# Assessing the Errors from Dimensional Splitting in a 3D <br> Discontinuous Galerkin Atmospheric Model 

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## 3D Atmospheric Modeling

Traditionally, atmospheric model treats the horizontal (2D) and vertical (1D) dimensions separately.

- Large aspect ratio between horizontal vertical grid spacing ( $\approx 1: O(100)$ )
- 'Special treatment' for the vertical dynamics
- Facilitate implementation of efficient "HEVI-type" time integration schemes.
- In general, splitting method based on temporal or spatial, can introduce 'split errors'


Nair, Bao \& Toy (AIAA, 2016)

New generation non-hydrostatic models based on high-order Galerkin methods such as the spectral element (SE) and discontinuous Galerkin (DG), gaining popularity

- Full-3D: NUMA, Giraldo et al. (2013); Blaise et al. (2015) use 3D hexahedral elements.
- Split (2D+1D): HOMME, CAM-SE, KIAPS. SE/DG horizontal + 1D FD/H-O vertical What errors are introduced by dimension-splitting in a 3D DG model?


## DG Formulation: Atmospheric Conservation Laws

- Atmospheric equations of motion in 3D Cartesian $(x, y, z)$ coordinates can be written in the general flux-from:

$$
\frac{\partial U}{\partial t}+\nabla \cdot \mathbf{F}(U) \equiv \frac{\partial U}{\partial t}+\frac{\partial}{\partial x} F_{1}(U)+\frac{\partial}{\partial y} F_{2}(U)+\frac{\partial}{\partial z} F_{3}(U)=S(U)
$$

$U$ is the conservative variable, $\mathbf{F}=\left(F_{1}, F_{2}, F_{3}\right)$ is the flux function and $S(U)$ is the source term.

- E.g: For a transport equation $U=\rho$, a scalar; for the Euler system $U=[\rho, \rho u, \rho v, \rho w, \rho \theta]^{T}$
- Split 2D + 1D: The 3D Eqn. is split into the horizontal $(x, y)$ and vertical $z$ directions.

$$
\frac{\partial U}{\partial t}+\nabla_{2 d} \cdot \mathbf{F}(U) \equiv \frac{\partial U}{\partial t}+\frac{\partial}{\partial x} F_{1}(U)+\frac{\partial}{\partial y} F_{2}(U)=-\frac{\partial}{\partial z} F_{3}(U)+S(U)
$$

- Discontinuous Galerkin Formulation - Common Steps:

- The domain $\mathscr{D}$ is partitioned into non-overlapping elements $\Omega_{e}$. Element edges are discontinuous
- Approximate solution $U_{h}$ belongs to a vector space $\mathscr{V}_{h}$ of polynomials $\mathscr{P}_{N}\left(\Omega_{e}\right)$.
- Galerkin formulation is obtained by multiplying the basic equation by a test function $\varphi_{h} \in \mathscr{V}_{h}$ and integration over an element $\Omega_{e}$

$$
\int_{\Omega_{e}}\left[\frac{\partial U_{h}}{\partial t}+\nabla \cdot \mathbf{F}\left(U_{h}\right)-S\left(U_{h}\right)\right] \varphi_{h} d \Omega=0
$$

- Discontinuity at the element edges is resolved by Lax-Friedrichs numerical flux.


## DG Discretization

- For full 3D elements, the weak Galerkin formulation is obtained from:

$$
\iiint_{\Omega_{e}}\left[\frac{\partial U_{h}}{\partial t}+\nabla \cdot \mathbf{F}\left(U_{h}\right)-S\left(U_{h}\right)\right] \varphi_{h} d \Omega=0
$$

- For the split 2D+1D case,

$$
\iint_{\Omega_{e}}\left[\frac{\partial U_{h}}{\partial t}+\nabla_{2 d} \cdot \mathbf{F}\left(U_{h}\right)-\tilde{S}\left(U_{h}\right)\right] \varphi_{h} d \Omega=0, \quad \tilde{S}(U)=-\frac{\partial}{\partial z} F_{3}(U)+S(U)
$$

- The vertical 1D flux derivative $\partial F_{3}(U) / \partial z$ can be discretized by any numerical method, including 1D DGM.
- Evaluation of the integrals:
- $\Omega_{e}$ is mapped onto high-order quadrature element $Q=[-1,1]^{d}$

3D GLL Grid Box


- Gauss-Lobatto-Legendre (GLL) quadrature is efficient
- A tensor-product of Lagrange basis functions $\left(h_{l}(\xi), \xi \in[-1,1]\right)$ of order $N$ represents the approximate solution on $Q$. In 3D case:

$$
U_{h}\left(\xi^{1}, \xi^{2}, \xi^{3}\right)=\sum_{i=0}^{N} \sum_{j=0}^{N} \sum_{k=0}^{N} U_{i j k} h_{i}\left(\xi^{1}\right) h_{j}\left(\xi^{2}\right) h_{k}\left(\xi^{3}\right)
$$

- Spatial discretization leads to the ODE

$$
\frac{d U_{h}}{d t}=\mathscr{L}\left(U_{h}\right)
$$

- For our implementation, SSP-RK3 explicit ODE solver is used.


## 3D Advection Test: Smooth Solid-Body Rotation

To Solve:

$$
\frac{\partial \rho}{\partial t}+\frac{\partial \rho u}{\partial x}+\frac{\partial \rho v}{\partial y}+\frac{\partial \rho w}{\partial z}=0
$$


$x-z$ slice through simulation domain

- Physical domain: $[-\pi, \pi]^{3}$
- Solid-body rotation with $u=-\left(z-z_{0}\right), v=0$ and $w=\left(x-x_{0}\right)$.
- BC: Lateral - Periodic; Top/Bottom - Periodic
- The Gaussian blob centered at the domain center $\left(x_{0}, y_{0}, z_{0}\right)$,

$$
\rho(x, y, z)=a \exp \left[-r^{2} /\left(2 \sigma^{2}\right)\right]
$$

with $r^{2}=\left(x-x_{0}\right)^{2}+\left(y-y_{0}\right)^{2}+\left(z-z_{0}\right)^{2}, a=1$, $\sigma=0.35$.

- Period for one revolution $t=2 \pi$
- DG simulations with full 3D and split 2D+1D. Starting with $8 \times 8 \times 8$ elements, and $4^{3}$ GLL points on each element.


## 3D Advection Test: Smooth Solid-Body Rotation

- The full 3D and split 2D +1 D results match expected $h / p$-convergence rate



## 3D Advection Test: Deformational Flow (multi-scale)

- Initial fields (double Gaussian) stretched into thin filaments, the flow reverses and return to the initial state (Nair \& Lauritzen, JCP, 2010).
- Domain : $[0,2 \pi] \times[0, \pi] \times[0, \pi]$. Final time $T=5$ units
- Initial density $\rho(x, y, z)$ centered at $\mathbf{x}_{1}=(5 \pi / 6, \pi / 2, \pi / 2), \quad \mathbf{x}_{2}=(7 \pi / 6, \pi / 2, \pi / 2)$

$$
\rho(x, y, z)=a\left[\exp \left(-\frac{\left|\mathbf{x}-\mathbf{x}_{1}\right|^{2}}{b}\right)+\exp \left(-\frac{\left|\mathbf{x}-\mathbf{x}_{2}\right|^{2}}{b}\right)\right], \quad a=0.95, b=0.2
$$



- Time dependent non-divergent velocity fields

$$
\begin{aligned}
u(x, y, z)= & u_{0} \sin ^{2}\left[2 \pi\left(\frac{x}{2 \pi}-\frac{t}{T}\right)\right] \sin \left[2 \pi\left(\frac{z}{\pi}\right)\right] \\
& \times \cos \left(\frac{\pi t}{T}\right)+\frac{2 \pi}{T} \\
v(x, y, z)= & 0 \\
w(x, y, z)= & w_{0} \sin \left[4 \pi\left(\frac{x}{2 \pi}-\frac{t}{T}\right)\right] \sin ^{2}\left[\pi\left(\frac{z}{\pi}\right)\right] \\
& \times \cos \left(\frac{\pi t}{T}\right)
\end{aligned}
$$

## Deformational Flow: $h$ Convergences



## Flow over a 3D mountain Test: $(x, y, z) \rightarrow(x, y, \zeta)$

- Terrain-following vertical coordinate transformation (Gal-Chen \& Somerville, JCP 1975)

- $h_{s}=h_{s}(x, y)$ is the prescribed mountain profile and $z_{\text {top }}$ is the top of the model domain

$$
\zeta=z_{\text {top }} \frac{z-h_{s}}{z_{\text {top }}-h_{s}}, \quad z(\zeta)=h_{s}(x, y)+\zeta \frac{z_{\text {top }}-h_{s}}{z_{\text {top }}} ; \quad h_{s} \leq z \leq z_{\text {top }} .
$$

- The Jacobian associated with the transform $(x, y, z) \rightarrow(x, y, \zeta)$ is

$$
\sqrt{G}=\left[\frac{\partial z}{\partial \zeta}\right]_{(x, y)}=1-\frac{h_{s}(x, y)}{z_{\text {top }}}
$$

## Transport Equation in the Transformed Coordinates $(x, y, \zeta)$

- The 3D transport equation (flux-from) for a density $\rho$ in 3D $(x, y, \zeta)$ coordinates can be written as:

$$
\frac{\partial \rho}{\partial t}+\nabla \cdot \mathbf{F}(\rho)=0 \quad \Rightarrow \quad \frac{\partial \tilde{\rho}}{\partial t}+\frac{\partial}{\partial x}(\tilde{\rho} u)+\frac{\partial}{\partial y}(\tilde{\rho} v)+\frac{\partial}{\partial \zeta}(\tilde{\rho} \tilde{w})=0,
$$

where $\tilde{\rho}=\sqrt{G} \rho$, the Jacobian-weighted density, and $\tilde{w}$ the vertical velocity in transformed coordinates:

$$
\tilde{w}=\frac{d \zeta}{d t}, \quad \sqrt{G} \tilde{w}=w+\sqrt{G} G^{13} u+\sqrt{G} G^{23} v,
$$

with the metric coefficients (Clark 1977, JCP)

$$
\sqrt{G} G^{13} \equiv\left[\frac{\partial h_{s}}{\partial x}\right]_{(z)}\left(\frac{\zeta}{z_{\text {top }}}-1\right), \quad \sqrt{G} G^{23} \equiv\left[\frac{\partial h_{s}}{\partial y}\right]_{(z)}\left(\frac{\zeta}{z_{t o p}}-1\right) .
$$

- For the ' $2 \mathrm{D}+1 \mathrm{D}$ ' split case:

$$
\frac{\partial \tilde{\rho}}{\partial t}+\frac{\partial}{\partial x}(\tilde{\rho} u)+\frac{\partial}{\partial y}(\tilde{\rho} v)=-\frac{\partial}{\partial \zeta}(\tilde{\rho} \tilde{w})
$$

## Numerical Expt: Flow over a 3D Schär-type mountain



Mountain profile $h_{s}(x, y)$

- Physical domain: $120 \mathrm{~km} \times 30 \mathrm{~km} \times 30 \mathrm{~km}$
- Solid-body rotation in a channel with $u=20 \mathrm{~m} / \mathrm{s}, v=0$ and $w=0$.
- BC: Lateral - Periodic; Top/Bottom - No-flux
- Mountain height $h_{0}=5 \mathrm{~km}$
- Mountain profile:

$$
h_{s}(x, y)=h_{0} \exp \left(-\left(r / a_{0}\right)^{2}\right) \cos ^{2}\left(\pi r / b_{0}\right),
$$

$$
\text { radial distance } r=\sqrt{\left(x-x_{0}\right)^{2}+\left(y-y_{0}\right)^{2}},
$$ $a_{0}=10 \mathrm{~km}, b_{0}=6 \mathrm{~km}$;

- Period for one revolution $t=6000 \mathrm{~s}$.
- Initial tracer value centered at $\mathbf{x}_{0}=\left(x_{0}, y_{0}, z_{0}\right)$, with $d=\left|\mathbf{x}-\mathbf{x}_{0}\right|$

$$
\begin{aligned}
\rho(\mathbf{x}) & =a \cos ^{2}(d \pi / 2), \text { if } d \leq 1 \\
& =0, \quad \text { otherwise }
\end{aligned}
$$

- DG simulations with full 3D and split 2D+1D. Starting with $32 \times 8 \times 8$ elements, and $4^{3}$ GLL points on each element.


## Flow over a Mountain Test

- Virtually identical results with 3D and split 2D+1D tests


Figure: Positions of the cosine blob as a function of time

## Flow over a Mountain Test: $h$ Convergences

- 3D and split DG results are very close
- Reduced convergence rate may be due to evolving the Jacobian-weighted scalar $(\rho \sqrt{G})$.
$h$ convergence results



## Idealized Non-Hydrostatic Atmospheric Model: [3D Euler System]

- The compressible Euler system can be written in 3D Cartesian $(x, y, z)$ coordinates:
- $\rho$ is the air density, $\mathbf{u}=(u, v, w)$ the velocity vector and is $\theta$ the potential temperature, and $p$ is the pressure.
- The pressure $p$ and $\theta$ are related through the equation of state:

$$
p=p_{0}\left(\frac{\rho \theta R_{d}}{p_{0}}\right)^{c_{p} / c_{v}} ; p_{0}=10^{5} \mathrm{~Pa}
$$

- Split the variables $\psi=\bar{\psi}+\psi^{\prime}$,
$\psi \in\{\rho, \theta, \rho \theta, p\}$, about the mean hydrostatic state.
- Computational form: Removing the hydrostatically balanced ( $d \bar{p} / d z=-\bar{\rho} g$ ) reference state from the Euler system yields the perturbation form:

$$
\frac{\partial}{\partial t}\left[\begin{array}{c}
\rho^{\prime} \\
\rho u \\
\rho v \\
\rho w \\
(\rho \theta)^{\prime}
\end{array}\right]+\frac{\partial}{\partial x}\left[\begin{array}{c}
\rho u \\
\rho u^{2}+p^{\prime} \\
\rho u v \\
\rho u w \\
\rho u \theta
\end{array}\right]+\frac{\partial}{\partial y}\left[\begin{array}{c}
\rho v \\
\rho v u \\
\rho v^{2}+p^{\prime} \\
\rho v w \\
\rho v \theta
\end{array}\right]+\frac{\partial}{\partial z}\left[\begin{array}{c}
\rho w \\
\rho w u \\
\rho w v \\
\rho w^{2}+p^{\prime} \\
\rho w \theta
\end{array}\right]=\left[\begin{array}{c}
0 \\
0 \\
0 \\
-\rho^{\prime} g \\
0
\end{array}\right] .
$$

## NH3D: 3D Non-hydrostatic Gravity Waves

- 3D extension of the Inertia-Gravity Wave (IGW) test (Skamarock \& Klemp, 1994)

- Evolution of potential temperature perturbation $\left(\theta^{\prime}\right)$ in a uniform mean flow with a stratified atmosphere.
- Physical domain: $320 \mathrm{~km} \times 160 \mathrm{~km} \times 10 \mathrm{~km}$
- $u=20 \mathrm{~m} / \mathrm{s}, v=0$ and $w=0$.
- BC: Lateral - Periodic; Top/Bottom - No-flux
- IGW is triggered by perturbing $\theta=\theta_{0}+\theta^{\prime}$ :

$$
\theta^{\prime}(x, y, z)=\frac{a^{2} \sin \left(\pi z / z_{t}\right)}{a^{2}+\left(x-x_{c}\right)^{2}+\left(y-y_{c}\right)^{2}}
$$

where $\theta_{0}=300 \mathrm{~K}, a=5 \mathrm{~km}, z_{t}=10 \mathrm{~km}$, $x_{c}=100 \mathrm{~km}, y_{c}=80 \mathrm{~km}$.

- Period of simulation $t=3000 \mathrm{~s}$.

Potential temperature perturbation $\left(\theta^{\prime}\right)$ in $x-z$ plane

## IGW $\theta^{\prime}$ Convergence: Split vs. Full 3D

- Difference (Full3D - Split 2D+1D) field ( $\theta^{\prime}$ )

(a) Error: $\mathrm{L}_{\infty}$

(b) Error: $\mathrm{L}_{2}$


Reference solution is computed with $10^{\text {th }}$-order DG scheme on $64 \times 32 \times 8$ elements

## NH3d: Steady-State Test



- A modified version of the steady-state test (Ullrich \& Jablonowski (MWR, 2012))
- Geostrophically balanced initial conditions, $f$-plane approximation. $t=3600 \mathrm{~s}$
- Physical domain: $L_{x} \times L_{y} \times L_{z}$ channel, $L_{x}=40,000 \mathrm{~km}, L_{y}=6,000 \mathrm{~km}, L_{z}=30 \mathrm{~km}$.
- Initial velocity $v=w=0$,

$$
\begin{aligned}
& u(x, y, \eta)=-35 \sin ^{2}\left(\frac{\pi y}{L_{y}}\right) \ln \eta \exp \left[-\frac{(\ln \eta)^{2}}{25}\right] \\
& \eta=p / p_{s}, \quad u(x, y, \eta) \Rightarrow u(x, y, z)
\end{aligned}
$$

- The "analytic solution" is the initial condition.
- The error characteristics of 3D and the split $2 \mathrm{D}+1 \mathrm{D}$ models are very close.


## Summary

To assess the Dimensional Splitting errors in 3D-DG Atmospheric Model

- Non-Hydrostatic DG models based on full-3D and dimension-split (2D+1D) spatial discretization have been developed in Cartesian geometry.
- Time integration is performed with explicit SSP RK method
- Both models recover expected convergence rate for smooth 3D advection problems
- In terms of accuracy and convergence, both models found to be virtually identical for several test cases.
- The 2D +1 D split approach is promising in 3D atmospheric modeling, also it is slightly more computationally efficient $(\approx 10 \%)$ than full-3D.


## Future Research:

- Compare full-3D and split cases with practical IMEX or semi-implicit time integration methods
- Compare the accuracy of the vertical discretization for split 2D+1D case and 2D + VLC (Vertical Lagrangian Coordinates)


## Thank You!

