Theoretical Studies of Strongly Nonlinear Langmuir Circulation

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Ocean Surface Mixed Layer

- Crucial small-scale process: sub-mesoscale dynamics of ocean surface boundary (or "mixed") layer – the O(100)-m deep ocean surface layer which connects atmosphere to the deep ocean.
- According to the NSF report Ocean Sciences at the New Millennium (2001), "Forecasting the evolution, in space and time, of the mixed layer is perhaps the biggest individual challenge in ocean prediction."
- Key challenges include: (1) estimating mixing and transport across the mixed layer; (2) understanding interaction between the mixed layer and stratified ocean interior; (3) connecting mixed-layer/mesoscale dynamics.

Mesoscale Eddies & Spiral Vortices (NASA)



Submesoscale Phenomena – Vertical Transport/Mixing



- Image from numerical simulation by K. Lamb (U. Waterloo).

Schematic of Mixed Layer Processes (B. Weller, WHOI)



Langmuir Circulation (LC)

- Wind- and surface-wave driven convective motion (array of wind-aligned counter-rotating vortices) commonly occurring in natural water bodies.
- Range from O(10 cm) to O(500 m), with O(cm/s) velocities.
- Crucial for establishment and maintenance of oceanic mixed layer.
- Moderates air-sea exchanges of heat, mass and momentum, ultimately influencing weather, climate, ocean biology, pollutant (oil-spill) dispersal.

Photo of LC Windrows (A. Szeri)

IR Images of LC Windrows (G. Marmorino, NRL)





Sonar Images of LC Windrows (J. Smith, SIO)

LC Banding – Implications for Oil Spills



Craik-Leibovich (CL) Theory

Assumption

Dominant upper ocean motion due to **irrotational surface waves**: O(m/s) Wind-driven shear flow, LC, other **rotational motions** weaker: O(cm/s)

Multiple-Time Scale Analysis

$$t = \varepsilon^2 t_f$$

$$\triangleright \text{ Expansion: } \mathbf{v}(x, y, z, t, t_f) = \varepsilon \mathbf{u}_w(x, y, z, t_f) + \varepsilon^2 \mathbf{u}(x, y, z, t, t_f)$$

Substitute into Navier-Stokes equations (NSE).

 \triangleright Average over t_f , fast time scale associated with surface-wave period.

> Obtain equations formally identical to NSE... except for **vortex force**:

 $\mathbf{u}_s \times \boldsymbol{\omega}(x, y, z, t)$

CL eqns show that LC arises as an **instability** of a wind-driven shear flow on which surface waves propagate.



Robustness of CL Theory

Following the original derivation using formal multiple scale analysis, the CL eqns have been re-derived using more sophisticated mathematical approaches:

- 1. Generalized Lagrangian Mean (GLM) theory an exact theory of nonlinear wave/mean-flow interactions.
- 2. A time-averaged version of the Kelvin circulation theorem.
- 3. Lagrangian averaging of Hamilton's principle.

Open Issues

- 1. O(1) shear feedback of LC on waves, rotational waves.
- 2. Finite-amplitude waves, wave-breaking.
- 3. LC wavelength selection mechanism: connection to surface waves?
- 4. Quantitative comparisons with field or laboratory data.

Related Work – Theory and Simulation

Quasi-Laminar Simulations of 2D Craik–Leibovich (CL) Equations

- Li & Garrett (J. Mar. Res. 1993, JPO 1995, 1997)
- Gnanadesikan & Weller (JPO 1995)

Weakly Nonlinear or Small Wavenumber 2D and 3D Investigations

- 2D: Leibovich, Lele & Moroz (*JFM* 1989)
- 3D: Bhaskaran & Leibovich (*Phys. Fluids*, 2002)
- 3D: Cox & Leibovich (*Phys. Fluids*, 1997)

Simulations of Full 3D Craik–Leibovich (CL) Equations

- DNS: Tandon & Leibovich (*JGR*, 1995)
- LES: Skyllingstad & Denbo (JGR, 1995), McWilliams et al. (JFM, 1997), Tejada-Martinez & Grosch (JFM, 2007)

Anisotropic LC Dynamics



–A. Szeri (1996)

-G. Marmorino -McWilliams et al. (1997)

Goals and Motivation

Objective Obtain reduced PDE model capable of describing coarse-grained, strongly anisotropic but otherwise turbulent LC dynamics.

Motivation

- Secondary stability analysis by Tandon & Leibovich (JPO, 1995)
- Reduced PDEs for rapidly-rotating thermal convection by Julien, Knobloch & Werne (*Theoret. Comput. Fluid Dyn.*, 1998), Sprague *et al.* (*JFM*, 2006)

Purpose

- Reveal dominant 3D physics.
- More amenable to (e.g. upper-bound) analysis.
- Less expensive numerical simulations for multi-scale process studies.
- Incorporation into formal multiscale numerical scheme.

Isotropically Scaled CL Equations

• Consider full 3D, isotropically-scaled CL equations, where two parameters $R_* \equiv u_* H/\nu_e$, $La_t = \sqrt{u_*/u_{s_0}}$ replace single parameter $La \equiv La_t R_*^{-3/2}$:

$$\frac{\mathsf{D}\mathbf{u}}{\mathsf{D}t} = -\nabla p + \frac{1}{La_t^2}(\mathbf{U}_s \times \boldsymbol{\omega}) + \frac{1}{R_*}\nabla^2 \mathbf{u}$$

• Two turbulence regimes:

Shear flow turbulence regime: $La_t \gg 1$ with $R_* \gg 1$. Langmuir turbulence regime: $La_t = O(0.1)$ with $La \ll 1$.

• Motivates consideration of formal limit $La_t \rightarrow 0$ with R_* or La fixed:

2D dynamics: $\Omega \neq 0$, $\partial_x \Omega = 0$, *u*-fluctuations $\ll (v, w)$ -fluctuations.

Constraint on Downwind Velocity Fluctuations

Consider 2D ($\partial/\partial x = 0$) CL downwind vorticity (Ω) equation as $La_t \rightarrow 0...$

$$\frac{\partial\Omega}{\partial t} + v \frac{\partial\Omega}{\partial y} + w \frac{\partial\Omega}{\partial z} = -\frac{1}{La_t^2} U'_s(z) \frac{\partial u}{\partial y} + \frac{1}{R_*} \nabla_{\perp}^2 \Omega$$
$$\Rightarrow \frac{\partial u}{\partial y} \approx 0$$



Transient Growth of Cellular Velocity Fluctuations

As $La_t \rightarrow 0$, there is a "rapid-distortion" (strong Stokes "shear") transient that drives strong cellular (v,w) velocity fluctuations.

$$\partial_{\tau} u = 0 \Rightarrow u(y, z, \tau) = U_0(y, z)$$

$$\partial_{\tau} v = -\partial_y \mathcal{P} + U_s \partial_y u$$

$$\partial_{\tau} w = -\partial_z \mathcal{P} + U_s \partial_z u \Rightarrow \partial_{\tau} \Omega = -U'_s(z) \partial_y u$$

$$\Omega(y,z,\tau) = -\left[U'_s(z)\partial_y U_0(y,z)\right]\tau + \Omega_0(y,z)$$

• (v,w) grow linearly with (fast) time τ , while u remains constant.

Anisotropic Velocity Scalings

Employ anisotropic velocity scales to capture nonlinear, spatially anisotropic reduced dynamics:

$$L_x = H, \quad (L_y, L_z) = H, \quad \mathcal{T} = H/\mathcal{V}$$
$$\mathcal{U} = u_* R_*, \quad (\mathcal{V}, \mathcal{W}) = \sqrt{\mathcal{U} u_{s_0}}, \quad \mathcal{P} = \rho \mathcal{V}^2$$

• In essence, perturbing off of strictly 2D [$\partial(\cdot)/\partial x = 0$] problem.

• Identify
$$\mathcal{U}/\mathcal{W} = La_t^{4/3}La^{-1/3} \equiv \epsilon La^{-1/3} \ll 1$$

(cf. Tejada-Martinez & Grosch 2007).

Rescaled CL Equations in Strong CL Vortex-Force Limit

$$\partial_{t} u + \epsilon L a^{-1/3} u \partial_{x} u + (\mathbf{v}_{\perp} \cdot \nabla_{\perp}) u = -\epsilon^{-1} L a^{1/3} \partial_{x} P + L a \left[\partial_{x}^{2} + \nabla_{\perp}^{2} \right] u$$
$$\partial_{t} \mathbf{v}_{\perp} + \epsilon L a^{-1/3} u \partial_{x} \mathbf{v}_{\perp} + (\mathbf{v}_{\perp} \cdot \nabla_{\perp}) \mathbf{v}_{\perp} = -\nabla_{\perp} P + L a \left[\partial_{x}^{2} + \nabla_{\perp}^{2} \right] \mathbf{v}_{\perp}$$
$$+ U_{s} \left(\nabla_{\perp} u - \epsilon^{-1} L a^{1/3} \partial_{x} \mathbf{v}_{\perp} \right)$$
$$\epsilon L a^{-1/3} \partial_{x} u + \nabla_{\perp} \cdot \mathbf{v}_{\perp} = 0$$

- Wind stress BC: $\partial_z u = 1$ along z = 0, -1.
- *x*-invariance at leading-order: $\partial_x P = \partial_x v = \partial_x w = 0$ and $\nabla_{\perp} \cdot \mathbf{v}_{\perp} = 0$.

Multiple Scale Expansion

- 1. Limit process: $\epsilon \equiv La_t^{4/3} \rightarrow 0$ with La fixed.
- 2. Introduce slow x scale: $X \equiv \epsilon L a^{-1/3} x$ so that $\partial_x \to \partial_x + \epsilon L a^{-1/3} \partial_X$.
- 3. Expand fields:

$$u(x, y, z, t) = u_0(x, X, y, z, t) + \epsilon u_1(x, X, y, z, t) + \dots$$

$$\mathbf{v}_{\perp}(x, y, z, t) = \mathbf{v}_{0\perp}(X, y, z, t) + \epsilon \mathbf{v}_{1\perp}(x, X, y, z, t) + \dots$$

$$P(x, y, z, t) = P_0(X, y, z, t) + \epsilon P_1(x, X, y, z, t) + \dots$$

- 4. Substitute into PDEs, collect terms of like order and **average** over fast x.
- 5. Obtain closed set of equations for $\bar{u}_0 \equiv U(X, y, z, t)$, $\mathbf{v}_{0\perp} \equiv \mathbf{V}_{\perp}(X, y, z, t)$ and $P_0 \equiv \Pi(X, y, z, t)$.

Example: Averaging Downwind Momentum Equation

• At O(1), the x momentum equation becomes:

$$\partial_T u_0 + (\mathbf{v}_{0\perp} \cdot \nabla_{\perp}) u_0 = -La^{1/3} \partial_x P_1 - \partial_X P_0 + La \left[\partial_x^2 + \nabla_{\perp}^2 \right] u_0,$$

 Decompose all (fast) x-varying fields into fast-x average plus fluctuation, e.g.,

$$u_0(x, X, y, z, T) \equiv \bar{u}_0(X, y, z, T) + u'_0(x, X, y, z, T),$$

Averaging in x, using the x-invariance of P₀ and v_{0⊥}, yields an equation for u
₀(X, y, z, T):

$$\partial_T \bar{u}_0 + (\mathbf{v}_{0\perp} \cdot \nabla_{\perp}) \bar{u}_0 = -\partial_X P_0 + La \nabla_{\perp}^2 \bar{u}_0$$

Reduced PDEs

• Define:

$$D_t^{\perp}(\cdot) \equiv \partial_t(\cdot) + (\mathbf{V}_{\perp} \cdot \nabla_{\perp})(\cdot) \equiv \partial_t(\cdot) + J[(\cdot), \psi],$$

where $J[(\cdot), \psi] = \partial_z \psi \partial_y(\cdot) - \partial_y \psi \partial_z(\cdot).$

• Reduced dynamics governed by:

$$D_t^{\perp}U = -\partial_X \Pi + La \nabla_{\perp}^2 U$$

$$D_t^{\perp}\Omega + U_s(z)\partial_X \Omega = U'_s(z)(\partial_X V - \partial_y U) + La \nabla_{\perp}^2 \Omega$$

$$\nabla_{\perp}^2 \Pi = 2J[\partial_y \psi, \partial_z \psi] + \nabla_{\perp} \cdot (U_s(z) \nabla_{\perp} U) + U'_s(z)\partial_X (\partial_y \psi)$$

$$\nabla_{\perp}^2 \psi = -\Omega, \quad \mathbf{V}_{\perp} \equiv \nabla_{\perp} \times \psi \hat{\imath}$$

- Fast x averaged BCs along z = 0, -1: $\partial_z U = 1, \ \Omega = 0, \ \psi = 0.$
- Advection by U and stretching of Ω are subdominant processes.

Linear Stability Analysis of Reduced PDEs

- Linearize about wind-driven base state shear flow $U_B(z) = z+1$.
- For simplicity, set $U_s(z) = z+1$.
- Decompose all fields into a base state plus perturbation, e.g. $U(X, y, z, T) = U_B(z) + u(X, y, z, T)$
- Perturbations satisfy

$$\partial_T u - \partial_y \phi = -\partial_x p + La \nabla_{\perp}^2 u,$$

$$\partial_T \omega + (z+1) \partial_x \omega = \partial_x (\partial_z \phi) - \partial_y u + La \nabla_{\perp}^2 \omega,$$

$$\nabla_{\perp}^2 \phi = -\omega,$$

$$\nabla_{\perp}^2 p = \nabla_{\perp} \cdot [(z+1) \nabla_{\perp} u] + \partial_x (\partial_y \phi),$$

subject to $\partial_z u = \omega = \phi = \partial_z p = 0$ along z = 0, -1.

• Normal-mode *ansatz*, e.g.

$$u(X, y, z, T) = \hat{u}(z) e^{i(ky+lX)} e^{\sigma t} + c.c.$$

Reduced PDEs: Linear Stability Results



Strongly Nonlinear, Strictly 2D Convective States



• Steady-state U(y, z) profiles show excellent qualitative agreement with x-t averaged LES profiles of Tejada–Martinez & Grosch (2007).

Matched Asymptotic Analysis

$$\frac{\partial \psi}{\partial z} \frac{\partial U}{\partial y} - \frac{\partial \psi}{\partial y} \frac{\partial U}{\partial z} = La \left(\frac{\partial^2 U}{\partial y^2} + \frac{\partial^2 U}{\partial z^2} \right)$$

$$\frac{\partial \psi}{\partial z} \frac{\partial \Omega}{\partial y} - \frac{\partial \psi}{\partial y} \frac{\partial \Omega}{\partial z} = -U'_s(z) \frac{\partial U}{\partial y} + La \left(\frac{\partial^2 \Omega}{\partial y^2} + \frac{\partial^2 \Omega}{\partial z^2} \right)$$

$$\frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2} = -\Omega$$
where: $U(y, z) = U_B(z) + u(y, z) = [z+1] + u(y, z)$

$$\frac{z = 0, -1}{2} : \quad \frac{\partial U}{\partial z} = 1, \ \psi = 0, \ \Omega = 0$$

$$\frac{y = 0, \pi/k}{2} : \quad \frac{\partial U}{\partial y} = 0, \ \psi = 0, \ \Omega = 0$$
Non-dimensional parameter:

 $La \equiv \frac{(\nu_e/H)^{3/2}}{u_* u_{s_0}^{1/2}} = La_t R_*^{-3/2}$



Region II: Inviscid Vortex Core

 $U(y,z) \sim ar{U}; \quad \Omega(y,z) \sim ar{\Omega}; \quad \psi(y,z) \sim \psi_{II}(y,z)$

Equations/BCs

$$\frac{\partial^2 \psi_{II}}{\partial y^2} + \frac{\partial^2 \psi_{II}}{\partial z^2} = -\bar{\Omega}$$

$$\psi_{II}(y,z) = 0 \text{ along } z = 0, -1 \text{ and } y = 0, \pi/k$$

Matching with I, III

$$\psi(y,z) \sim v_{II}(y,0) z \equiv V(y) z \text{ as } z \to 0^-$$

 $\psi(y,z) \sim -w_{II}(0,z) y \equiv -W(z) y \text{ as } y \to 0^+$

$$V(y) = \sum_{n=1(\text{odd})}^{\infty} \left(-\frac{4\bar{\Omega}}{\pi k n^2}\right) \tanh\left(\frac{nk}{2}\right) \sin\left(nky\right)$$
$$W(z) = \sum_{n=1(\text{odd})}^{\infty} \left(-\frac{4\bar{\Omega}}{\pi k n^2}\right) \left[1 - \frac{\cosh\left(nk\left(z + \frac{1}{2}\right)\right)}{\cosh\left(\frac{nk}{2}\right)}\right]$$

Analysis in Regions I and III

Region I. Near-Surface Boundary Layer: y = O(1), $z \equiv La^{1/2}Z$

 $U(y,z) - \overline{U} \sim La^{1/2}u_I(y,Z); \ \Omega(y,z) \sim \Omega_I(y,Z); \ \psi(y,z) \sim La^{1/2}\psi_I(y,Z)$

- BL equations linearize since $\psi_I(y, Z)$ known.
- BL equations completely de-couple, since $\partial U/\partial y$ weak, $O(La^{1/2})$.

Region III. Downwelling Jet/Plume: $y \equiv La^{1/2}Y$, z = O(1)

 $U(y,z) - \overline{U} \sim La^{1/2} u_{III}(Y,z); \ \Omega(y,z) \sim \Omega_{III}(Y,z); \ \psi(y,z) \sim La^{1/2} \psi_{III}(y,Z)$

- BL equations linearize since $\psi_I(y, Z)$ known.
- $\Omega(Y, z)$ coupled to U(Y, z), since $\partial U/\partial y = O(1)$ (but converse is not true).

...Need $\overline{\Omega}$ to proceed...

Determination of Core Vorticity

1. Integrated Energy Balance.

$$La \int \int \Omega^2 dA = -\int \int \psi \frac{\partial U}{\partial y} dA$$
 (Exact Relation)

Asymptotic Approximation:

$$\begin{split} \bar{\Omega}^2 &\sim -\frac{k}{\pi La} \int \int \psi \frac{\partial U}{\partial y} dA \\ &\sim -\frac{2k}{\pi} \int_{-1}^0 \int_0^\infty \psi_{III} \frac{\partial U_{III}}{\partial Y} dY dz \\ &= -\frac{2k}{\pi} \int_{-1}^0 \int_0^\infty \psi_{III} \frac{\partial U_{III}}{\partial \psi_{III}} d\psi_{III} dz \\ &= -\frac{2k}{\pi} \int_{-1}^0 \left[\psi_{III} U_{III} \Big|_0^\infty - \int_0^\infty U_{III} d\psi_{III} \right] dz \end{split}$$

Determination of Core Vorticity (Cont'd)

2. Downwind Momentum Flux Conservation.

Exact Relation:

$$La\frac{\pi}{k} = \int_0^{\pi/k} \left[La\frac{\partial U}{\partial z} - w(U - \bar{U}) \right] dy$$

Asymptotic Approximation (True for any $\overline{\Omega}$):

$$\frac{\pi}{2k} \sim -W(z) \int_0^\infty u_{III}(Y,z) \, dY = \int_0^\infty U_{III}(\psi_{III},r) \, d\psi_{III}$$

 $\Rightarrow \overline{\Omega} \sim 1$ (or -1), independently of k.

Numerical Simulations: Core Vorticity vs. k



Numerical Fields vs. Semi-Analytical Fields



Secondary Stability Analysis of Reduced PDEs



- Linearize about fully nonlinear 2D cellular flow, e.g. $U(X, y, z, T) = U_{2D}(y, z) + u(X, y, z, T)$
- Since coefficients in disturbance equations vary periodically in y, employ **Floquet theory**, e.g.

$$u(X, y, z, T) = e^{i\gamma y} \left[\sum_{n=-\infty}^{\infty} \widehat{u}_n(z) e^{i(nky)} \right] e^{ilX} e^{\sigma t} + \text{c.c.}$$

- σ , l are the temporal growth rate, downwind wavenumber.
- k is the fundamental wavenumber of underlying 2D convective base flow.
- $i\gamma$ is the Floquet exponent, with the real parameter γ providing the freedom to modify the crosswind wavenumber.

Secondary Stability Equations

Small-amplitude 3D disturbances to fully nonlinear 2D roll solutions satisfy:

$$\partial_{T}u + \partial_{z}\psi_{2D}\partial_{y}u - \partial_{y}\psi_{2D}\partial_{z}u + \partial_{y}U_{2D}\partial_{z}\phi - \partial_{z}U_{2D}\partial_{y}\phi = -\partial_{X}p + La\nabla_{\perp}^{2}u$$

$$\partial_{T}(\nabla_{\perp}^{2}\phi) + U_{s}(z)\partial_{X}(\nabla_{\perp}^{2}\phi) + \partial_{z}\psi_{2D}\partial_{y}(\nabla_{\perp}^{2}\phi)$$

$$- \partial_{y}\psi_{2D}\partial_{z}(\nabla_{\perp}^{2}\phi) + \partial_{y}\Omega_{2D}\partial_{z}\phi - \partial_{z}\Omega_{2D}\partial_{y}\phi = U_{s}'(z)\left[\partial_{y}u - \partial_{X}(\partial_{z}\phi)\right]$$

$$+ La\nabla_{\perp}^{4}\phi$$

 $\nabla_{\perp}^{2} p = -4\partial_{y}(\partial_{z}\psi_{2D})\partial_{y}(\partial_{z}\phi) + 2\partial_{y}^{2}\psi_{2D}\partial_{z}^{2}\phi + 2\partial_{z}^{2}\psi_{2D}\partial_{y}^{2}\phi + U_{s}(z)\nabla_{\perp}^{2}u$ $+ U_{s}'(z)\left[\partial_{z}u + \partial_{X}(\partial_{y}\phi)\right]$

with $\partial_z u = \phi = \partial_z^2 \phi = \partial_z p = 0$ along z = 0, -1.

Reduced PDEs: Secondary Stability Results





Summary

- Derived reduced PDEs for anisotropic turbulent Langmuir circulation in strong vortex-force limit.
- Reduced PDEs capture dominant linear and secondary instabilities.
- Reduced PDEs offer several analytical and computational advantages:
 - 1. Filter rapid-distortion (i.e. fast) transients \Rightarrow larger Δt (even in 2D).
 - 2. Filter fine x-scale variability \Rightarrow larger Δx and Δt .
 - 3. Vortex stretching is sub-dominant process \Rightarrow facilitates analysis (e.g. homogenization theory, upper-bound analysis).
 - 4. Limiting process effectively suppresses "classical" shear-flow instability mechanisms.

Ongoing Investigations and Future Work

- 1. Influence of density stratification coupling with internal gravity waves.
- 2. Influence of background rotation (Coriolis accelerations) both kinematic and dynamic effects.
- 3. Coupling b/w submesoscale convective turbulence and submesoscale and mesoscale eddies using homogenization theory.
- 4. Application to other boundary-layer instability phenomena (e.g. Ekman rolls in the atmospheric boundary layer, classical shear flow rolls/streaks).

Langmuir Circulation–Internal Wave Interactions

Rotating CL Equations

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} + \frac{1}{R_o} \left[\mathbf{f} \times \left(\mathbf{u} + \frac{1}{La_t^2} \mathbf{U}_s \right) \right] = -\nabla P + \frac{1}{La_t^2} (\mathbf{U}_s \times \boldsymbol{\omega}) + \frac{1}{R_*} \nabla^2 \mathbf{u}$$

Inclusion of Stokes-drift-induced Coriolis force implies classical Ekman spiral is modified.



Ekman Instability Modes



- 1. Mode I Inviscid instability arising from inflectional shear in component of Ekman spiral perpendicular to roll axis: $\hat{R}_I = O(100), \, \hat{k}_I = O(0.5).$
- 2. **Mode II** "**Viscous**" instability arising from shear in component of Ekman spiral **parallel** to roll axis: $\hat{R}_c = 11.8$, $\hat{k}_c = 0.32$.

Vortex-Force Driven Instabilities: LC and Mode II



Common features:

- 1. A component of shear parallel to the roll axes.
- 2. A vortex force that couples along-roll velocity perturbations to the cross-roll cellular flow.

Influence of Stokes Drift (E = 0.001, R = 100)

 $R = 81, \theta = 50 \text{ deg.}$





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- Develop homogenization theory for asymptotic strongly-nonlinear 2D LC solutions, exploiting **linearization** of CL equations using known $\psi(y, z)$.
- Employ novel Lagrangian homogenization formalism (T. Hou) that does **not** require strict scale separation and that can treat a nonlinear, dynamic (turbulent) "cell problem."