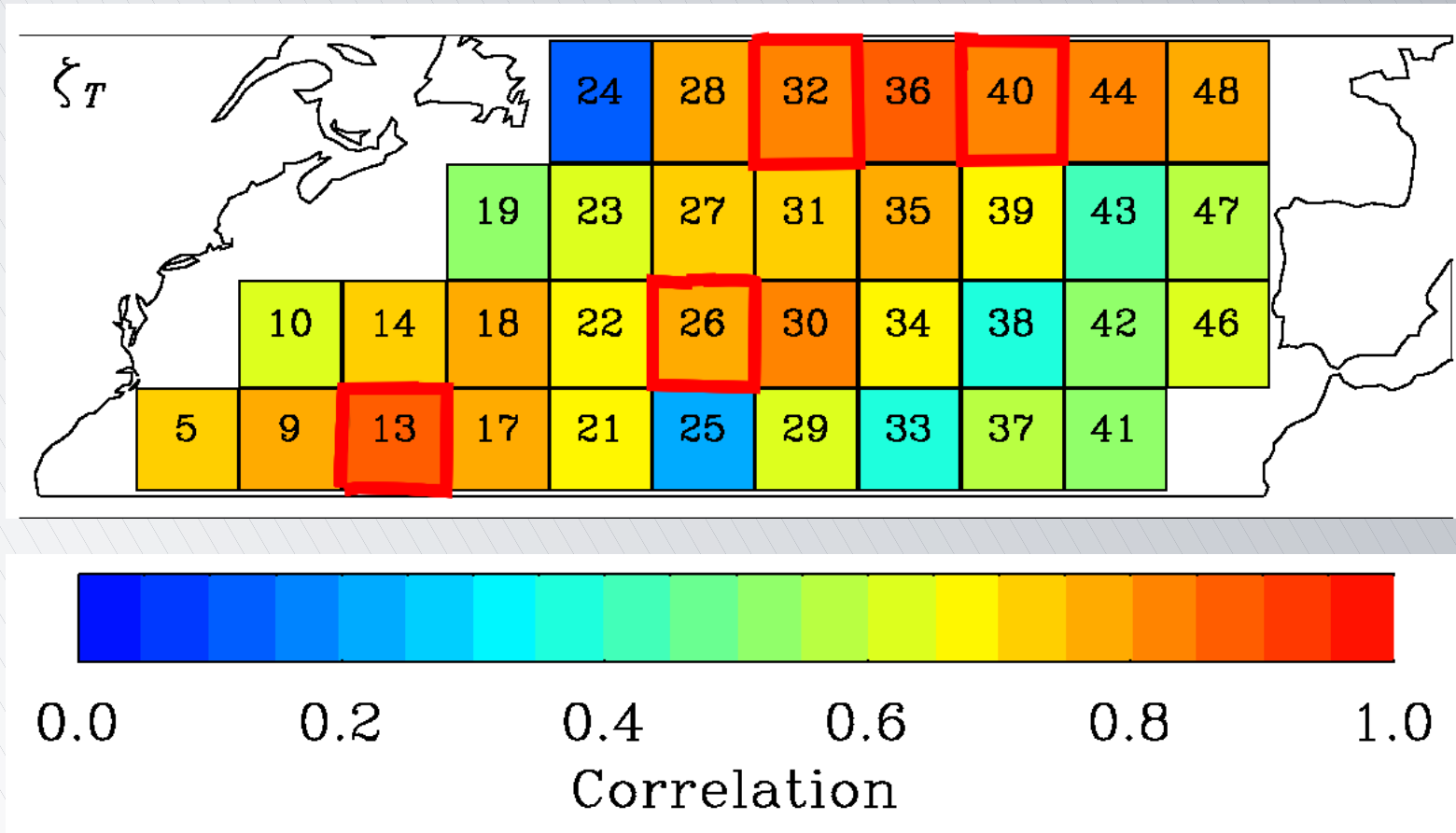
**POP,  $1/10^\circ$**

surface relative vorticity



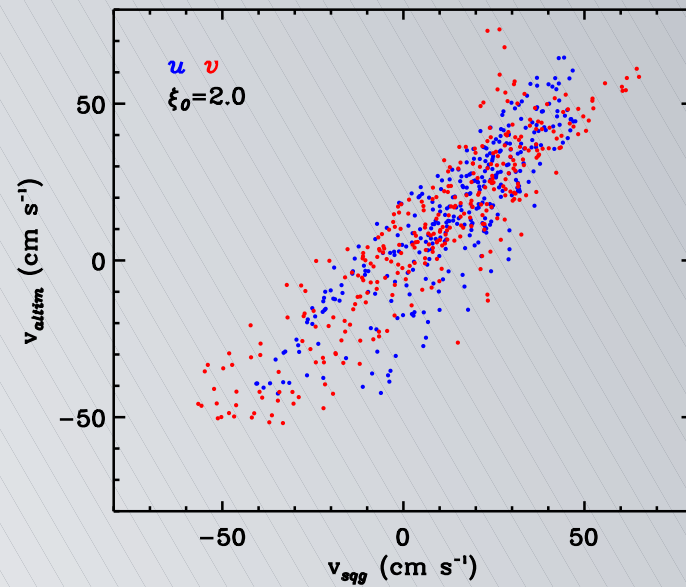
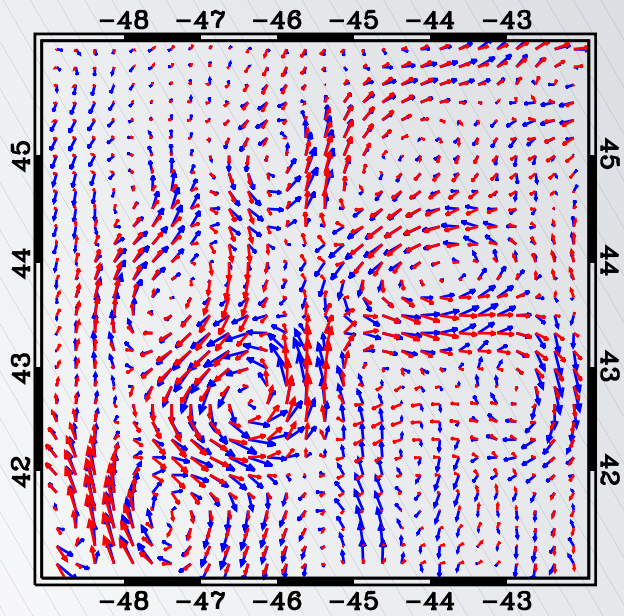
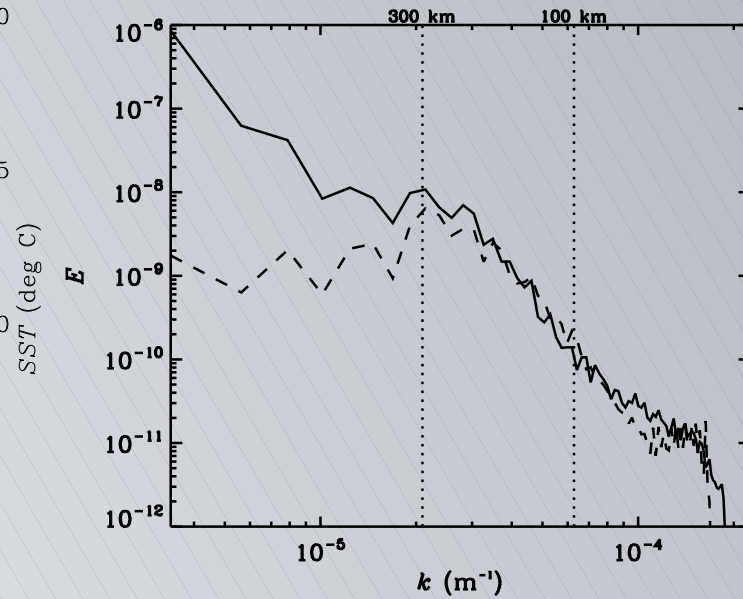
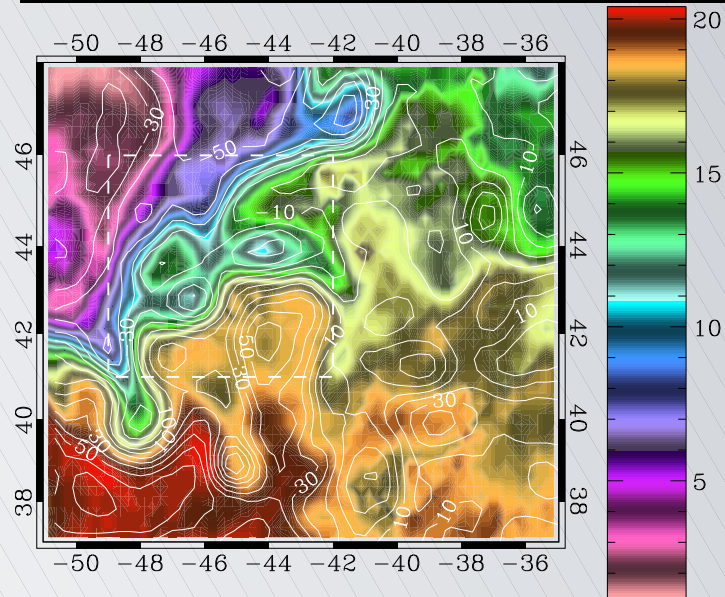
# *SQG reconstruction of relative vorticity*

using SST as a proxy for surface density



of observed vorticity with SQG reconstruction

# Comparison altimeter/SST (Isern-Fontanet et al. 2006)



# Conclusions

- **Importance of the surface-intensified mode**
  - driven by surface density
  - Surface Quasi-Geostrophic dynamics
- **Reconstruction of 3D dynamics from SST**
  - accurate for the upper 500 meters
- **Coupling surface/interior dynamics?**

References :

Lapeyre et Klein, J.P.O. 2006; Isern-Fontanet et al., G.R.L 2006

Lapeyre et al. J.P.O. 2006; Klein et al. 2008, J.P.O. in press

Capet et al. J. Fluid Mech. 2008, in press.

# Mathematical equivalence

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right) = PV$$

$$f_0 \left. \frac{\partial \psi}{\partial z} \right|_{z=0} = b|_{z=0}$$

and

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right) = PV + f_0 b|_{z=0} \text{ dirac}(z)$$

$$f_0 \left. \frac{\partial \psi}{\partial z} \right|_{z=0} = 0$$

(Bretherton 1966)

## *Coupling between interior and surface inversions*

total inversion = **inversion (PV)** + **inversion ( $b|_{z=0}$ )**



## Coupling between interior and surface inversions

total inversion = **inversion (PV)** + **inversion ( $b|_{z=0}$ )**

However coupling between PV and surface density  
for baroclinically unstable flows

$$\frac{DPV'}{Dt} = -v \partial_y \overline{PV}$$

$$\frac{D b'|_{z=0}}{Dt} = -v_s \partial_y \overline{b}|_{z=0}$$

$$\frac{D}{Dt} \left( PV' - \frac{\partial_y \overline{PV}}{\partial_y \overline{b}_s} b'|_{z=0} \right) = 0$$

$$\Rightarrow \mathbf{PV'}(\mathbf{x}, \mathbf{y}, \mathbf{z}) = \frac{\partial_y \overline{PV}}{\partial_y \overline{b}_s} \mathbf{b}'(\mathbf{x}, \mathbf{y}, \mathbf{z} = 0) = \mathbf{G}(\mathbf{z}) \mathbf{b}_s(\mathbf{x}, \mathbf{y})$$

## Coupling between interior and surface inversions

total inversion = **inversion (PV)** + **inversion ( $b|_{z=0}$ )**

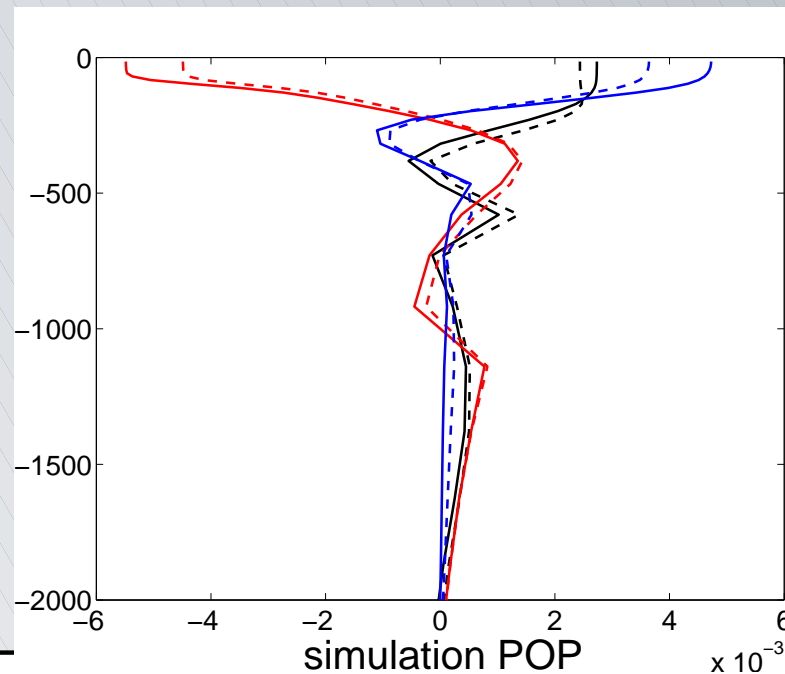
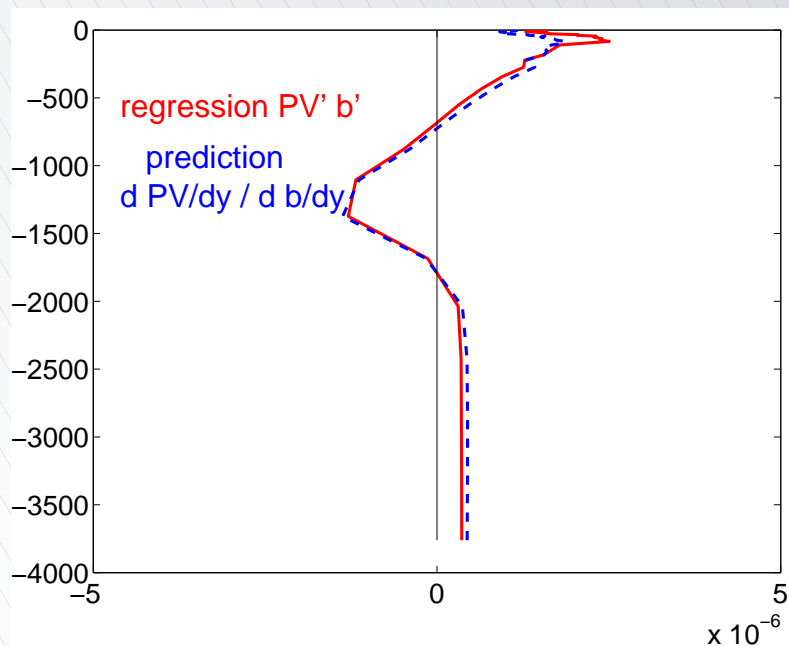
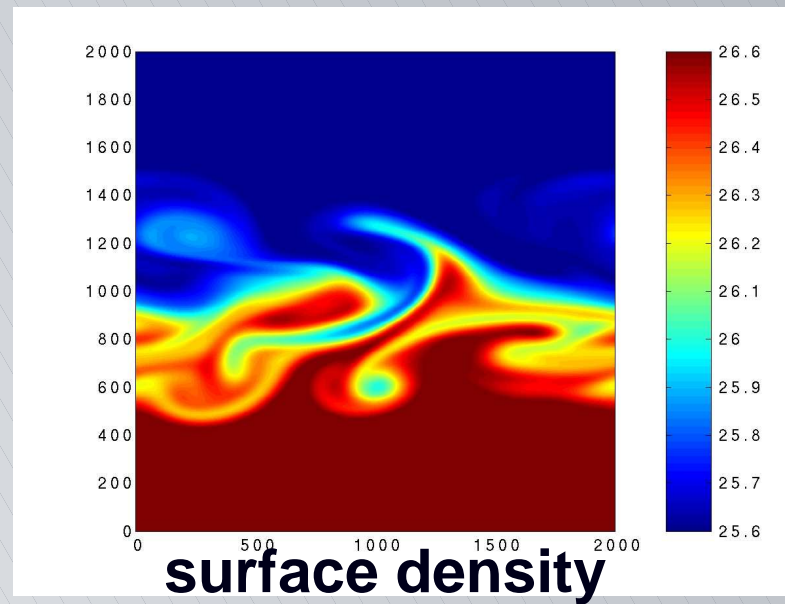
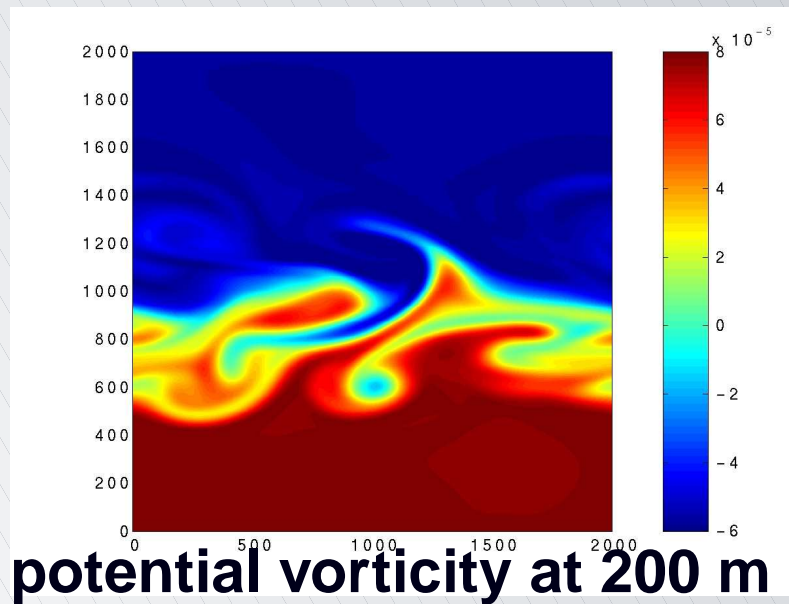
For baroclinically unstable flows

$$PV'(x, y, z) = \frac{\partial_y \overline{PV}}{\partial_y \overline{b_s}} b'(x, y, z = 0) = G(z) b_s(x, y)$$

⇒ **inversion (PV)**  $\approx \gamma(\mathbf{z})$  **inversion ( $b|_{z=0}$ )**

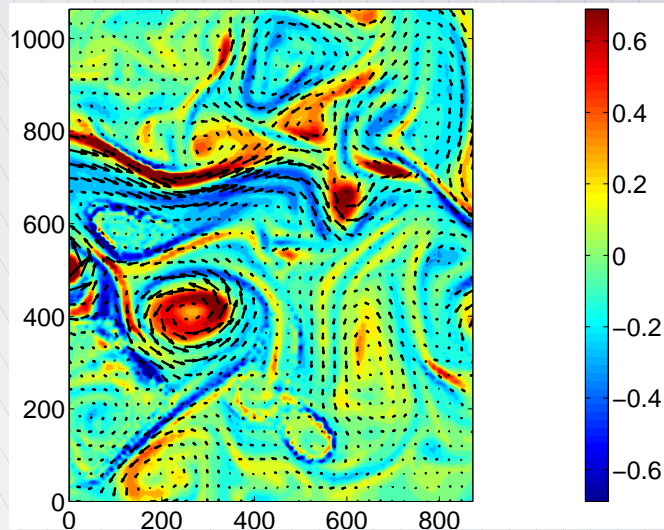
total solution  $\approx$  “effective SQG” solution ( $N = cst$ )

# Correlation between PV and surface density

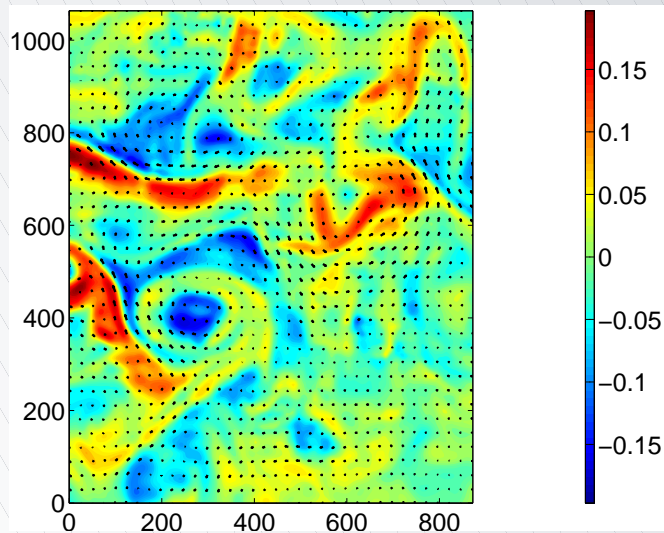
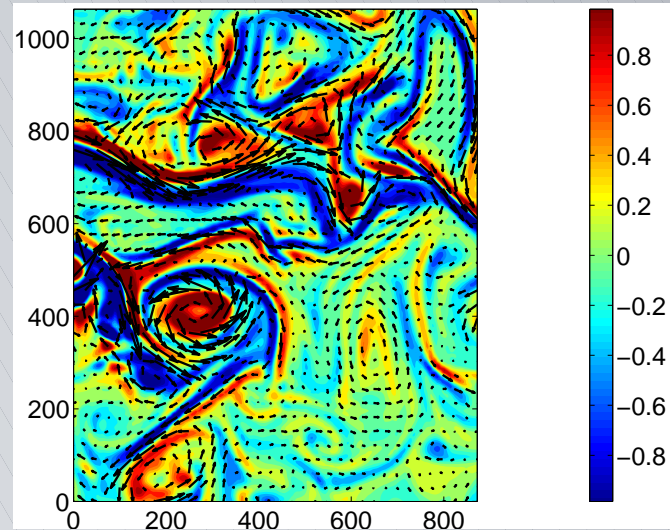


# Decomposing into surface and baroclinic modes

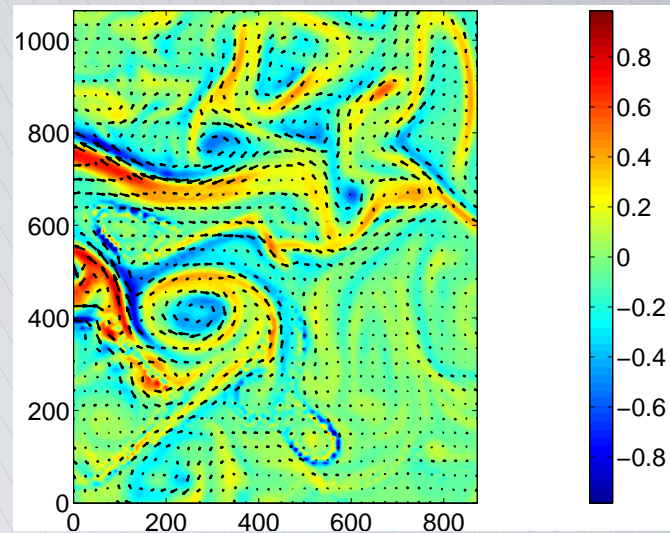
## surface vorticity



## surface mode



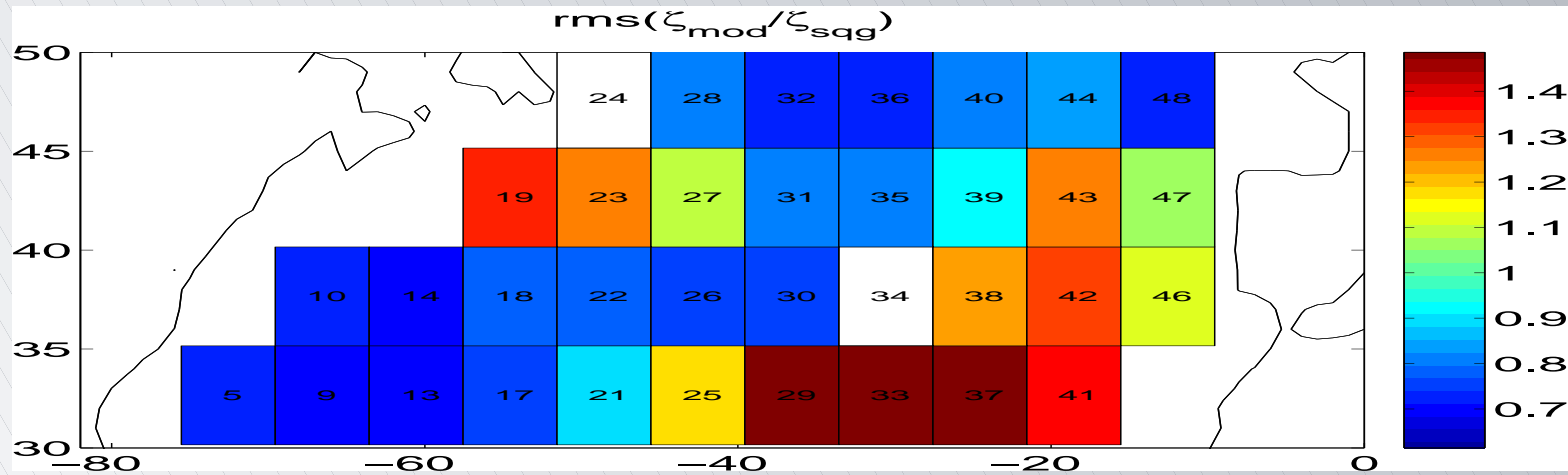
## barotropic mode



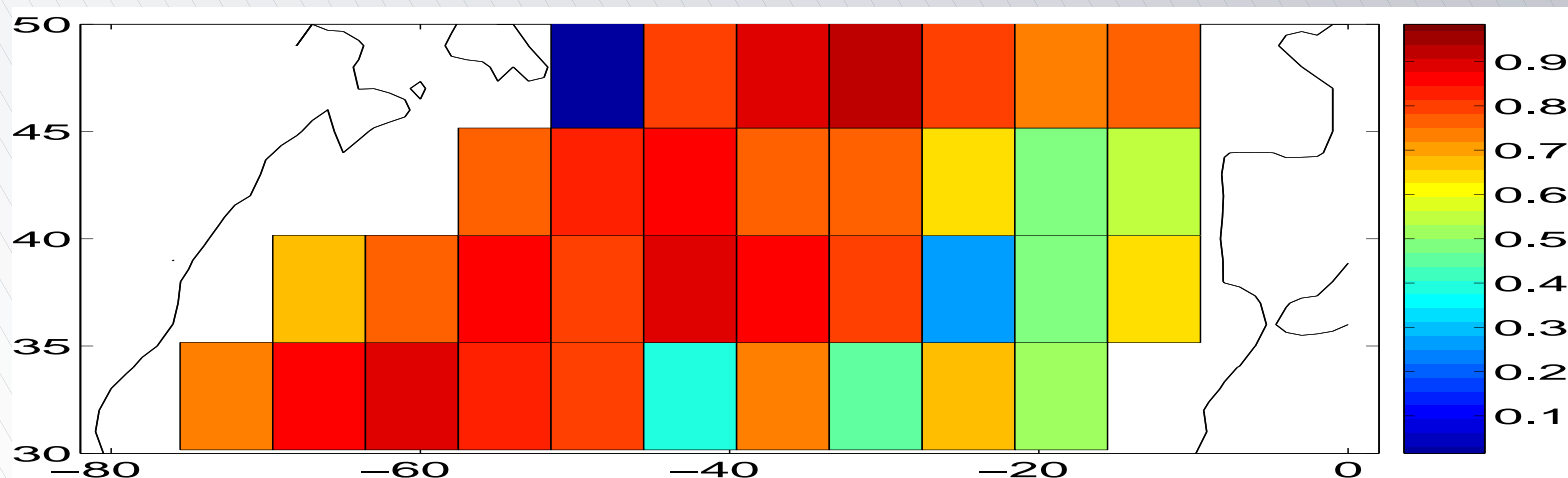
## 1st baroclinic mode

# Decomposing into surface and interior dynamics

ratio rms vorticity interior modes vs surface mode



correlation SQG reconstruction and observed vorticity



# Surface QG model

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right) = 0 \quad \text{with} \quad f_0 \frac{\partial \psi}{\partial z} \Big|_{z=0} = b|_{z=0}$$

$$\left( \frac{\partial}{\partial t} + \mathbf{u}|_{z=0} \cdot \nabla \right) b|_{z=0} = 0$$

**Solution with constant  $N^2$**

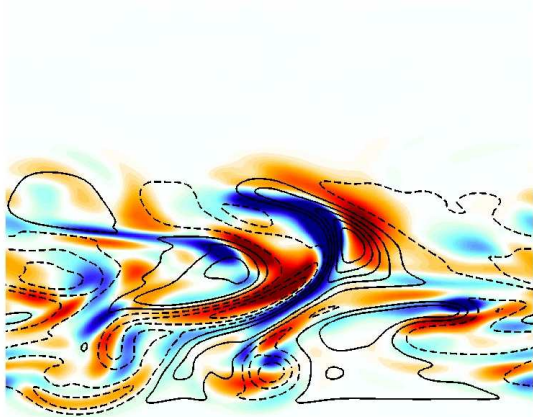
$$\hat{\psi}(\mathbf{x}, z) = \frac{1}{N} \frac{\hat{\mathbf{b}}_s(\mathbf{k})}{|\mathbf{k}|} \exp \left( \frac{N}{f_0} |k| z \right)$$

$$\hat{b}_s(\mathbf{x}, z) = \hat{b}_s(\mathbf{k}) \exp \left( \frac{N}{f_0} |k| z \right)$$

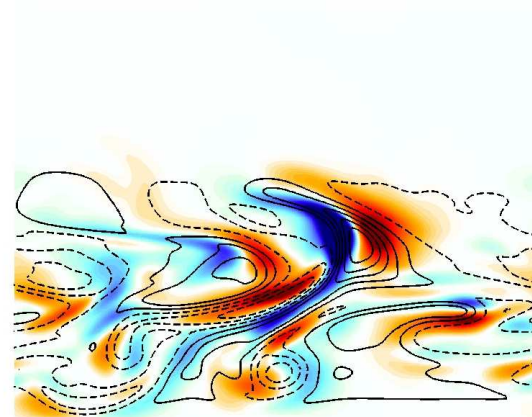
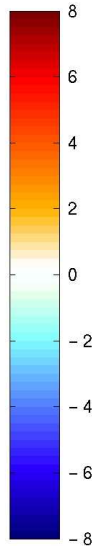
# Vertical velocities

$$w = -\frac{1}{N^2} \frac{Db}{Dt} = -\frac{1}{N^2} \left( \frac{\partial b}{\partial t} + \mathbf{u} \cdot \nabla_H b \right)$$

$$\widehat{w} = \frac{1}{N^2} \left( -J(\widehat{\psi}_s, \widehat{b}_s) \exp\left(\frac{N}{f_0} |k| z\right) + J(\widehat{\psi}, \widehat{b}) \right)$$



**vertical velocities (m/day)**



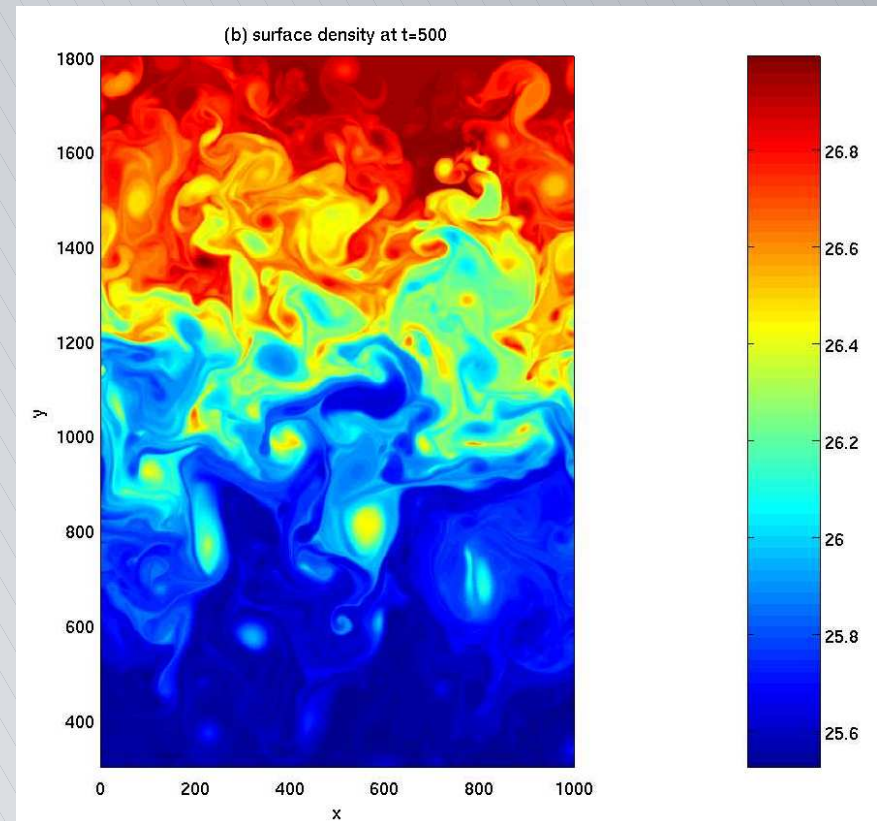
**SQG prediction**

**at  $z = -220$  m**

## Idealized simulation (Klein et al. 2008)

baroclinically  
unstable front

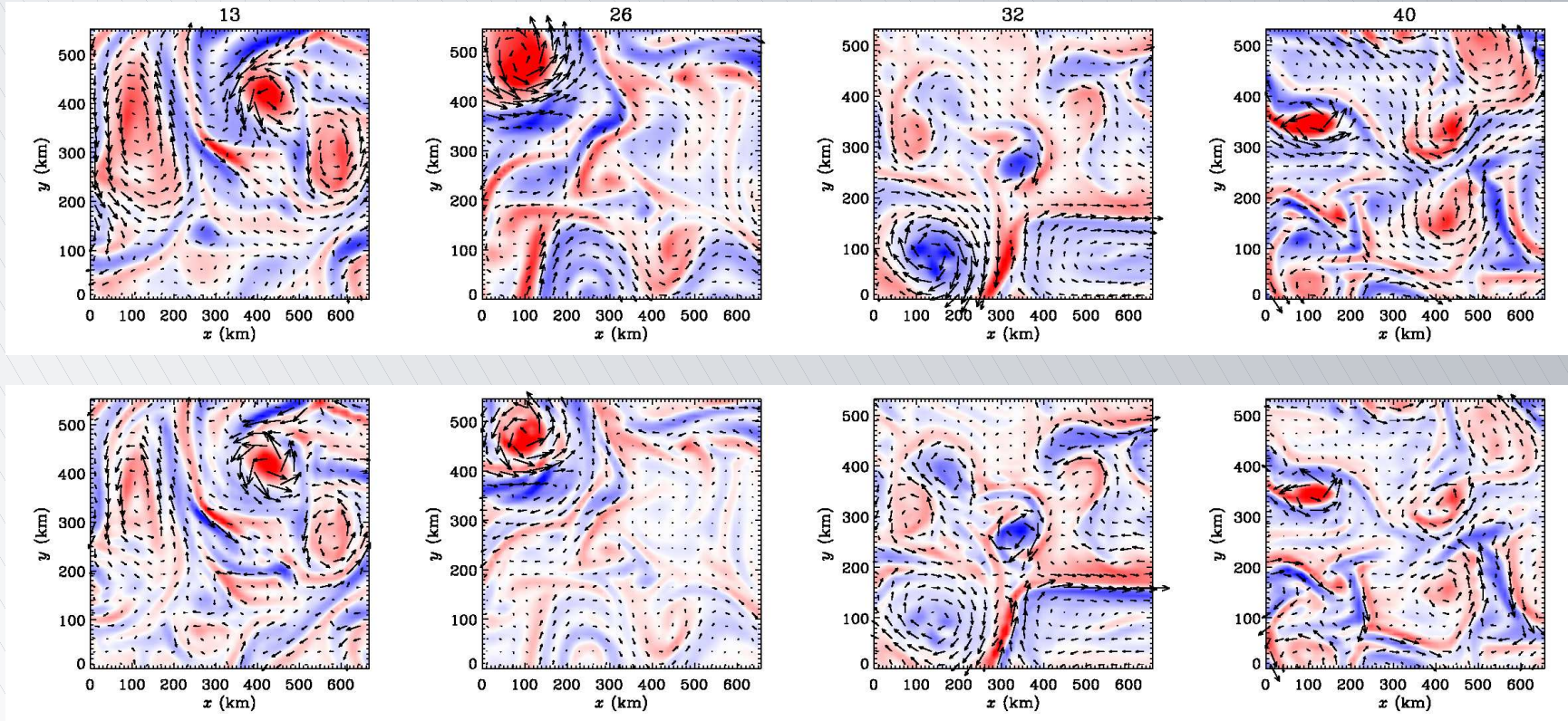
- Primitive equations model (ROMS) on the Earth Simulator (Japan)
- $1000 \text{ km} \times 2000 \text{ km} \times 4000 \text{ m}$   
 $\Delta x = 2 \text{ km}$   
100 vertical levels
- forcing by restoring on large-scale density gradient





# Surface reconstruction

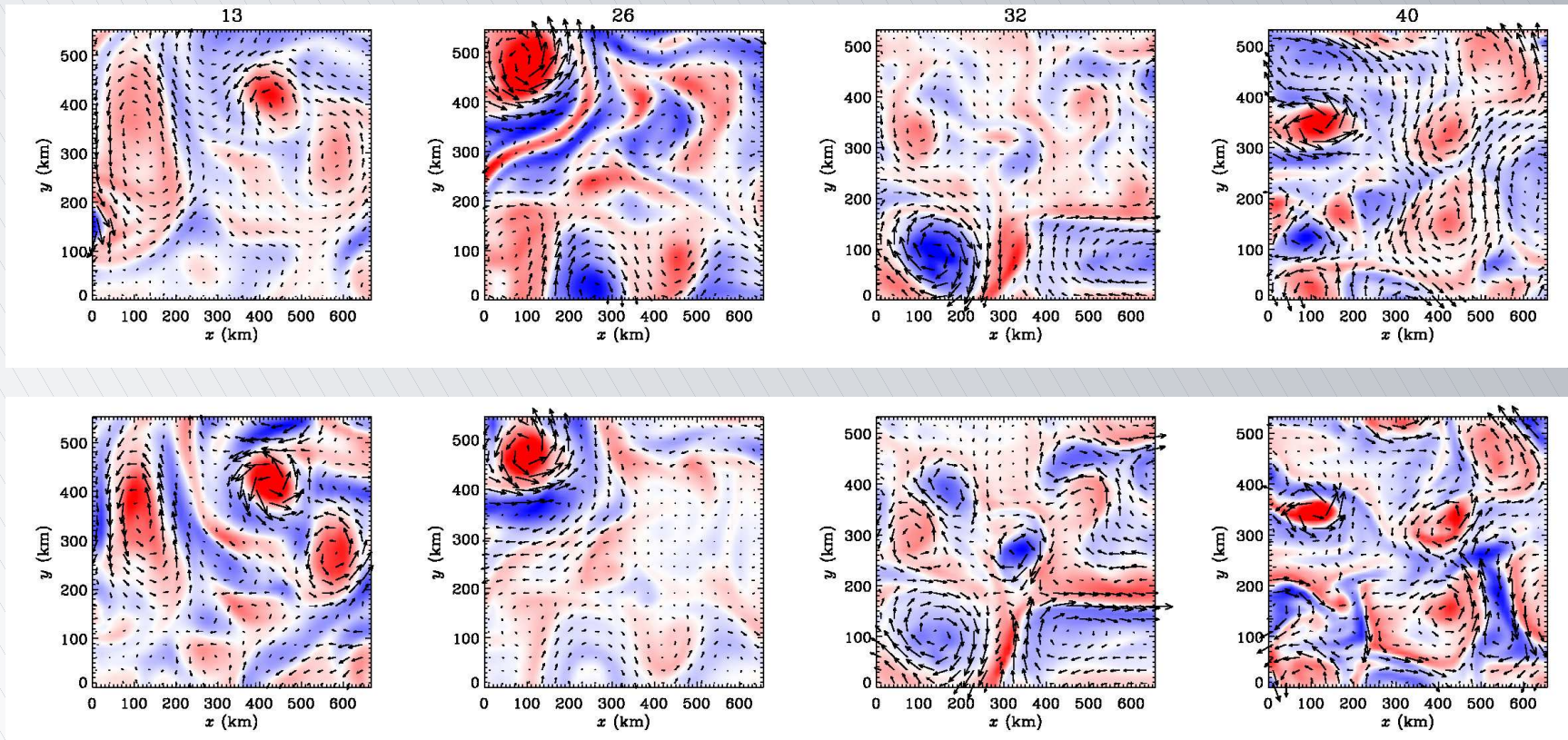
## Vorticity and horizontal velocity



SQG reconstruction

# 500 m reconstruction

## Vorticity and horizontal velocity



SQG reconstruction