

Vertically Sheared Horizontal Flow with Mass Sources: A Canonical Balanced Model

Andrew J. Majda, Majid Mohammadian and Yulong Xing

Courant Institute of Mathematical Sciences
New York University

- 1: Vertically Sheared Horizontal Flow with Mass Sources: A Canonical Balanced Model, to appear in Geophysical and Astrophysical Fluid Dynamics (GAFD).
- 2: Multiscale equations for the hurricane embryo in a WTG environment, in preparation.

Introduction

Two common assumptions in the development of multi-scale models:

- **horizontal weak temperature gradient (WTG) approximation for potential temperature**

$$\Theta = \bar{\Theta}(z) + \epsilon \theta(\mathbf{x}_h, z, t), \quad \epsilon \ll 1,$$

- **low Froude number approximation for the horizontal flow U_h**

$$U_h = \epsilon u_h, \quad \epsilon \ll 1.$$

$\epsilon \cong \frac{1}{10}$ to $\frac{1}{7}$ are typical observed values for the lower/middle troposphere

Introduction

With the above background, the goal here is to study the following canonical balanced model.

Vertically sheared horizontal flow with mass (heat) sources (VSHFS):

$$\frac{D\mathbf{u}_h}{Dt} + f\mathbf{u}_h^\perp = -\nabla_h p + \mathbf{S}_u, \quad (1.3)$$

$$\text{div}\mathbf{u}_h + w_z = 0,$$

$$wN^2(z) = S_\theta,$$

Introduction

The equations in (1.3) arise in a variety of multiple **spatial scale** balanced dynamics for the tropics:

- on horizontal scales of order 1500 km and time scales of order 8 hours (see the BMESD model in Majda 2007b);
- on horizontal scales of order 10 km and time scales of order 15 minutes (Klein 2000; Klein and Majda 2006);
- with the **beta plane** approximation, $f = \beta y$, on horizontal scales of order 800 km and time scales on the order of 1 day (Sobel et al. 2001; Majda and Klein 2003);
- on seasonal planetary scales (see SPEWTG model in Majda and Klein 2006).

The Canonical Balanced Model

The forced Boussinesq equations takes the non-dimensional form:

$$\begin{aligned}\frac{D\mathbf{u}_h}{Dt} + (\text{Ro})^{-1} \mathbf{u}_h^\perp &= -\nabla_h p + \mathbf{S}_u, \\ \frac{Dw}{Dt} &= -\frac{\partial p}{\partial z} + \epsilon^{-1} \theta + S_w, \\ \frac{D\theta}{Dt} &= \epsilon^{-1} (-w + S_\theta), \\ \text{div}_h \mathbf{u}_h + w_z &= 0.\end{aligned}\tag{2.2}$$

under the conditions:

- 1: WTG approximation,
- 2: low Froude number,
- 3: comparable horizontal and vertical velocity magnitudes,
- 4: large Rossby number $\text{Ro} = \frac{LV}{f} \geq O(1)$,

Units: $[\mathbf{x}] = [z] = 10 \text{ km}$, $[t] = 15 \text{ minutes}$, $[\square] = 3 \text{ K}$,
 $[\mathbf{u}] = [w] = 10 \text{ m/s}$, strong heating: 120 K/hr .

Key Unsolved Questions for Hurricane Embryo:

What preconditioning background environments (Shear, Vorticity, Temp, Moisture) Lead to Tropical Cyclogenesis?

HE - Stage
 $O(10 \text{ m/s})$ winds

Hot Towers $O(10 \text{ km})$, 120 K/hr

(*) Mesovortices of T.C. $O(100 \text{ km})$

What is involved in creating (*)?

Hot Towers, Montgomery *et al.*, 2004 -
Moist Thermodynamics, Bister-Emmanuel

The Canonical Balanced Model

The derivation of the canonical model (1.3) is straightforward. They are

- the leading order ϵ^0 equations for horizontal momentum and mass conservation
- the leading order ϵ^{-1} equations for the potential temperature.

If the temperature perturbation θ is expanded as $\theta = \epsilon\theta_1$, then θ_1 is determined from the solution of (1.3) as given by

$$\theta_1 = \frac{Dw}{Dt} + \frac{\partial p}{\partial z} - S_w.$$

The Canonical Balanced Model

I) The canonical models in (1.3) has direct relevance for the troposphere with horizontal scales of order 10 km and time scales of order 15 minutes;

Hot Tower Scales

II) It also apply on

horizontal scales of order 100 km and time scales of order 2.5 hours;

These time scales are relevant for the formation of mesovortices in the hurricane embryo.

The Canonical Balanced Model

To establish this fact, introduce the aspect ratio $A = H/L$, $A \leq 1$ and the new rescaled variables

$$T = At, \quad X = Ax_h,$$

$$w = Aw_A,$$

$$(Ro)_A = ARo,$$

$$AS_{\theta,A} = S_{\theta},$$

$$AS_{u,A} = S_u.$$

Note: Horizontal Velocity,
 $u = u_A(X, T)$ still has units
 $[u] \cong 10 \text{ m/s}$

The Canonical Balanced Model

With these rescaling, the equations in (2.2) become

$$\begin{aligned}
 \frac{D\mathbf{u}_h}{DT} + (\text{Ro})_A^{-1} \mathbf{u}_h^\perp &= -\nabla_h p + S_{\mathbf{u},A}, \\
 A^2 \frac{Dw_A}{Dt} &= -\frac{\partial p}{\partial z} + \epsilon^{-1} \theta + S_w, \\
 \frac{D\theta}{DT} &= \epsilon^{-1} (-w_A + S_{\theta,A}), \\
 \text{div}_X \mathbf{u}_h + (w_A)_z &= 0.
 \end{aligned} \tag{2.6}$$

The same derivation can be repeated now for any A with $A \ll 1$ to yield (1.3) as a canonical balanced model provided that $(\text{Ro})_A^{-1}$ remains finite.

Choose $A = \epsilon$ to have rotation important
for 100 km spatial scales & 2.5 hrs.

Vertical Vorticity Dynamics

Vertically sheared horizontal flow with mass (heat) sources (VSHFS):

$$\frac{D\mathbf{u}_h}{Dt} + f\mathbf{u}_h^\perp = -\nabla_h p + \mathbf{S}_u, \quad (1.3)$$

$$\text{div}\mathbf{u}_h + w_z = 0,$$

$$wN^2(z) = S_\theta,$$

Vertical Vorticity Dynamics

Use the horizontal Helmholtz decomposition

$$\mathbf{u}_h = \nabla_h \Phi + \nabla_h^\perp \Psi + \mathbf{b}(z, t),$$

where Ψ is the stream function, Φ is the velocity potential,
and $\mathbf{b}(z, t)$ is the specified background shear.

Vertical Vorticity Dynamics

By taking curl_h of (1.3), we have the **Vertical Vorticity Dynamic Equation**:

$$\frac{D\omega}{Dt} = \underbrace{(\omega + f)(S_\theta)_z}_{\text{stretching}} + \underbrace{\left(\frac{\partial}{\partial z} \mathbf{u}_h^\perp\right) \cdot \nabla_h S_\theta}_{\text{tilt}} + \text{curl}_h \mathbf{S}_u.$$

It can be decomposed, using Helmholtz decomposition, as:

$$\frac{D\omega}{Dt} = (\omega + f)w_z - \nabla_h^\perp w \cdot \frac{\partial \mathbf{b}}{\partial z} - \nabla_h^\perp w \cdot \frac{\partial \nabla_h \Phi}{\partial z} - \nabla_h^\perp w \cdot \frac{\partial \nabla_h^\perp \Psi}{\partial z} + \text{curl}_h \mathbf{S}_u.$$

We investigate [this equation](#) in this presentation.