# Gravity Wave Breaking in the Atmosphere

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NCAR TOY-08, July 2008



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#### Good News:

We know the processes that are responsible for sratospheric turbulence: wind shear and gravity-wave breaking.

#### **Bad News:**

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1. Current forecast models cannot resolve them.

2. Resulting turbulence is challenging to model (layered, non-stationary, anisotropic, inhomogeneous, fossil events precondition future events, gravity waves provide non-local unresolved momentum transfer).

tropopause ه و کې کې topography jet instability convection winter summer Boulder, TOY 2008 NWRA/CoRA Joe Werne













Wave clouds over Ireland & Scotland, 2003 (Aqua MODIS, NASA/GSFC)

Nashville, TN, June 2005



Convection induced waves over the Indian Oean, 2003 (MISR, NASA/GSFC/LaRC/JPL)

Nashville, TN, June 2005

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Noctilucent Clouds, Kustavi, Finland, 1989 (photo by Pekka Parviainen)

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## 3km Deep Mixing Layer Triggered by a Gravity Wave

Kelley, Chen, Beland, Woodman, Chau & Werne, GRL (2005)



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# Unresolved Gravity Waves: Are They Important?

Examples mentioned previously all involve gravity waves:

- DC-8 cargo plane with missing engine and 12' of wing.
- U2 incidents involving aborted missions, loss of aircraft and death of a pilot.
- Woman killed on United flight over the Pacific Ocean when the aircraft dropped 1000 feet.

Gravity waves also play important roles in atmospheric dynamics:

- The mesopause (~90km altitude) is colder in the summer than in the winter as a result of meridional circulations forced by overturning gravity waves.
- Gravity waves also play an important role in the quasi-biennial oscillation (QBO), a quasi-periodic oscillation of the zonal wind with a period that varies from 22 to 34 months.



**Ehe New Hork Eimes** December 29, 1997 **Jet Hits Turbulence; 110 Hurt and a Woman Dies** 

A United Airlines jumbo jetliner with 393 people aboard hit severe air turbulence over the Pacific Ocean on Sunday night, killing one Japanese woman and injuring 110 other passengers.

Passengers and serving carts were flung to the ceiling as the plane dived 1,000 feet when it flew into the turbulence at 33,000 feet, officials said.

The plane, flight 826 bound for Honolulu

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equations of motions for a compressible, rotating atmosphere

$$\frac{du}{dt} - fv + \frac{1}{\rho} \frac{\partial p}{\partial x} = X, \qquad (1)$$

$$\frac{dv}{dt} + fu + \frac{1}{\rho} \frac{\partial p}{\partial y} = Y, \qquad (2)$$

$$\frac{dw}{dt} + \frac{1}{\rho}\frac{\partial p}{\partial z} + g = 0, \qquad (3)$$

$$\frac{1}{\rho}\frac{d\rho}{dt} + \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0, \qquad (4)$$

$$\frac{d\theta}{dt} = Q , \qquad (5)$$

mean state: 
$$\overline{\rho} = \rho_0 e^{-(z-z_0)/H} \quad \overline{u}(z) \quad \overline{v}(z)$$

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$$\left(u',v',w',\frac{\theta'}{\overline{\theta}},\frac{p'}{\overline{p}},\frac{\rho'}{\overline{\rho}}\right) = (\tilde{u},\tilde{v},\tilde{w},\tilde{\theta},\tilde{p},\tilde{\rho})\exp\left[i(kx+ly+mz-\omega t)+z/(2H)\right]$$

$$\left(u',v',w',\frac{\theta'}{\overline{\theta}},\frac{p'}{\overline{p}},\frac{\rho'}{\overline{\rho}}\right) = (\tilde{u},\tilde{v},\tilde{w},\tilde{\theta},\tilde{p},\tilde{\rho})\exp\left[i(kx+ly+mz-\omega t)+\frac{z/(2H)\right]}{\sqrt{p}}$$
 wave amplitude grows with z

$$\left(u',v',w',\frac{\theta'}{\overline{\theta}},\frac{p'}{\overline{p}},\frac{\rho'}{\overline{\rho}}\right) = (\tilde{u},\tilde{v},\tilde{w},\tilde{\theta},\tilde{p},\tilde{\rho})\exp\left[i(kx+ly+mz-\omega t)+z/(2H)\right]$$

solving linear perturbation equations in the WKB approximation gives:

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- 1 Gravity Waves
- 1.1 Dispersion Relation

$$\hat{\omega}^2 = \frac{N^2(k^2 + \ell^2) + f^2(m^2 + \frac{1}{4H^2})}{k^2 + \ell^2 + m^2 + \frac{1}{4H^2}} \quad \text{or} \quad m^2 = \frac{(k^2 + \ell^2)(N^2 - \hat{\omega}^2)}{\hat{\omega}^2 - f^2} - \frac{1}{4H^2} \quad (1)$$

#### **1.2** Polarization Relations

$$\frac{\tilde{p}}{\hat{\omega}^2 - f^2} = \frac{\tilde{u}}{\hat{\omega}k + if\ell} = \frac{\tilde{v}}{\hat{\omega}\ell - ifk} = \frac{\tilde{w}(m + \frac{i}{2H})}{\hat{\omega}(k^2 + \ell^2)} = \frac{\tilde{\theta} gi(m + \frac{i}{2H})}{N^2(k^2 + \ell^2)}$$
(2)

where  $\hat{\omega} = \omega - k\overline{u} - l\overline{v}$  is the wave's intrinsic frequency.

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# note: 1. evanescence (internal reflection) when m<sup>2</sup> < 0</li> 2. critical levels (wave/mean-flow interaction)

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solving linear perturbation equations in the WKB approximation gives:

#### group velocity:

$$(c_{gx}, c_{gy}, c_{gz}) = \left(\frac{\partial \omega}{\partial k}, \frac{\partial \omega}{\partial l}, \frac{\partial \omega}{\partial m}\right)$$

$$= (\overline{u}, \overline{v}, 0) + \frac{\left[k(N^2 - \hat{\omega}^2), l(N^2 - \hat{\omega}^2), -m(\hat{\omega}^2 - f^2)\right]}{\hat{\omega}\left(k^2 + l^2 + m^2 + \frac{1}{4H^2}\right)}$$

phase velocity:

$$(c_x, c_y, c_z) = \frac{\omega}{k^2 + l^2 + m^2}(k, l, m)$$

. .

wave amplitude grows with z, eventually leading to nonlinear effects and turbulence, depositing momentum into the mean flow, decelerating the upper level winds.

 $c_g, m, \hat{\omega} \uparrow$ 

critical-level absorption

 $c_g, m, \hat{\omega} \downarrow$ 

wave amplitude grows with  $\hat{\omega}^{-1}$ near critical level and breaking occurs, with wave depositing momentum into background, accelerating the low-level winds.

wave amplitude grows with z, eventually leading to nonlinear effects and turbulence, depositing momentum into the mean flow, decelerating the upper level winds.

 $c_g, m, \hat{\omega} \uparrow$ 

The net effect is to act to reverse the mean shear.

#### critical-level absorption

 $c_g, m, \hat{\omega} \downarrow$ 

wave amplitude grows with  $\hat{\omega}^{-1}$ near critical level and breaking occurs, with wave depositing momentum into background, accelerating the low-level winds.



80 0.1 230 å **150K** 240 (କୁ ) -pressur 250 50 Pressure 01 altitude (km) 250 230 30 240 230 100 10 220K 1000 705 203 505 405 208 205 105 50N 60N 70N RON "Radiative" Temperature (January) 0.0 70 0.1 60 0.3 150\* 50 PRESSURE (mb) 1.0 160 3.0 40 230' 10.0 170\* 30 2100 190\* 30.0 180 20 90'°S 60°S 30-8 EO 30°N 60°N 90°N from Fels (1987)

#### Observed Temperature (January)

Wave / mean-flow interactions are also important in the mesopause region (~90km).

The summer mesopause is 70k colder than the winter mesopause, and also 70K colder than radiative equilibrium temperatures.

Adiabatic cooling and residual circulations driven by gravity waves are responsible.

#### Modeling unresolved gravity-wave effects

Waves contribute organized, coherent motions that can have important dynamical implications.

During field experiments, meso-scale simulations of stratified dynamics often hint at mountain wave initiation, but subsequent evolution is severely damped.

In practice, an astute operator will forecast wave dynamics and turbulence to caution aircraft making field measurements, but not because WRF (MM5) predicted it, but rather because waves were briefly glimpsed before unphysically damped by the forecast model.

How to include waves (or, how to put them back) ...

# Linear, 2D MWFM-2 response



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# Linear, 2D MWFM-2 response



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#### Linear, 2D MWFM-2 response

(Linear waves computed by ray tracing)

$$\begin{aligned} \frac{dx_i}{dt} &= V_i + \frac{\partial \omega_{Ir}}{\partial k_i} = V_i + c_{g_i} \\ \frac{dk_i}{dt} &= -k_j \frac{\partial V_j}{\partial x_i} - \frac{\partial \omega_{Ir}}{\partial x_i}, \end{aligned}$$

$$m^2 = \frac{k_H^2 N^2}{\omega_{Ir}} - k_H^2 - \frac{1}{4H^2}$$

 $\vec{k} = (k, l, m)$   $k_H^2 = k^2 + l^2$   $\omega_{Ir} = \omega - kU - lV$ 

Convective plume parameterization:

Vertical Body Force Model

$$\begin{aligned} \frac{\partial u}{\partial t} &+ \frac{1}{\overline{\rho}} \frac{\partial p'}{\partial x} - fv = F_x(\mathbf{x}) \mathcal{F}(t), \\ \frac{\partial v}{\partial t} &+ \frac{1}{\overline{\rho}} \frac{\partial p'}{\partial y} + fu = F_y(\mathbf{x}) \mathcal{F}(t), \\ \frac{\partial w}{\partial t} &+ \frac{1}{\overline{\rho}} \frac{\partial p'}{\partial z} - \frac{g}{\overline{\theta}} \theta' = F_z(\mathbf{x}) \mathcal{F}(t), \\ \frac{\partial \theta'}{\partial t} &+ \frac{\overline{\theta} N^2}{g} w = \frac{\overline{\theta}}{g} J(\mathbf{x}) \mathcal{F}(t), \\ \frac{\partial u}{\partial x} &+ \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0, \end{aligned}$$

Vertical Body Force:

$$F_z(\mathbf{x}) = w_0 \exp\left(-\left[\frac{(x-x_0)^2}{2\sigma_x^2} + \frac{(y-y_0)^2}{2\sigma_y^2} + \frac{(z-z_0)^2}{2\sigma_z^2}\right]\right)$$

 Exact solutions to the linearized f-plane fluid, non-dissipative equations using Laplace transforms

GW solutions are in Fourier space at time t



Fourier transform of solution:

$$u(x,y,z,t) = \frac{1}{(2\pi)^3} \int \int \int e^{-ikx - ily - imz} \widetilde{u}(k,l,m,t) dk \ dl \ dm$$

The spectral solution after the forcings/heatings finish is

$$\begin{split} \widetilde{u}_{\rm F}(t) &= \frac{-ilN^2\widetilde{F}_{\zeta} - mlf\widetilde{J}}{\mathbf{k}^2\omega^2} - \frac{Dm\hat{a}^2}{k_H^2} \Big\{ \left(k\omega A_{\rm F} - \frac{lfB_{\rm F}}{\omega}\right) \mathcal{S} - (lfA_{\rm F} + kB_{\rm F})\mathcal{C} \Big\}, \\ \widetilde{v}_{\rm F}(t) &= \frac{ikN^2\widetilde{F}_{\zeta} + mkf\widetilde{J}}{\mathbf{k}^2\omega^2} - \frac{Dm\hat{a}^2}{k_H^2} \Big\{ \left(kfA_{\rm F} - lB_{\rm F}\right)\mathcal{C} + \left(\frac{kfB_{\rm F}}{\omega} + l\omega A_{\rm F}\right)\mathcal{S} \Big\}, \\ \widetilde{w}_{\rm F}(t) &= \left\{ D \ \hat{a}^2(A_{\rm F}\omega\mathcal{S} - B_{\rm F}\mathcal{C}) \right\}, \\ \widetilde{\Theta}_{\rm F}(t) &= \frac{mf(iN^2\widetilde{F}_{\zeta} + mf\widetilde{J})}{\mathbf{k}^2\omega^2} + DN^2\hat{a}^2 \Big\{ A_{\rm F}\mathcal{C} + \frac{B_{\rm F}\mathcal{S}}{\omega} \Big\}, \\ \widetilde{P}_{\rm F}(t) &= \frac{if(iN^2\widetilde{F}_{\zeta} + mf\widetilde{J})}{\mathbf{k}^2\omega^2} + \frac{iD\hat{a}^2(N^2 - \omega^2)}{m} \Big\{ A_{\rm F}\mathcal{C} + \frac{B_{\rm F}\mathcal{S}}{\omega} \Big\}, \end{split}$$

Now take Laplace transform of equations, solve linear equations, then take inverse Laplace transform. Solutions are a function of k,l,m,t.

where

$$D = \frac{1}{\sigma_t \omega^2 (\hat{a}^2 - \omega^2)}$$

$$P = p'/\overline{\rho},$$

$$\Theta = g\theta'/\Theta,$$

$$S = \sin \omega t + \sin \omega (\sigma_t - t),$$

$$C = \cos \omega t - \cos \omega (\sigma_t - t),$$

$$F_{\zeta} = \partial F_y/\partial x - \partial F_x/\partial y,$$

$$F_{\delta} = \partial F_x/\partial x + \partial F_y/\partial y + \partial F_z/\partial z,$$

$$A_{\rm F} = \widetilde{F_z} - \frac{im\widetilde{F_{\delta}}}{\mathbf{k}^2},$$

$$B_{\rm F} = \frac{k_H^2 \widetilde{J}}{\mathbf{k}^2} - \frac{imf\widetilde{F_{\zeta}}}{\mathbf{k}^2}$$

Modeled GWs from mesoscale convective complexes (MCCs) modeled as vertical body forces



(Vadas and Fritts, 2004)

# Response from modeled body forces:

Vertical velocities of GWs 30, 60, and 90 min after convective initiation



- Deep, tropical convective systems efficiently excite GWs
- GWs radiate as concentric rings upwards and away from convective cells in 3D nonlinear numerical models (Piani et al, 2000; Lane et al, 2001; Horinouchi et al, 2002)

(Vadas and Fritts, 2004)

# Response from modeled body forces:

Vertical velocities of GWs 30, 60, and 90 min after convective initiation



## **Bayesian SGS Modeling**

Estimate with ensemble runs or knowledge of F uncertainty  $\int_{\Delta}^{\infty} [A | F, Y] [Y | F] [F] dY$ resolved by NWP or secondary model

# **Bayesian SGS Modeling**





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# **Bayesian SGS Modeling**





# Modeling unresolved gravity-wave effects



#### **Gravity-Wave Breaking Simulation**



$$Ri = \frac{N^2 h^2}{U_0^2} \quad Re = \frac{U_0 h}{v} \quad Pe = \frac{U_0 h}{\kappa}$$

 $\partial_t u + \omega \times u = Re^{-1}\nabla^2 u - \nabla P + Ri\,\theta\,\hat{z}$  $\partial_t \theta + u \cdot \nabla \theta = Pe^{-1}\nabla^2 \theta$  $\nabla \cdot u = 0$ 

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#### **Gravity-Wave Breaking Simulation**

gravity-wave asymptotic linear stability

$$\theta = 30$$
 $k_x = 0.9$  $k_y = 0.9$  $\theta = 45$  $k_x = 3.8$  $k_y = 0.0$  $\theta = 60$  $k_x = 4.0$  $k_y = 0.0$  $\theta = 72$  $k_x = 0.0$  $k_y = 0.9$  $\theta = 80$  $k_x = 3.9$  $k_y = 4.0$  $\theta = 90$  $k_x = 0.0$  $k_y = 4.0$ 

Lombard & Riley, Phys. Fluids, 1996

$$\theta = \theta_0 \cos(k \cdot x - \omega t)$$

$$U = U_0 \sin(k \cdot x - \omega t)$$

$$\frac{\omega^2}{N^2} = \frac{k_x^2}{k^2}$$

$$\overline{R^2}$$

$$\overline{g}$$

$$Ri = \frac{N^2 h^2}{U_0^2}$$
  $Re = \frac{U_0 h}{v}$   $Pe = \frac{U_0 h}{\kappa}$ 

 $\partial_t u + \omega \times u = Re^{-1}\nabla^2 u - \nabla P + Ri\,\theta\,\hat{z}$  $\partial_t \theta + u \cdot \nabla \theta = Pe^{-1}\nabla^2 \theta$  $\nabla \cdot u = 0$ 

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gravity-wave asymptotic linear stability



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Gravity-Wave Breaking Re= $10^4$  Pr=1 A=1.1 2400 x 1600 x 800

3D volume rendering via ezViz



Gravity-Wave Breaking Re= $10^4$  Pr=1 A=0.9 2400 x 1600 x 800

3D volume rendering via ezViz



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A=1.1

3D volume rendering via ezViz





A=1.1

3D volume rendering via ezViz





#### A=1.1 GW Breaking Simulations

## A=0.9



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#### Hi-Res Wind-Shear Simulations: DNS-LES Comparisons

A=1.1







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#### Hi-Res Wind-Shear Simulations: DNS-LES Comparisons





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Amplitude drops by 70% for both A=1.1 AND A=0.9 for 72° wave (N/3.2).
 Preliminary result: A=0.9 does not budge for 84° wave (N/10).



# Conclusions

1. Gravity waves are important often-unresolved processes in NWP models.

2. GWs engender turbulence and couple to mean flows when overturning and breaking occurs...

3. Gravity wave breaking results after amplification in the atmosphere due to a) critical-level absorption and b) upward propagation.

4. The effects of GWs are estimated by linear wave-propagation codes operating on NWP output that does not resolve them explicitly or accurately.

5. Nonlinear effects must be modeled in wave-propagation codes, and currently convective instability is used as the criteria of choice.

5. DNS results for turbulent GW breaking indicate that convective instability under-predicts the degree of wave saturation.

# Ongoing Work

1. Explore wave saturation as a function of wave parameters.

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