

# Theory and Computation of Wavenumber-2 Vortex Rossby Wave Instabilities in Hurricane-like Vortices

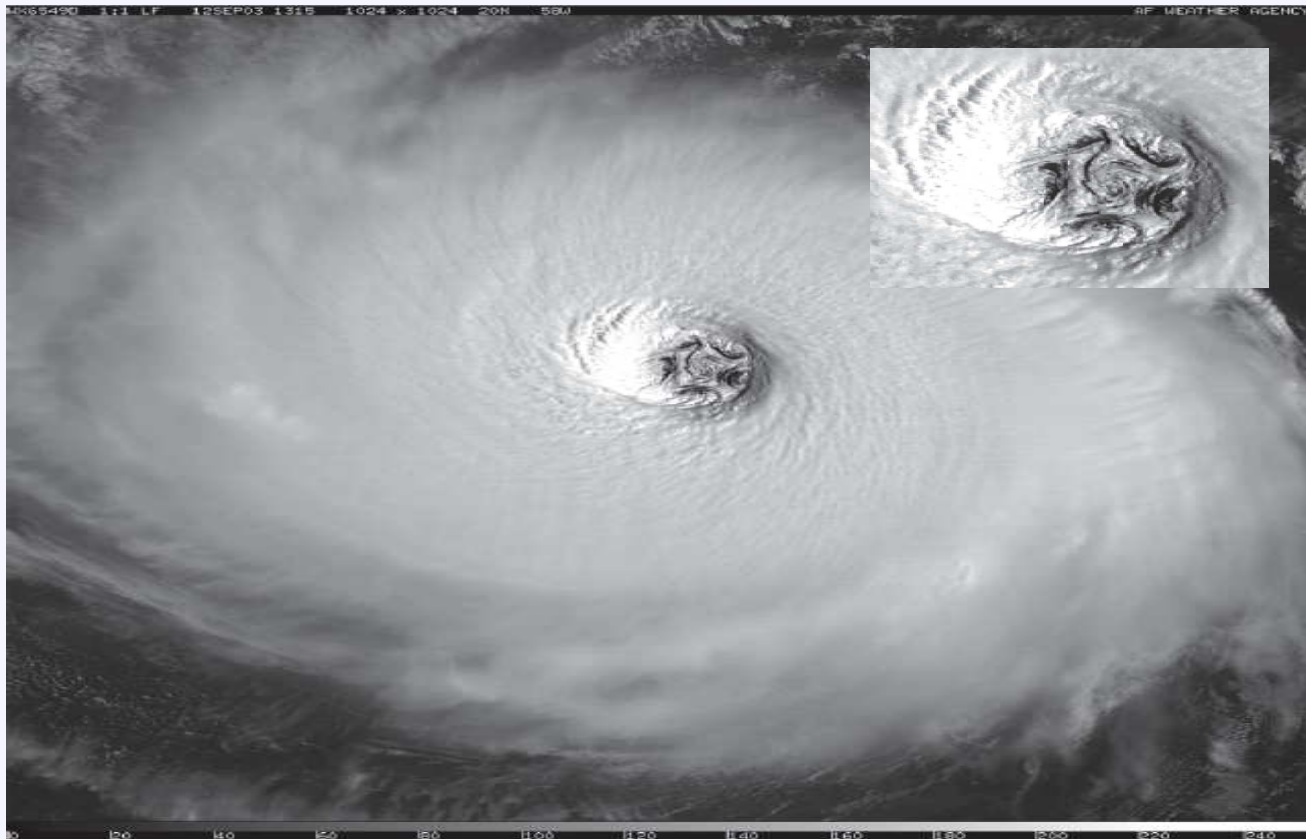
TOY 2008

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# Polygonal Eyewalls



DMSP image of Hurricane Isabel, 12 Sept. 2003.

- First satellite imagery of hurricanes revealed a **surprise!**
- Fascinating dynamical feature: hurricane eyewalls are often **polygonal** in appearance (square, pentagonal and hexagonal).

# Why do polygonal eyewalls form?

- Schubert et al. (1999) suggested that polygonal eyewalls form from perturbations that can be interpreted as two, discrete, phase-locked, **Vortex-Rossby Waves** (VRWs) that live on the inner and outer vorticity gradients of the eyewall.
- They formulated a discontinuous, **2D**, three-region vortex model (piece-wise constant vorticity) with dispersion relation

$$\nu = \frac{1}{2}(\nu_1 + \nu_2) \pm \frac{1}{2} [(\nu_1 - \nu_2)^2 + \xi_1 \xi_2 (r_1/r_2)^{2l}]^{1/2}$$

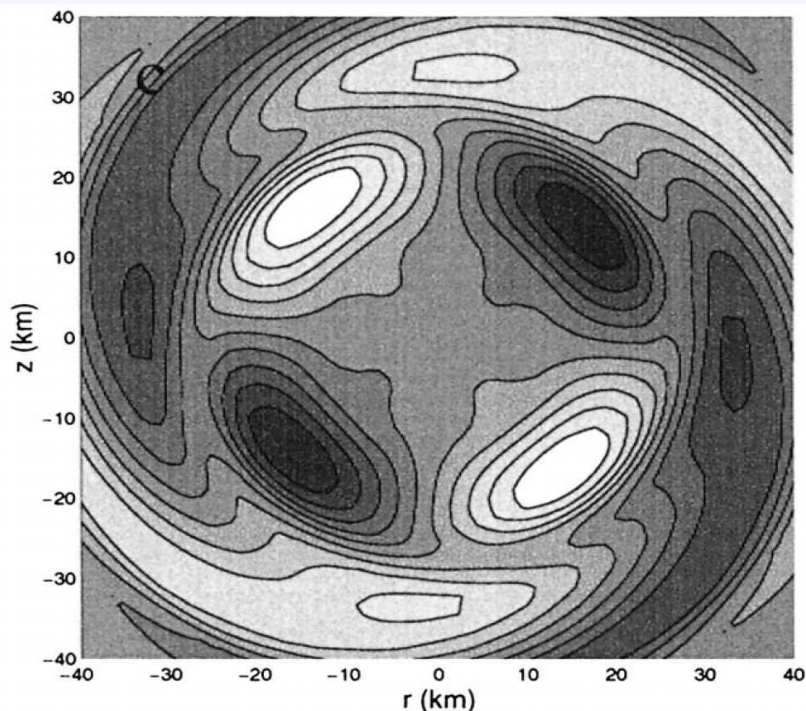
for the unstable mode with non-interacting VRW frequencies  $\{\nu_1, \nu_2\}$  and vorticity jumps  $\{\xi_1, \xi_2\}$  at  $\{r_1, r_2\}$ .

- Instability occurs for azimuthal wave-number  $l \geq 3$ ; this barotropic instability may cause spinup and maintenance of eye vorticity, thereby increasing hurricane maximum intensity.

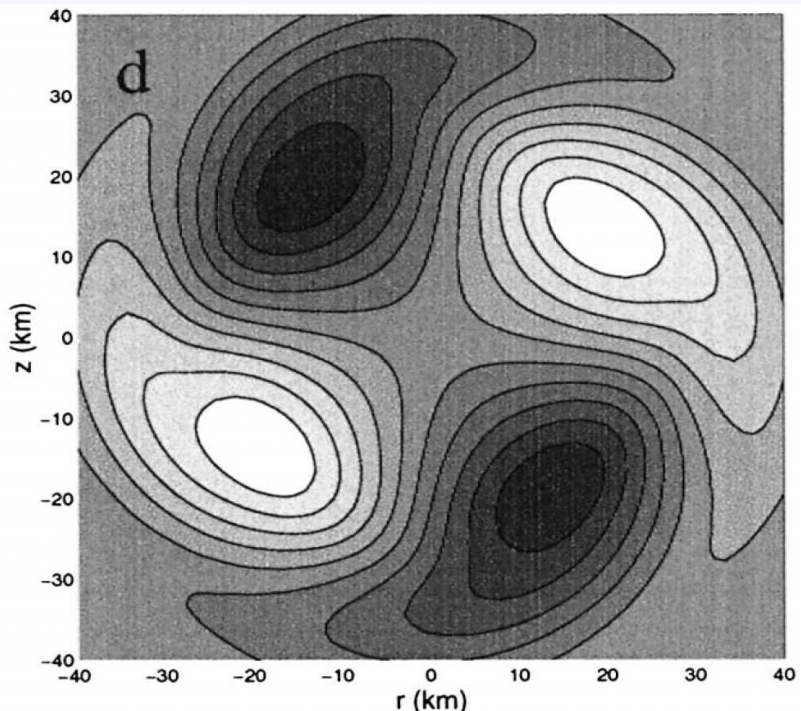
# The elusive $l = 2$ instability

- Nolan & Montgomery (2002) computed fully **3D**, nonhydrostatic modes for hurricane-like vortices.
- They found a **quasi-2D**,  $l = 2$  instability that **disappears** as eye vorticity vanishes (hurricane category  $\uparrow$ ).
- Two concentric sets of perturbations in eyewall  $\rightarrow$  VRWs!

PV



Pressure



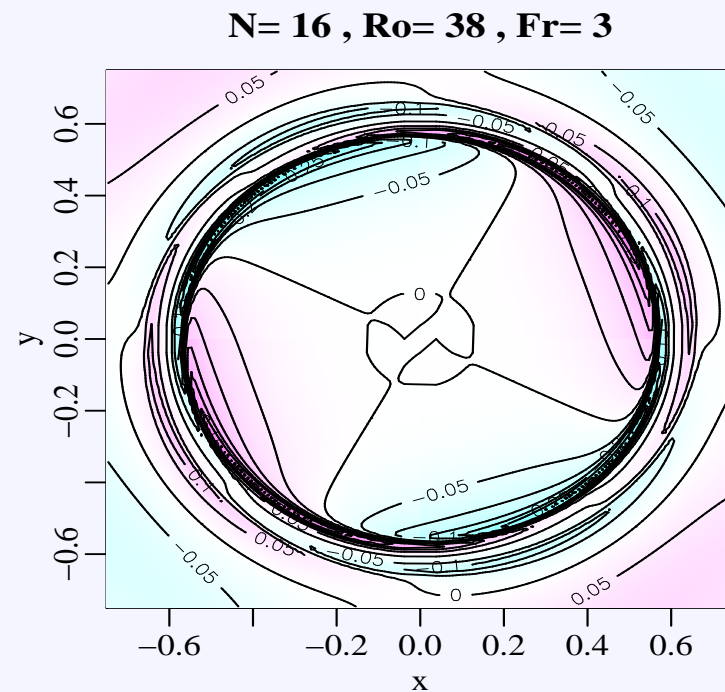
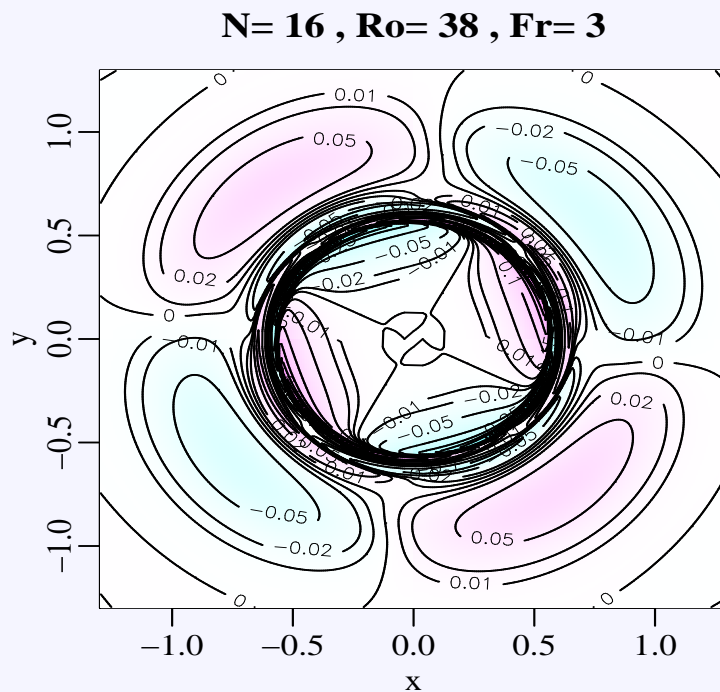
## Despite this...

Terwey and Montgomery (2002) note the **unsatisfactory absence** of **wavenumber-2** instabilities in normal-mode models with small hurricane eye vorticity:

It is strange to think that an instability as common in continuous models and observed phenomenon . . . would require that the core's vorticity must be negative.

# An new $l = 2$ instability?

- Our new numerical routine produces unstable,  $l = 2$ , modes that appear to be distinct from Nolan & Montgomery (2002)'s **quasi-2D** instability:

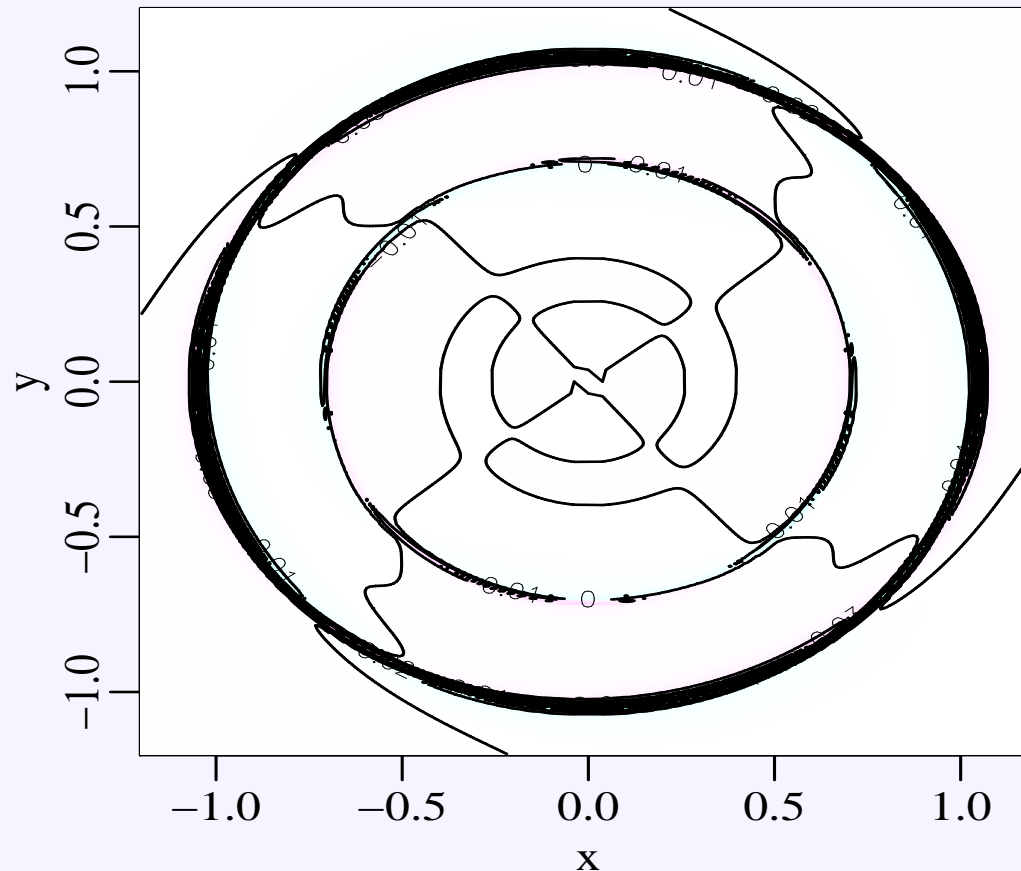


- Note the two inner consecutive rings of vertical vorticity.

## An new $l = 2$ instability?

- Example with larger stratification.

**$N= 63$  ,  $Ro= 40$  ,  $Fr= 7.5$**



- Note the two rings which dominate the vertical vorticity.



# Questions

- Can we interpret this instability as two, discrete, phase-locked, Vortex-Rossby Waves (VRWs)?
- How is this instability different from the “Category 1” instability discovered by Nolan and Montgomery (2002)?
- A **2D** wavenumber-2 instability is **not** predicted by simple, discontinuous, three-region vortex models [e.g. Schubert et al., 1999]. What is the salient **3D** feature that allows instability?
- Can we capture this class of instability in a **3D** extension of Schubert et al. (1999)’s discontinuous, three-region vortex model?
- What the implications of this class of instability for hurricane intensification.



# Equations and Numerics

For a “hurricane-like” base-state with

$$\text{Azimuthal Angular Velocity} = \Omega(r)$$

$$\text{Vertical Vorticity} = Z(r)$$

and constant stratification,  $N$ , we solve the 3D, linearized, Boussinesq equations in cylindrical coordinates:

$$\partial_t u_r + \Omega \partial_\theta u_r - (2\Omega + f) u_\theta = -\partial_r \pi$$

$$\partial_t u_\theta + \Omega \partial_\theta u_\theta + (Z + f) u_r = -\frac{\partial_\theta}{r} \pi$$

$$\partial_t u_z + \Omega \partial_\theta u_z = b - \partial_z \pi$$

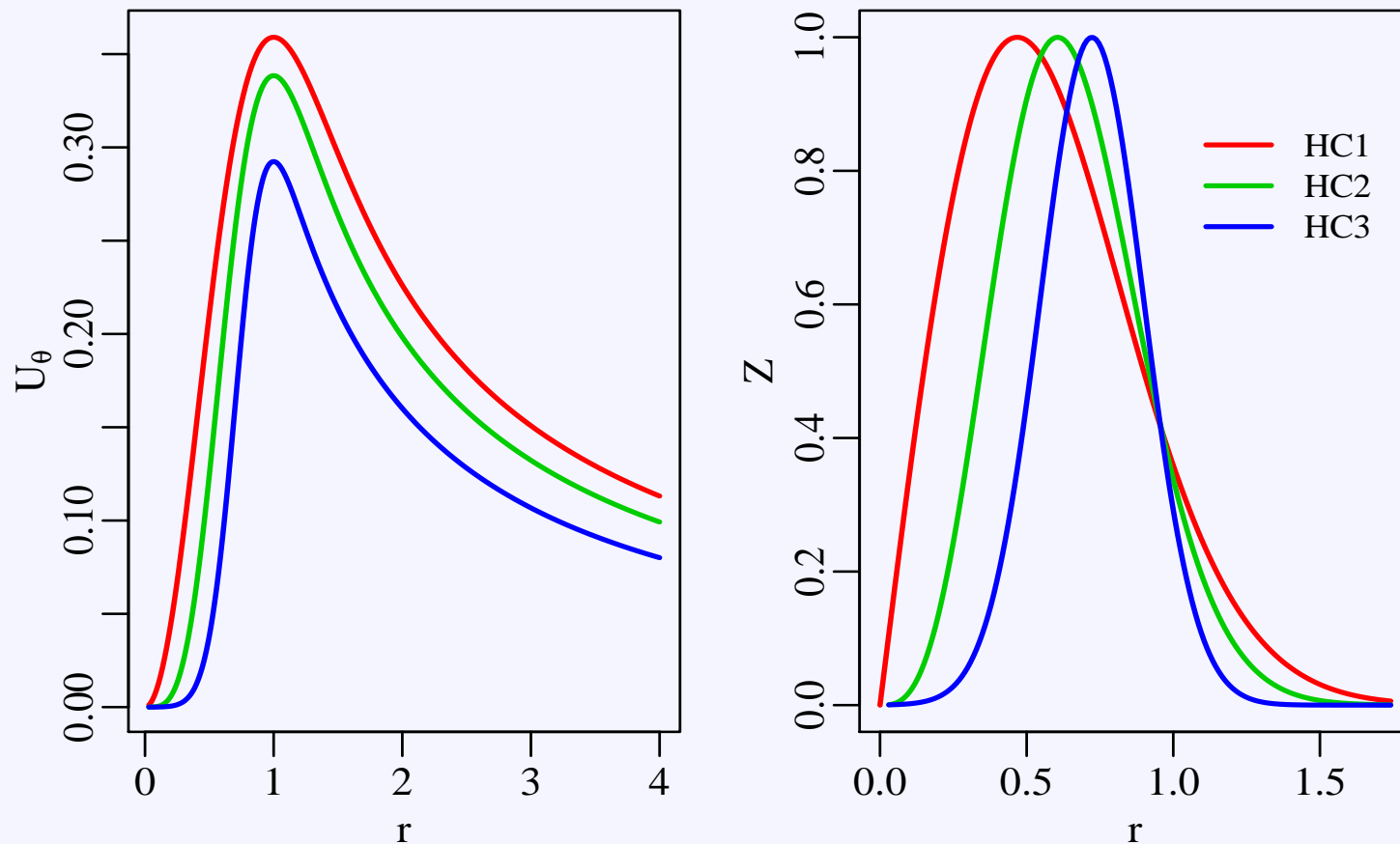
$$\partial_t b + \Omega \partial_\theta b + u_z N^2 = 0$$

$$\frac{1}{r} \partial_r (r u_r) + \frac{1}{r} \partial_\theta u_\theta + \partial_z u_z = 0$$

for perturbations,  $\phi(r, \theta, z, t) = A(r) \exp [i(l\theta + mz - \nu t)]$ .

# “Hurricane-Like” Base States

- Increasing hurricane strength (category) is roughly analogous with decreasing eye vorticity.



# Numerics

We use a new numerical approach.

- Solve for eigenvalues using standard packages (LAPACK) but. . .
- We solve the equation for pressure Laplacian analytically.
- Pressure is written as a convolution over Bessel functions,

$$K_l(mr), I_l(mr)$$

## Advantages:

- Pressure is computed using  $Nth$ -order stencil; **NO** numerical derivatives.
- Divergent boundary conditions (Bessel functions) are eliminated analytically.

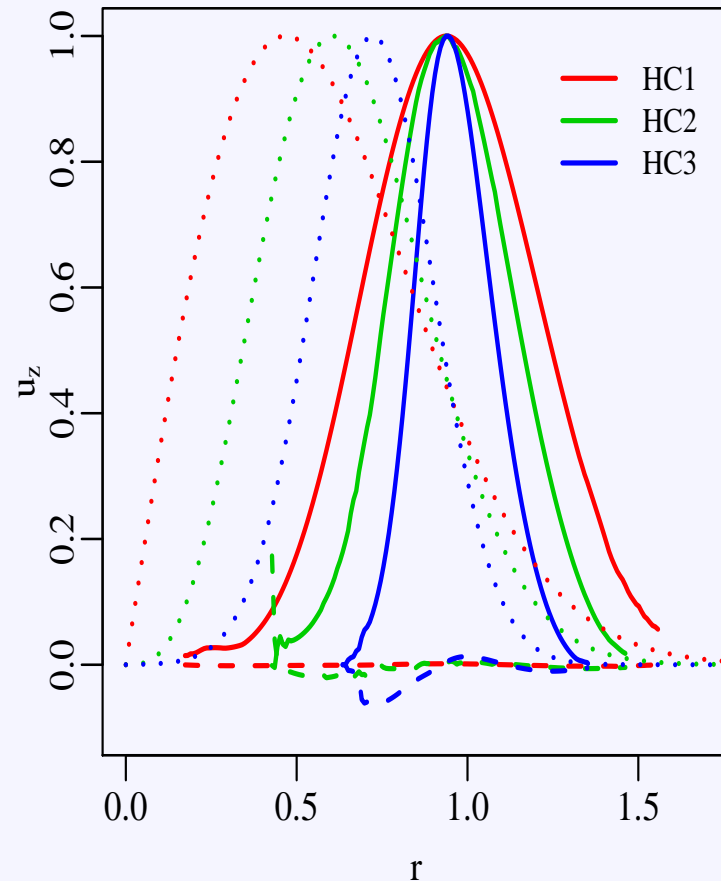
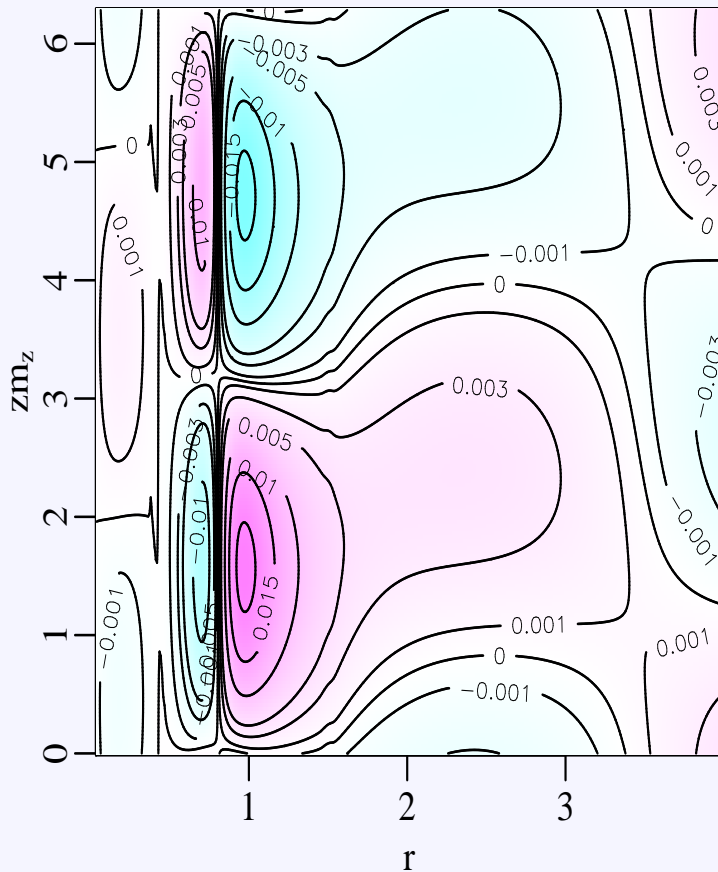
## Disadvantages:

- Numerics is “finicky”.
- Remove spurious eigenvalues using (imperfect) convergence test.

# Hot Vortical Towers!

- Instability produces strong azimuthal vorticity in the eyewall associated with vertical convection that peaks at  $r = 1$ .

$N = 16$ ,  $Ro = 29$ ,  $Fr = 3.3$

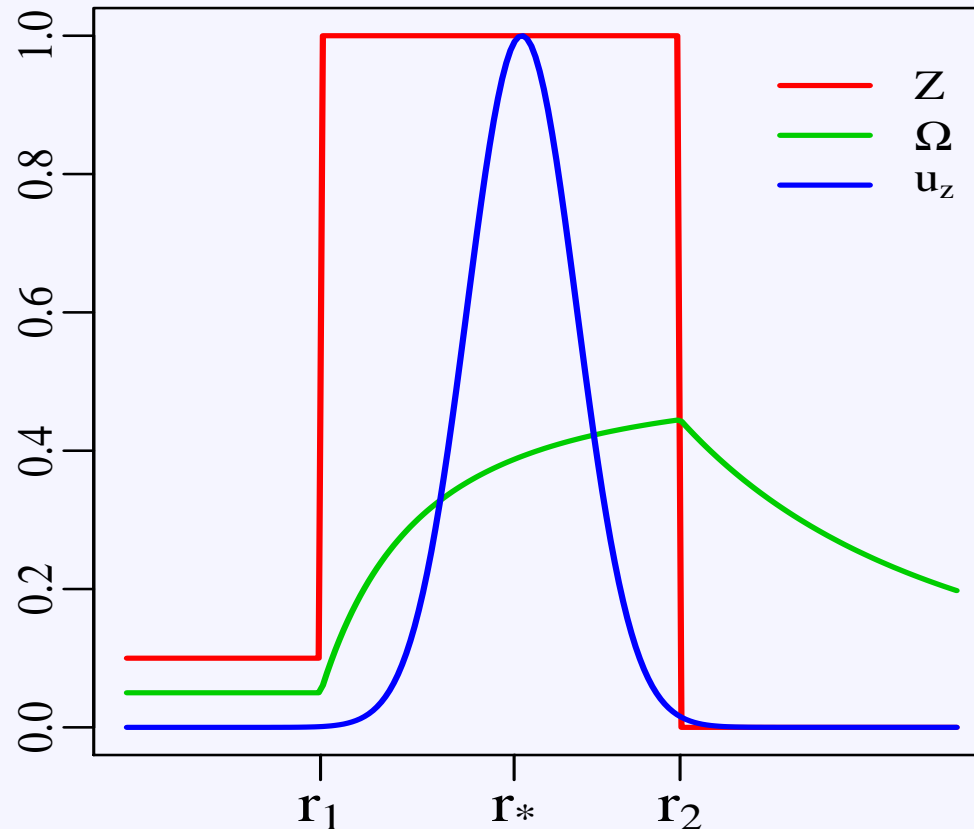


- Figure shows  $Ro \in \{0, 50\}$ ,  $N \in \{16, 128\}$ ,  $m \in \{0, 40\}$ .

## Is this a VRW?

**Question:** Is this 3D structure consistent with VRW theory and Schubert et al. (1999)?

**Approach:** Extend three region vortex model by adding skewed-Gaussian eyewall vertical velocity.



# New Model

- Following Schubert et al. (1999) we use vertical vorticity ( $\zeta_z$ ) Eqn:

$$(\nu - l\Omega)\zeta_z + i\frac{\partial Z}{\partial r}u_r + (Z + 1)mu_z = 0$$

Note the **Vortex Stretching!**

- We solve the  $u_r$ -equation in each region:

$$\frac{\partial}{\partial r}r\frac{\partial}{\partial r}(ru_r) - l^2u_r = -i\frac{\partial}{\partial r}(r^2mu_z) + ilr\frac{(Z + 1)}{\nu - l\Omega(r)}mu_z$$

- Inner ( $r < r_1$ ) and outer ( $r > r_2$ ) we have  $u_z = 0$ :

$$u_r \sim r^{l-1} \quad r < r_1$$

OK.

$$u_r \sim r^{-l-1} \quad r > r_2$$

Punt.

- This is a **TOY MODEL!**

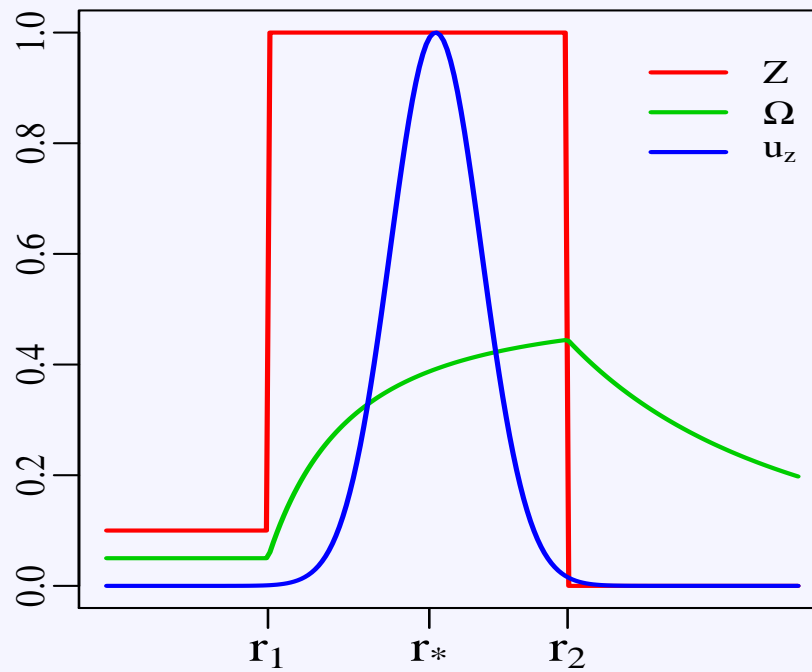
# Boundary Conditions

- Usual jump conditions at  $\{r_1, r_2\}$  for vorticity jumps  $\{\xi_1, \xi_2\}$ :

$$(\nu - l\Omega_1)\Delta u_\theta|_{r_1} = i\xi_1 u_r(r_1)$$

$$(\nu - l\Omega_2)\Delta u_\theta|_{r_2} = i\xi_2 u_r(r_2)$$

- New BC: satisfy nonhydrostatic vertical momentum balance at  $r_*$ .





# Results: 1st Model

## First Model:

- Given

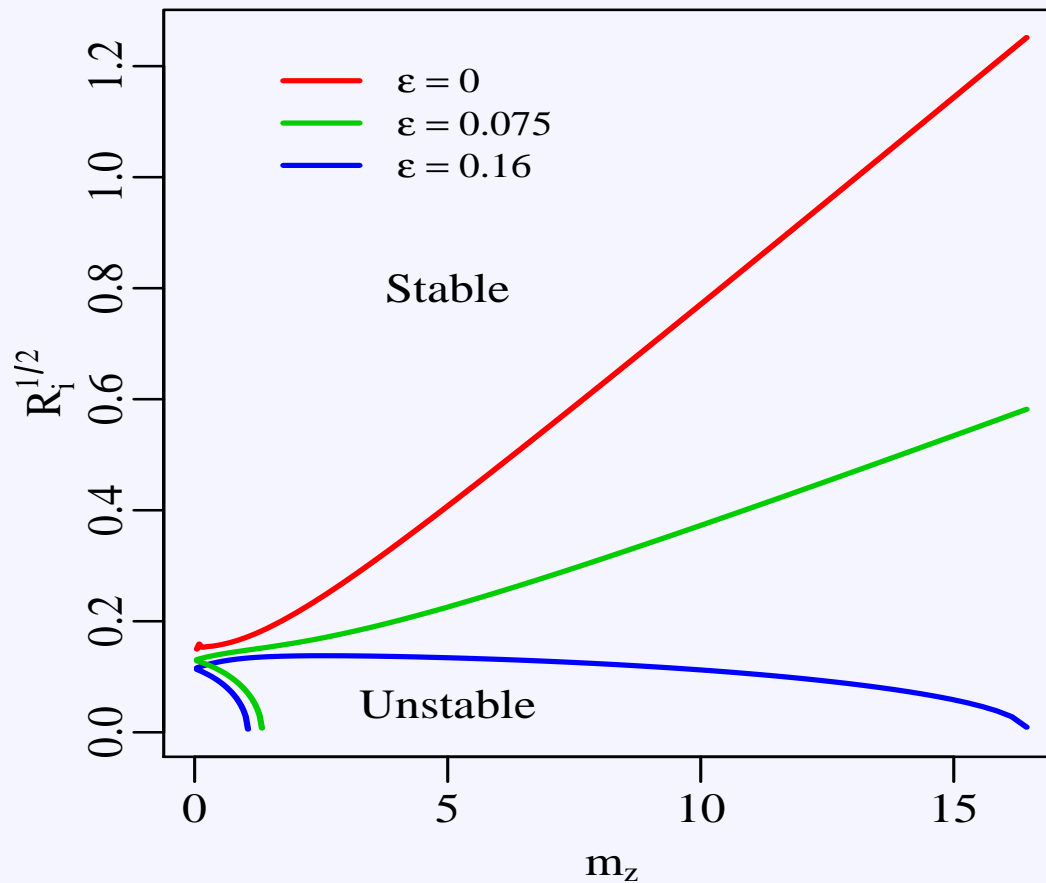
$$\frac{\partial}{\partial r} r \frac{\partial}{\partial r} (r u_r) - l^2 u_r = -i \frac{\partial}{\partial r} (r^2 m u_z) + i l r \frac{(Z + 1)}{\nu - l \Omega(r)} m u_z$$

replace

$$i l r \frac{(Z + 1)}{\nu - l \Omega(r)} m u_z \rightarrow i l r \frac{(Z + 1)}{\nu - l \Omega_*(r_*)} m u_z$$

- Resulting Model: 4th order (quartic) expression for  $\nu$ .
- Advantage: Provides analytic insight.

# Instability Boundaries



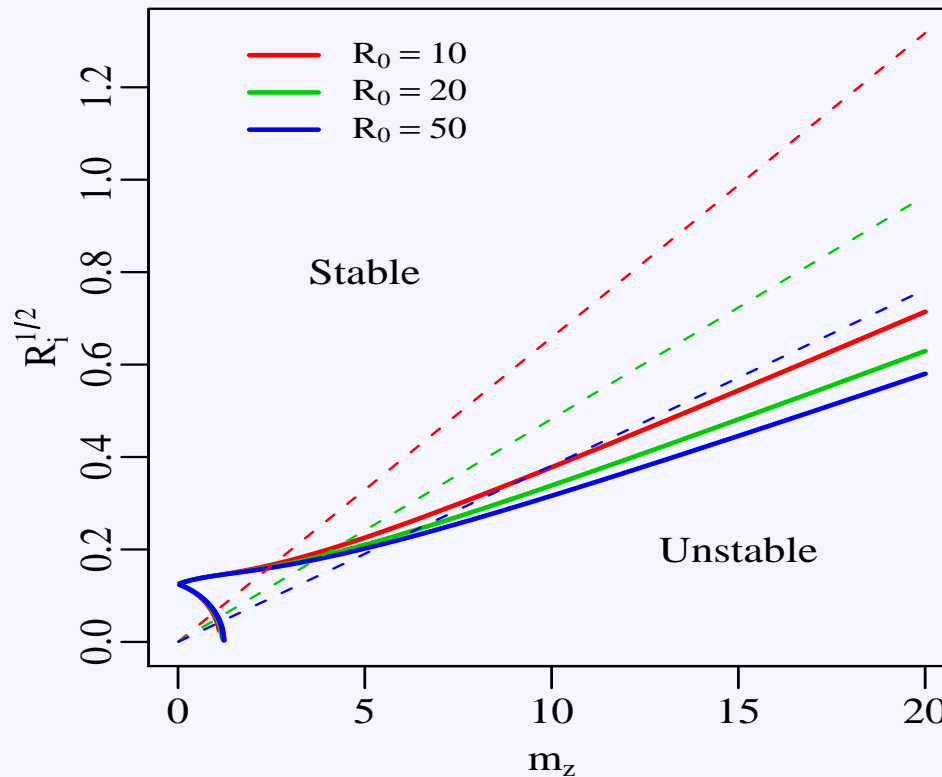
■ Unstable modes found for small  $\epsilon = 1 + \xi_1/\xi_2$ .

■ Critical points:

$\epsilon = 0.075$	:	$R_i = 0.017$
$\epsilon = 0.016$	:	$R_i = 0.013$

# Analytic Behavior

Stability boundary of our 4th-order expression for  $\nu$  can be analyzed using a new expression for the discriminant [Yang, 1999].



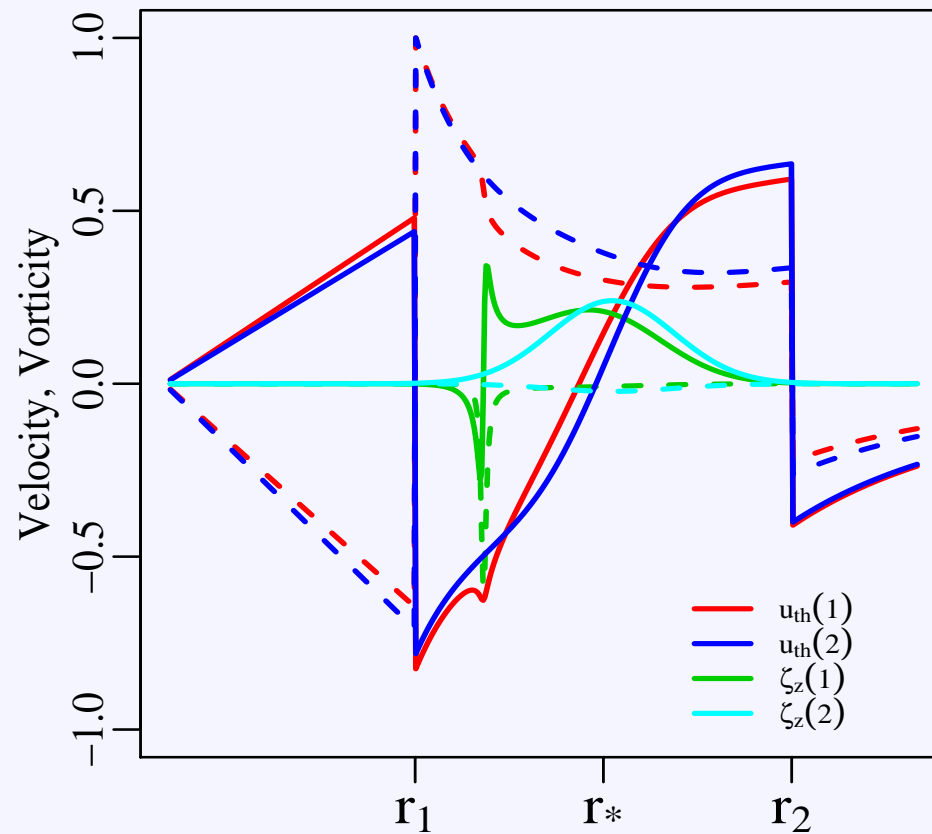
Critical Froude number is [Gaussian  $u_z$  of width  $\sigma$ ]:

$$F_r^2 \sim (-l\Omega_* + \xi_2 + f)(-l\Omega_* - \xi_2 - f) \frac{\sigma r_* m^2}{N^2}$$

# Origin of Vorticity Rings

Effect of vertical vorticity stretching:

$$ilr \frac{(Z+1)}{\nu - l\Omega(r)} mu_z \rightarrow ilr \frac{(Z+1)}{\nu - l\Omega_*(r_*)} mu_z$$



# Vertical vorticity stretching

- Given linearized vertical vorticity equation

$$(\nu - l\Omega)\zeta_z + i\frac{\partial Z}{\partial r}u_r + (Z + 1)mu_z = 0$$

Narrow rings of vorticity occur where

$$\text{Material frequency} = \text{Re}(\nu) - l\Omega(r) = 0$$

- This resonance is a fixed point in reference frame of the base state.

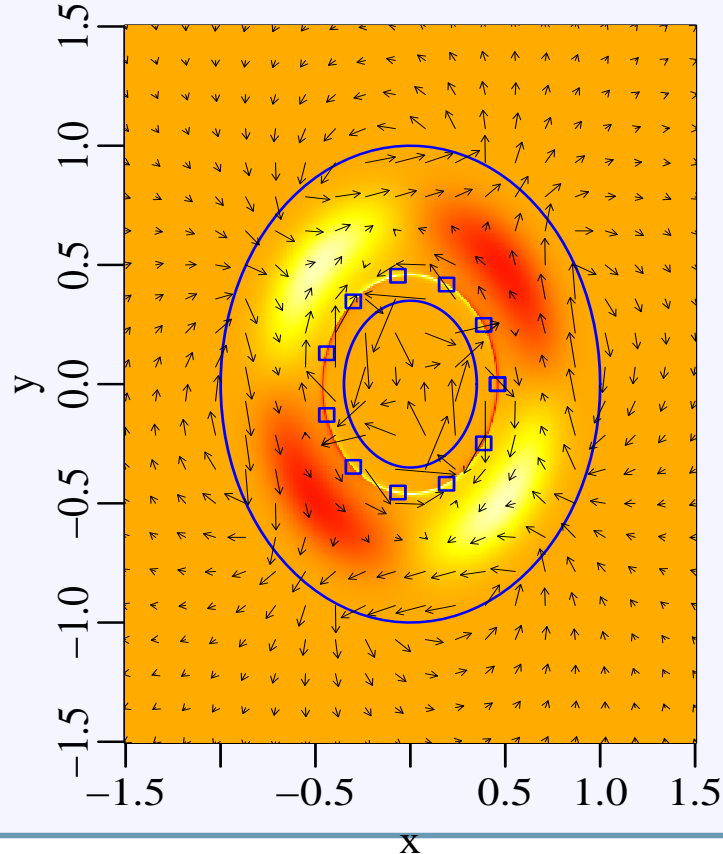
Conclusion: Narrow rings of enhanced vorticity seen in full numerical model **are not** VRWs, but instability can be interpreted as two, discrete, phase-locked **VRWs**.

# Comparison of Analytic and Numerical Models

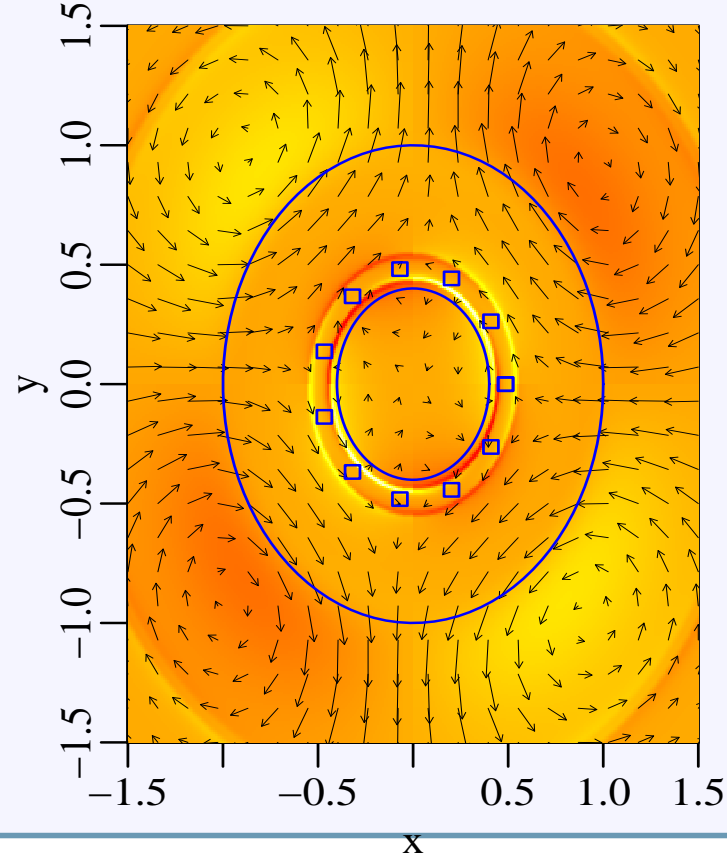
Prediction from analytic model:

- Moving radial outward: first VRW, then disturbance due to material frequency resonance, then peak in  $\{u_z, \zeta_z\}$  associated with stretching, then second VRW.

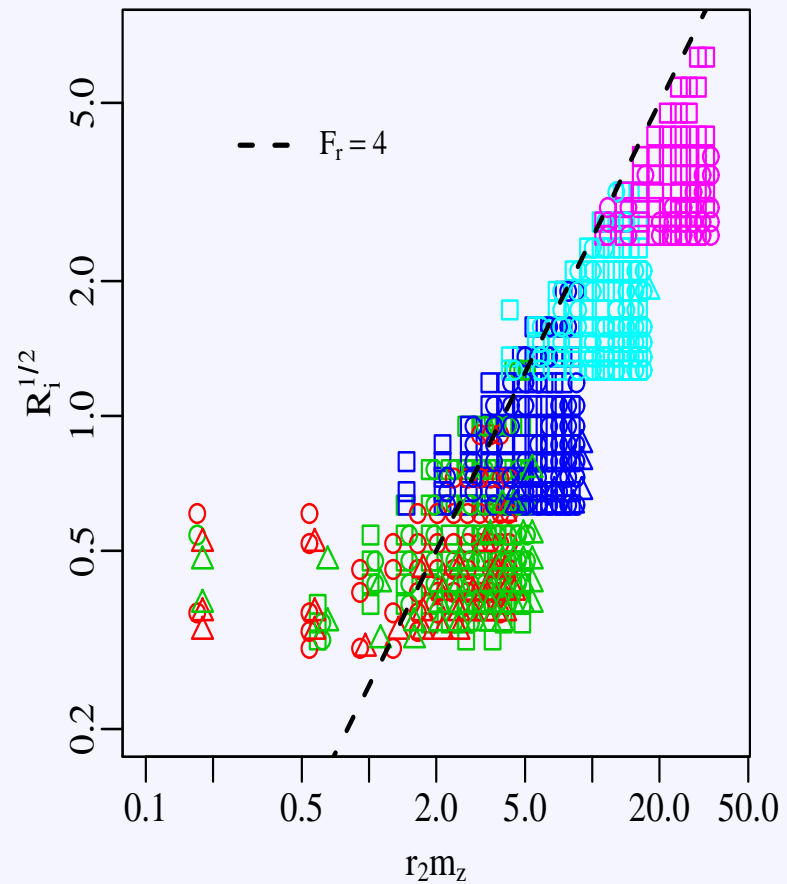
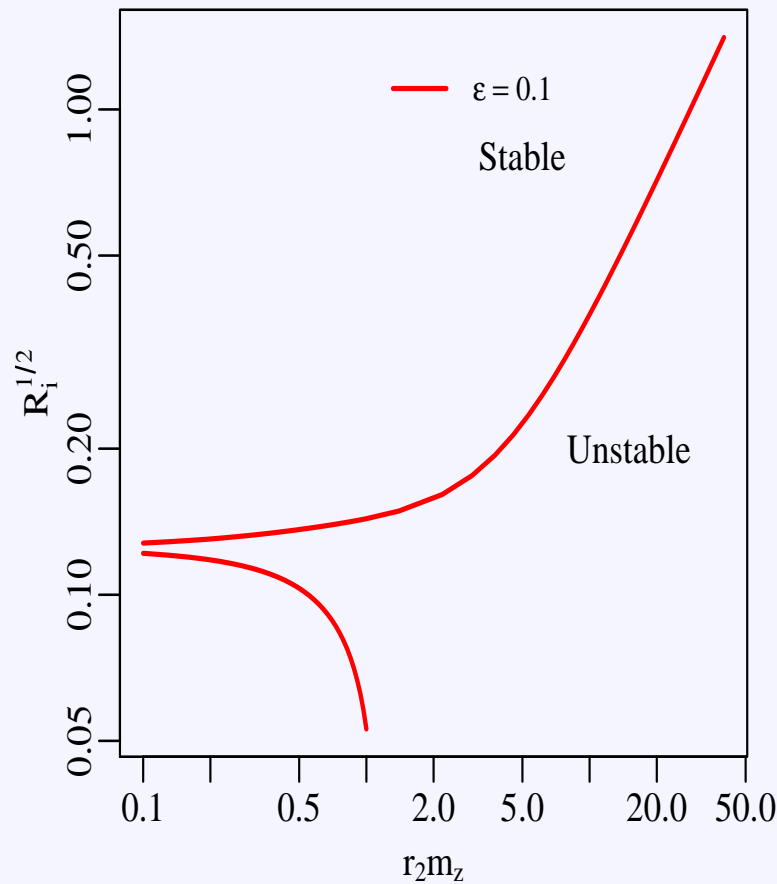
**Analytic Model**



**Numerical Model**



# Comparison of Analytic and Numerical Models



red:  $N = 11$ , green:  $N = 16$ , blue:  $N = 32$ ,  
 light blue:  $N = 64$ , pink:  $N = 128$ ,



# Conclusions

- We have addressed the “**missing**” wavenumber-2, VRW instability, absent from 3-region Schubert et al. model, and in Nolan and Montgomery (2002)’s analysis at large category.
- Using new numerical approach, we find a class of fundamentally **3D**,  $l = 2$  instability associated with:
  - Intense vertical convection (vortical hot towers) in eyewall.
  - Rings of enhance vertical vorticity at resonance radius where material frequency is zero.
  - Froude numbers greater 4.
  - Richard numbers greater than 0.1.
- Developed a new **3D**, non-hydrostatic 3-region model:
  - 4th-order eigenvalue equation that is analytically tractable.
  - Contend is simplest analytic model which shows archetypal features of  $l = 2$  instability, in agreement with numerics.

## Current/Future Work

- Study fully nonlinear evolution of this  $l = 2$  VRW instability.
- Assess role of instability in either
  - Transporting vorticity into the eye and the axisymmetrization process  $\rightarrow$  intensification.
  - Disruption of eyewall processes  $\rightarrow$  eyewall renewal cycles and de-intensification.

### New Hurricane-Lightning Project:

- Understand roll of VRW instabilities, and vortical hot towers in the generation of hurricane eyewall lightning.
- Experiments with cloud-resolving model and microphysics.

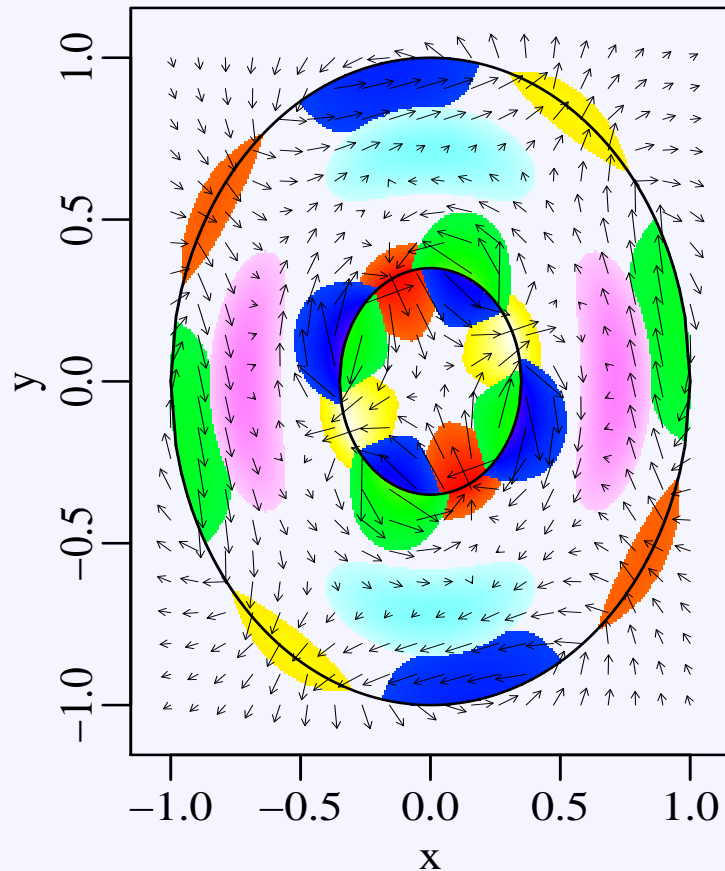
### Other stability work in Cylindrical Geometry:

- Normal mode analysis and instability of land ice-sheets.
- Contacts: Nicole Jeffery, [njeffery@lanl.gov](mailto:njeffery@lanl.gov), and Beth Wingate.

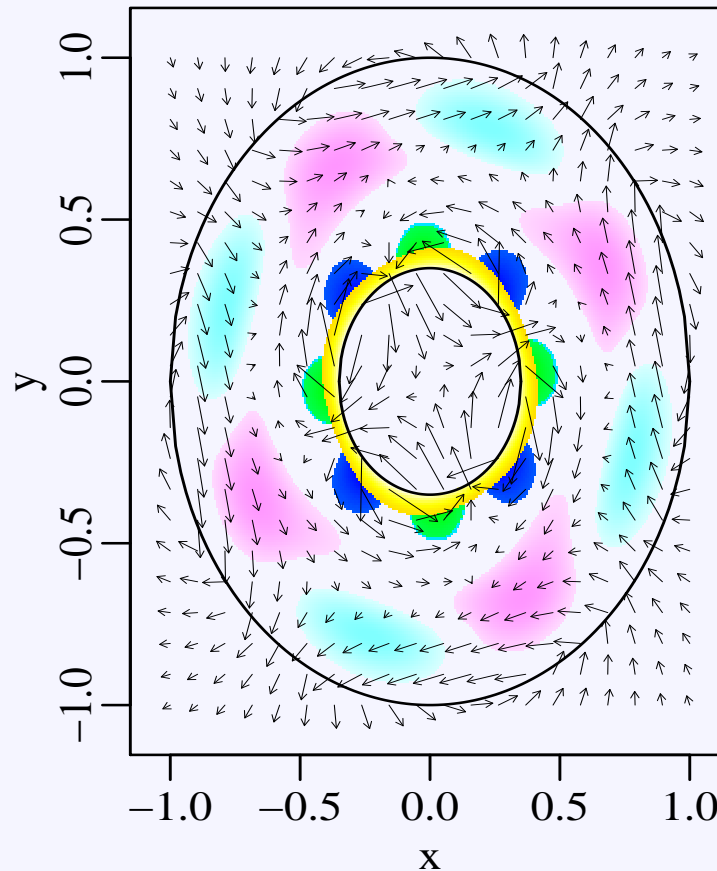
# Model Comparison

Velocity and basestate tendency for  $VS = ilr \frac{(Z+1)}{\nu - l\Omega_*(r_*)} mu_z$ .

**Velocity**



**Tendency**

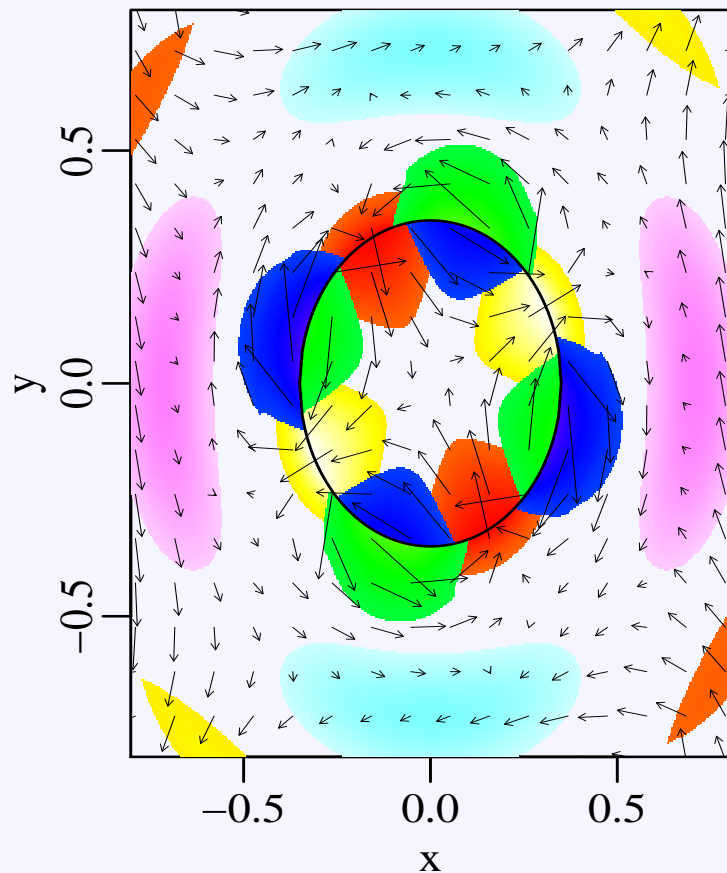


$u_r$  (yellow,red),  $u_\theta$  (green,blue),  $u_z$  (pink,light blue)

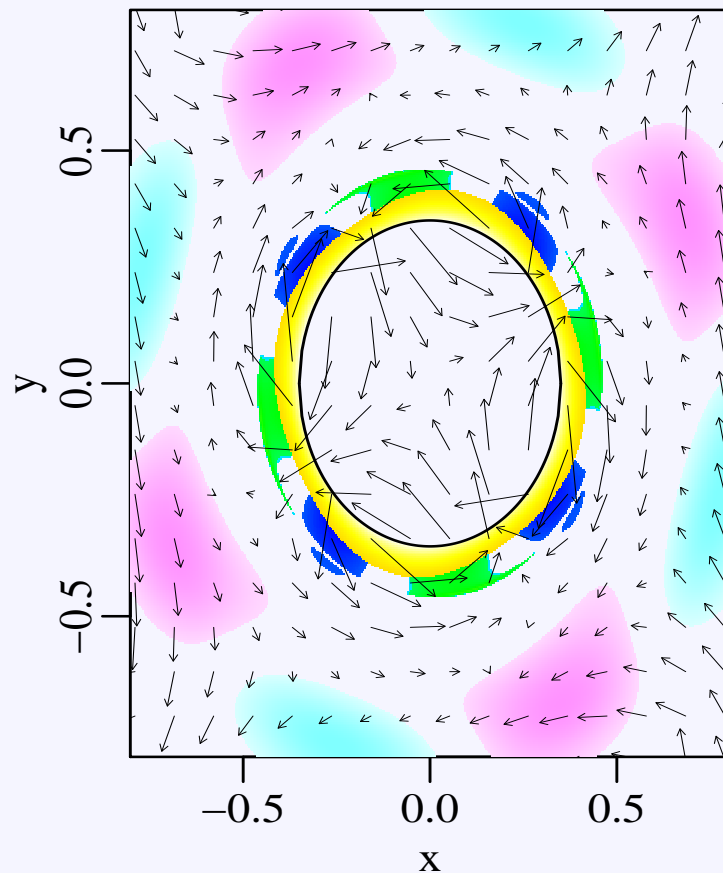
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**Velocity**



**Tendency**

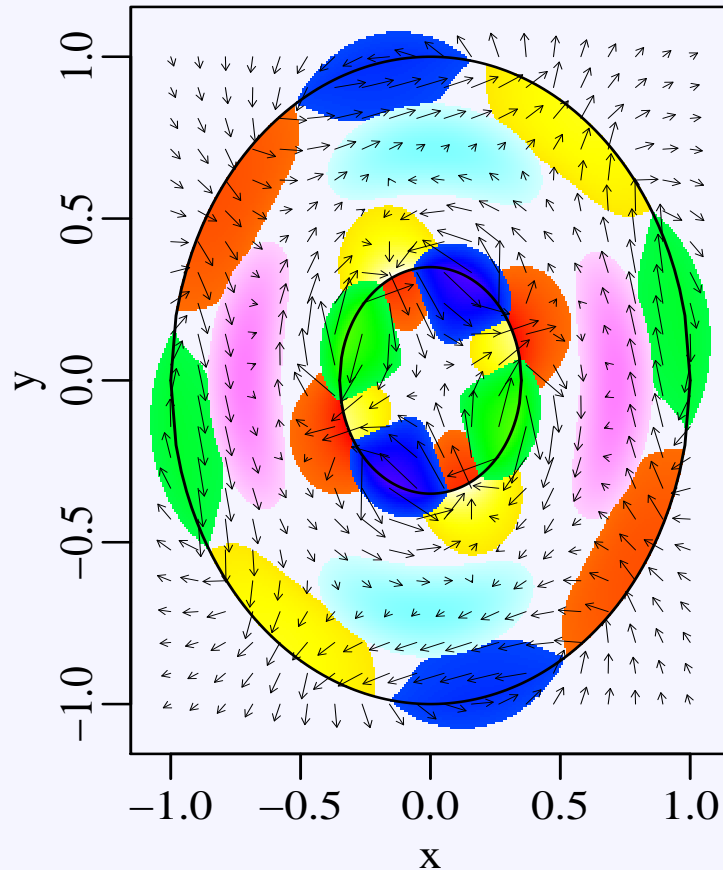


$u_r$  (yellow,red),  $u_\theta$  (green,blue),  $u_z$  (pink,light blue)

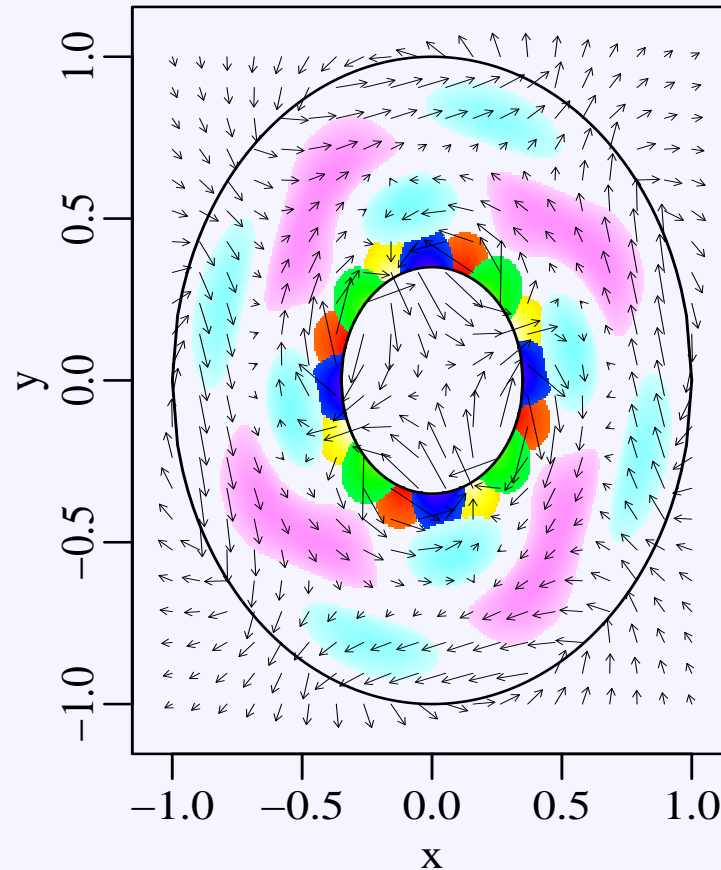
# Model Comparison

Vorticity and basestate tendency for  $VS = ilr \frac{(Z+1)}{\nu - l\Omega_*(r_*)} mu_z$ .

**Vorticity**



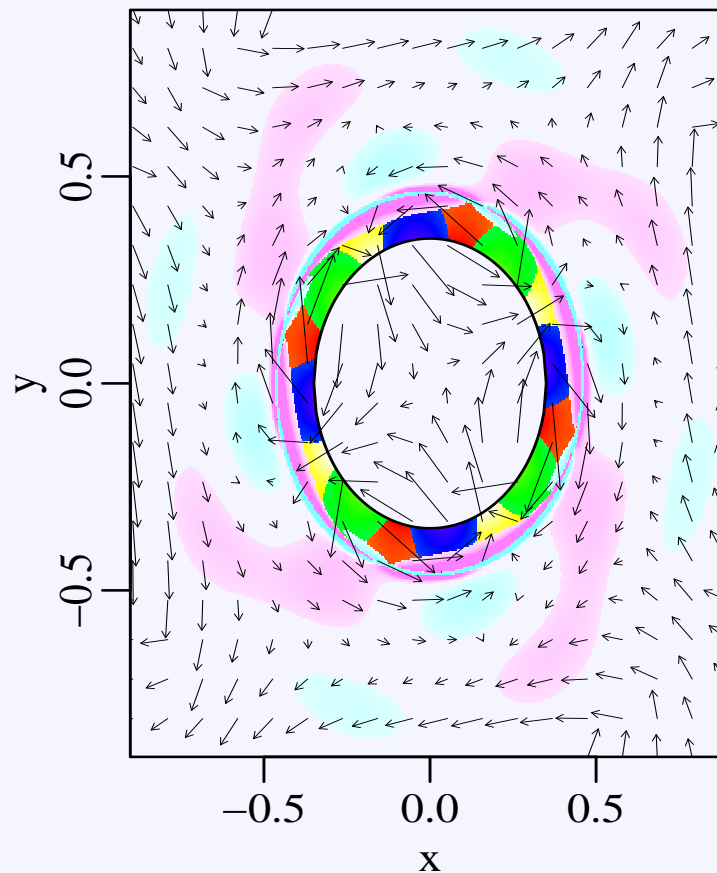
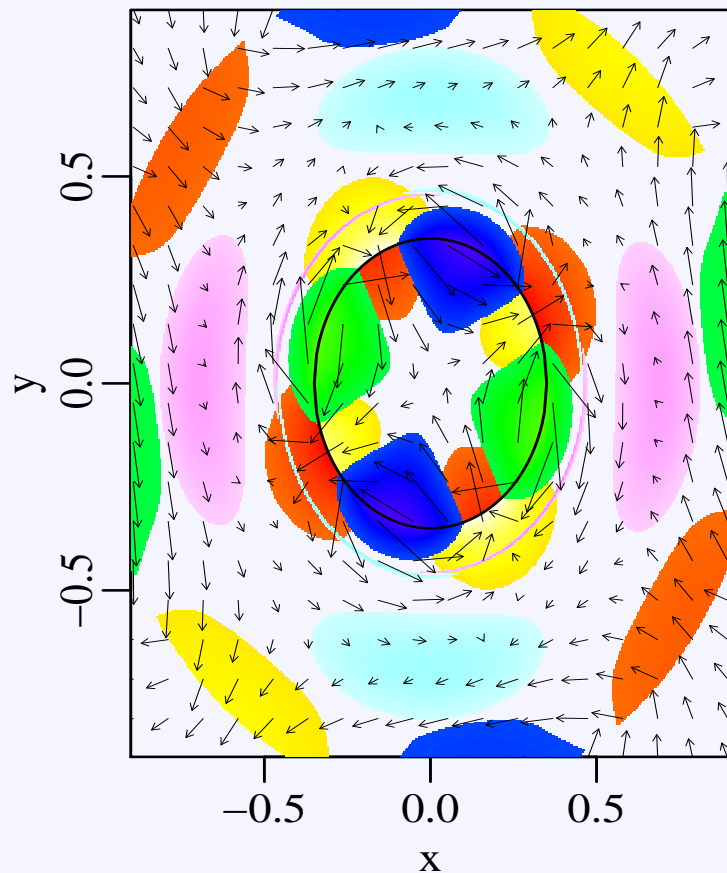
**Tendency**



$\zeta_r$  (yellow,red),  $\zeta_\theta$  (green,blue),  $\zeta_z$  (pink,light blue)

# Model Comparison

Vorticity and basestate tendency for  $VS = ilr \frac{(Z+1)}{\nu - l\Omega(r)} mu_z$ .



$\zeta_r$  (yellow,red),  $\zeta_\theta$  (green,blue),  $\zeta_z$  (pink,light blue)